1	Eric M. Fraser, 027241			
2	Phillip W. Londen, 032488			
2	OSBORN MALEDON, P.A. 2929 North Central Avenue, Suite 2100			
3	Phoenix, Arizona 85012			
4	602-640-9000			
5	efraser@omlaw.com			
	plonden@omlaw.com			
6 7	Richard F. Martinelli (pro hac vice application to be filed)	Yufeng (Ethan) Ma (pro hac vice		
	ORRICK HERRINGTON &	application to be filed) ORRICK HERRINGTON &		
8	SUTCLIFFE LLP	SUTCLIFFE LLP		
9	51 West 52nd Street	4703 Park Place		
10	New York, New York 10019 212-506-5000	1601 Nanjing Road West Shanghai, 200040		
11	rmartinelli@orrick.com	People's Republic of China +86 21-6109-7108		
12	Evan Brewer (pro hac vice application	yma@orrick.com		
13	to be filed)	J		
	ORRICK HERRINGTON &			
14	SUTCLIFFE LLP 1000 Marsh Road			
15	Menlo Park, California 94025			
16	650-614-7497			
	ebrewer@orrick.com			
17	0 71 : 100			
18	Attorneys for Plaintiffs			
19	UNITED STATES DISTRICT COURT			
20	DISTRICT OF ARIZONA			
21	SANSI LED Lighting Inc. and SANSI	No.		
	Smart Lighting Inc.,			
22	Plaintiffs,	COMPLAINT FOR		
23	v.	DECLARATORY JUDGMENT		
24		JURY TRIAL DEMANDED		
	Lighting Defense Group LLC,	JUNI INIAL DEMIANDED		
25	Defendant.			
26				
27				

1

Plaintiffs SANSI LED Lighting, Inc. ("SANSI LED") and SANSI Smart Lighting Inc. ("SANSI Smart") (collectively "SANSI") hereby bring this complaint against Defendant Lighting Defense Group, LLC ("LDG") and alleges as follows:

4

INTRODUCTION

5

6

7

8

9

10

11

12

13

14 15

16

17 18

19

20

21 22

23

24

25

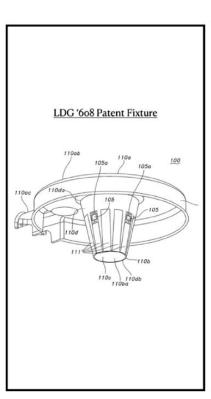
26

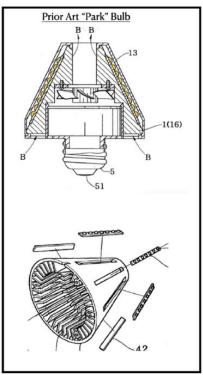
27

28

1. In an effort to extract an unwarranted patent license from SANSI, LDG—a patent troll—wrongfully blocked SANSI's sales of LED light bulbs through Amazon.com. LDG told Amazon that SANSI's light *bulbs* infringe one of LDG's patents to an LED light *fixture*. LDG did this because it knew that Amazon's IP infringement complaint process does not involve a substantive review of the asserted patent infringement allegation and provides an accused infringer little opportunity to appeal a product delisting. LDG's strategy allows it to use a spurious patent infringement allegation to immediately cut off thousands of dollars in SANSI sales per day, with the hope that SANSI will pay LDG for it to quickly to go away. SANSI, however, will not be extorted.

2. LDG knew, or should have known, its allegations against SANSI are baseless because it is accusing a prior art structure of infringement that was distinguished from the alleged invention disclosed in the LDG patent when arguing patentability before the U.S. Patent Office. Below, LDG's patent light fixture is shown on the left, the prior art "Park" patent application that LDG argued was **not** its invention is shown in the middle, and SANSI's product is shown on the right. LDG is alleging that SANSI's light bulbs infringe LDG's U.S. Pat. No. 8,939,608 ("'608 patent") because the LEDs are mounted on a roughly conical structure with a central channel that allows air to flow through it to dissipate heat (see Accused Feature below). But the prior art Park light bulb had exactly this same structure for mounting its LEDs. Indeed, the Park bulb was expressly applied as prior art against a related patent in LDG's portfolio and the Applicant was forced to narrow its patent claims to preclude their covering light bulbs like Park's and SANSI's and to clarify that the Applicant was only seeking to cover light fixtures, such as the fixture in the figure above at left.







- 3. After having to limit its claims in view of Park, the Applicant used another application—pending before a different U.S. Patent Office Examiner—to advance the same sort of overbroad claims that it had to abandon in view of the Park reference. It is one of these broader claims that LDG is asserting SANSI infringes in the '608 Patent. While LDG's predecessor owner of these patents may have been able to sneak the '608 Patent claims past the Patent Office without confronting the relevance of Park, LDG's blocking the sale of SANSI's bulbs based on an infringement allegation that would apply equally to the prior art Park bulb is plainly being made in bad faith. In fact, LDG has never made any real effort to distinguish the '608 Patent over Park. Accordingly, as set forth below, SANSI seeks a declaratory judgment of patent non-infringement.
- 4. On June 30, 2021, SANSI LED filed suit in this Court seeking a declaration of non-infringement of the '608 Patent along with state law tort claims for tortious interference and unfair competition. Amazon restored SANSI LED's deactivated listings shortly after seeing SANSI LED's complaint. LDG did not file an answer, but instead moved to dismiss SANSI LED's tort claims. On April 29, 2022, the Court issued a tentative ruling, indicating its intent to dismiss the tort claims. On June 1, 2022, about a

- week before the hearing on LDG's motion to dismiss the tort claims, considering that the Amazon listings had been restored and to save monetary and judicial resources, SANSI LED filed a notice of voluntary dismissal without prejudice. On June 9, 2022, LDG initiated a second Amazon takedown request asserting the '608 Patent against certain SANSI light bulbs.
- 5. On August 31, 2022, LDG filed a patent infringement suit against Shanghai SANSI Electronic Engineering Co., Ltd. ("Shanghai SANSI"). That case is pending in this Court under case number 2:22-cv-1476-SMB. In the suit against Shanghai SANSI, LDG alleges infringement of a number of patents from the same family as the '608 patent, although LDG has not asserted the '608 Patent. Shanghai SANSI is a Chinese company that does not engage in any business in Arizona and intends to move to dismiss the claims against it for reasons including this Court's lack of personal jurisdiction over Shanghai SANSI. SANSI LED and SANSI Smart are distributing the relevant LED lighting products in the United States.
- 6. In addition, on or around September 6, 2022, LDG instituted a third Amazon takedown against SANSI LED and SANSI Smart. The third takedown targeted two Amazon stores operated by SANSI LED and one operated by SANSI Smart. LDG did not name either SANSI LED or SANSI Smart as defendants in the pending infringement suit against Shanghai SANSI, however.

PARTIES

- 7. SANSI LED Lighting, Inc. is a California corporation with its principal place of business at 30075 Ahern Ave, Union City, CA 94587.
- 8. SANSI Smart Lighting Inc. is a California corporation with its principal place of business at 3786 Ronald Ct., Fremont, CA 94538.
- 9. On information and belief, Lighting Defense Group, LLC is a Delaware limited liability company with its principal place of business at 4260 North Brown Avenue Suite #8, Scottsdale, AZ 85251.

JURISDICTION AND VENUE

- 10. This Court has subject matter jurisdiction under 28 U.S.C. §§ 2201, 2202, 1331 and/or 1338(a), because this action arises under the laws of the United States, in particular the Patent Act of the United States, 35 U.S.C. §§ 100 *et seq.*, and seeks relief under the Federal Declaratory Judgment Act.
- 11. This Court has personal jurisdiction over LDG. On information and belief, LDG's principal place of business is in this District.
- 12. Venue is proper in the District of Arizona under 28 U.S.C. §§ 1391(b) and/or 1400(a) because a substantial part of the events or omissions giving rise to the claims occurred in this District and because this Court has personal jurisdiction over LDG.

BACKGROUND

A. SANSI's History and Products

- dedicated to technological innovation in a wide range of LED applications, from commercial displays to residential lighting. With over 480 in-house engineers, 2,000 employees, and three advanced factories, the SANSI Group has reached over 40 countries on five continents. The SANSI Group has successfully launched over 10,000 cutting-edge projects, including several landmark projects in New York's Times Square. The SANSI Group's corporate culture is innovative, sustainable, and committed to excellence. These core values have allowed the SANSI Group to maintain its status as an industry leader.
- 14. The SANSI Group is an innovator that does not and need not copy the designs of others. The SANSI Group has always prioritized quality in building its brand and spends significant resources on its R&D investments with the goal of providing better products and experiences to users. The SANSI Group stands behind its products and today has millions of customers across the globe. The SANSI Group also respects the intellectual property rights of others and is diligent and active in protecting its own

intellectual property rights. To that end, the SANSI Group holds many domestic and foreign patents directed to cutting-edge lighting technologies.

15. SANSI LED and SANSI Smart distribute SANSI lighting products in the United States, including sales through Amazon.com. Among the products SANSI sells through Amazon are LED light bulbs such as those at issue in this action.

B. LDG's Infringement Accusations

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

- Counsel representing LDG sent a letter dated June 26, 2020, to Shanghai 16. SANSI alleging that it had performed an investigation of the following twelve SANSI products: (1) SANSI C21BB-WE Omni-directional Light Bulb, (2) SANSI C21BB-TE26 UV Light Bulb, (3) SANSI C21BB-QW Smart RGB Light Bulb, (4) SANSI C21BB-TE26/27 Plain Light Bulb, (5) SANSI C21BB-RE Dimmable Light Bulb, (6) SANSI C21BB-UE Light Bulb, (7) SANSI C21GL-CE26/27 Full Spectrum Glow Light, (8) SANSI C21GL-AE26 Full Cycle Glow Light, (9) SANSI C21GL-DE26 Full Spectrum Glow Light, (10) SANSI C21GL-AE26 Flowering Glow Light, (11) SANSI C21BB-ZE39/E40 High Bay Light, and (12) BR30 Non-Dimmable LED Light Bulb (collectively, "the accused SANSI LED light bulbs"). A true and correct copy of LDG's letter is attached hereto as Exhibit A. LDG's letter stated that it had determined SANSI needs a license to four separate LDG patents, the '608 Patent, U.S. Pat. Nos. 8,256,923 ("'923 Patent"), 7,874,700 ("'700 Patent"), and 9,163,807 ("'807 Patent") (collectively the "LDG Patents"), and listed certain claims for each patent that LDG alleges SANSI infringes. A true and correct copy of the '608 Patent is attached hereto as Exhibit B. Attached to the letter were poorly-sourced and cursory claim charts for the LDG Patents.
- 17. On or about August 27, 2020, Shanghai SANSI responded to LDG's letter, stating that the accused SANSI light bulb products did not infringe the LDG Patents and that the '923 Patent, '807 Patent, and '608 Patent were invalid. Shanghai SANSI attached claim charts for each of the four patents providing exemplary reasons why the accused SANSI light bulb products do not infringe the LDG Patents. Shanghai SANSI also provided invalidity charts for three patents, including the '608 Patent. The invalidity

charts show how the three patents are invalid in light of U.S. Pat. No. 6,831,303. A true and correct copy of Shanghai SANSI's response is attached hereto as Exhibit C.

- 18. SANSI Group received no reply from LDG to its August 27, 2020 communication. Nearly a year later, however, on June 9, 2021, SANSI LED received notice from Amazon that eight of SANSI's products¹ had been de-listed from Amazon because Amazon had received a complaint alleging that SANSI LED was infringing the '608 Patent.² True and correct copies of this notice are attached hereto as Exhibit D. On information and belief, LDG submitted to Amazon a complaint or a notice of infringement alleging SANSI LED infringed the '608 Patent, which caused Amazon to delist the accused SANSI products. Amazon's decision is accompanied by no analysis or reasoning and appears to take at face value LDG's allegations of infringement. Amazon subsequently informed SANSI LED that it would not re-list SANSI's products unless LDG withdraws its Amazon complaint or shows they have been licensed, which would have required SANSI LED to give in to LDG's shakedown.
- 19. On June 30, 2021, SANSI LED filed suit in this Court seeking a declaration of non-infringement and invalidity of the '608 Patent along with state law tort claims for tortious interference and unfair competition. After seeing SANSI LED's complaint, Amazon immediately restored the delisted SANSI products. LDG did not counter claim for patent infringement in that action, which would have gotten to a full hearing of the merits of its allegations. Instead, it filed a motion to dismiss SANSI's tort claims, arguing that SANSI LED failed to adequately allege bad faith (which was necessary to prevent preemption). The Court entered a tentative rule indicating its intent to dismiss SANSI LED'S tort claims. On June 1, 2022, about a week before the hearing on LDG's motion

¹ Amazon Standard Identification Numbers (ASINs): B07B8XHBP8, B07BKT2612, B07D7MVWP2, B074J8SHRT, B07B8L8BDS, B07BKRYRP3, B0728K64SK, and B07B8XV2VX (collectively, "de-listed SANSI products").

² The de-listed SANSI products were all released in early 2018. LDG made no attempt to contact SANSI regarding its supposed claims until more than two years after their release.

- to dismiss the tort claims, considering that the delisted products have been restored and to save monetary and judicial resources, SANSI LED voluntarily dismissed its claims without prejudice. LDG did not pursue its infringement allegation in District Court. Rather, on June 9, 2022, LDG initiated a second Amazon takedown request asserting the '608 Patent against certain SANSI light bulbs sold by SANSI LED on Amazon.
- 20. Then on August 31, 2022, LDG filed a patent infringement suit against Shanghai SANSI. In the suit against Shanghai SANSI, LDG alleges infringement of a number of patents from the same family as the '608 Patent, although LDG has not asserted the '608 Patent. Shanghai SANSI is a Chinese company that does not engage in any business in Arizona and intends to move to dismiss the claims against it. SANSI LED and SANSI SMART are distributing the relevant LED lighting products in the United States.
- 21. In addition, on or around September 6, 2022, LDG instituted a third Amazon takedown against SANSI LED and SANSI Smart. The third takedown targeted two Amazon stores operated by SANSI LED and one operated by SANSI Smart. LDG did not name either SANSI LED or SANSI Smart as defendants in the pending infringement suit against Shanghai SANSI, however.

C. The '608 Patent and the Accused Products

1. The '608 Patent Claims Light Fixtures, Not Light Bulbs

- 22. LDG purports to be the owner of the '608 Patent. The '608 Patent is entitled "Heat management for a light fixture with an adjustable optical distribution." The '608 Patent was filed on August 31, 2012, and issued on January 27, 2015.
- 23. The '608 Patent relates to and discloses "light fixtures," including those "with adjustable optical distributions." '608 Patent (Ex. B), at 1:25-26. Each of the '608 Patent's claims is directed to a "light fixture." *See id.* at claim 1 ("A light fixture, comprising: . . ."); claim 9 ("A light fixture, comprising: . . ."); claim 15 ("A light fixture, comprising: . . ."). The '608 Patent does not claim a light bulb.

24. In communications with SANSI, LDG accused SANSI's products of infringing claims 15-18 of the '608 Patent. *See* Ex. A.

25. Claim 15 of the '608 Patent recites:

15. A light fixture, comprising:

a member comprising:

an interior surface;

a first aperture;

a second distal aperture, and

a channel within the member extending from the first aperture to at least the second aperture and defined by the interior surface of the member;

at least one first light emitting diode (LED) coupled adjacent a first side of the channel; and

at least one second LED coupled adjacent a second side of the channel,

wherein air enters the channel and transfers at least a portion of the heat generated by the first and second LEDs through the first aperture.

- 26. The '608 Patent specification explains that the term "light fixture" is synonymous with the term "luminaire." '608 Patent (Ex. B) at 1:41-43. According to the specification, a "typical luminaire [or light fixture] includes one or more light emitting elements, one or more sockets, connectors, or surfaces configured to position and connect the light emitting elements to a power supply, an optical device configured to distribute light from the light emitting elements, and mechanical components for supporting or suspending the luminaire." *Id.* at 1:35-41.
- 27. The '608 Patent's definition of "light fixture" or "luminaire" is consistent with the technical definition of "luminaire" in the relevant art. For example, the 2020 National Electric Code, Article 100, defines "luminaire" as "[a] complete lighting unit consisting of a light source such as a lamp or lamps, together with the parts designed to position the light source and connect it to the power supply. It may also include parts to protect the light source or the ballast or to distribute the light. A lampholder itself is not

a luminaire." Likewise, Underwriters Laboratories' UL 1598 ("UL 1598") concerning "luminaires" defines the term as a "complete lighting unit consisting of a lamp or lamps, together with the parts designed to distribute the light, to position and protect the lamps and ballast (where applicable), and to connect the lamps to the power supply."

28. Examples of "light fixtures" or "luminaires" include ceiling-mounted fixtures (*e.g.*, chandeliers), wall-mounted fixtures (*e.g.*, sconces), and recessed fixtures, as well as "portable" versions such as desklamps, nightlights, or even lava lamps. *See*, *e.g.*, U.S. Consumer Product Safety Commission, Evaluation of Electric Lighting Products, Dec. 2007, at 4-5 ("CPSC Report");⁴ *see also id.* at 2-3 (definitions). Figures 1-5 include examples of light fixtures.

Light Fixtures



Figure 1. Wall-mounted light fixture.

⁴ Available at https://www.cpsc.gov/s3fs-public/lighting.pdf.

and standards/all-codes-and-standards/list-of-codes-and-standards/detail?code=70.

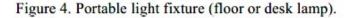
³ The National Electric Code is available at https://www.nfpa.org/codes-



Figure 2. Ceiling-suspended light fixtures (chandeliers).



Figure 3. Outdoor light fixture (streetlight or streetlamp).



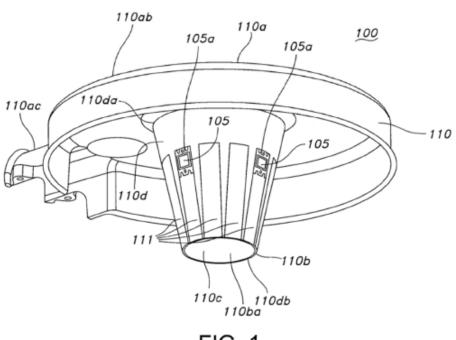


FIG. 1

Figure 5. "light fixture with an optical distribution capable of being adjusted, according to certain exemplary embodiments" of the '608 Patent. '608 Patent (Ex. B), Fig. 1.

29. In this context, the technical term "lamp"—despite its lay usage referring to certain types of luminaires or light fixtures (such as a desk or floor lamp)—refers to a light source, such as a light bulb. *See, e.g.*, UL 1598, at 17 ("Lamp – a device, intended

to be inserted into a lampholder,⁵ that produces light (commonly called 'light bulb')"); see also CPSC Report at 2 (defining "lamp" as "a device that produces light and is intended to be inserted into a lampholder. This is commonly referred to as a 'light bulb' or 'bulb.' In this report, the terms 'light bulb' and 'bulb' are used."). The '608 Patent uses the synonymous term "light emitting element," for which the specification provides the following definition: "any device configured to emit light, such as a lamp or a light emitting diode ('LED')." Ex. B at 48-50. Figures 6-8 include examples of "light emitting elements" (i.e., "lamps" or light bulbs).

"Light Emitting Elements" (i.e., "Lamps" or Light Bulbs)



Figure 6. Incandescent light bulb.

⁵ A "lampholder" refers to the "wiring device intended for making connection to the electrical circuits of a lamp and, in some cases, providing support." UL 1598, at 17. For example, a light bulb socket within a light fixture or luminaire. *See also* CPSC Report at 2 ("A lampholder, which is commonly referred to as a socket, is used as a wiring device for making the connection to the electrical circuits of a bulb").



Figure 7. LED light bulb.



Figure 8. SANSI LED light bulb accused by LDG of infringement.

- 2. The Prosecution History of Related LDG Patents Confirms the '608 Patent is Directed to Light Fixtures, Not Light Bulbs.
- 30. LDG's predecessor owner of the '608 Patent filed many patents with similar specifications to the '608 Patent, and the '608 Patent is part of a large family of similar lighting fixture patents. On information and belief, LDG purchased all or, substantially all, of this patent family. For example, on July 31, 2008, Ellis W. Patrick, the named inventor of the '608 Patent (and the other LDG Patents), filed Pat. Appl. No. 12/183,499

("'499 Application"),⁶ entitled "Light Fixture with an Adjustable Optical Distribution." *See* Ex. H.⁷ The '499 Application has effectively the same figures and specification as the other four patents with which LDG is threatening the SANSI Group. *Compare, e.g.*, Ex. B, *with* Ex. H. As with the '608 Patent, the '499 Application is directed to "light fixtures" or luminaires, and includes the same disclosures regarding the distinctions between luminaires and light fixtures on the one hand, and light emitting elements or light bulbs on the other. *See, e.g.*, Ex. H at Specification, 1-2.

31. During prosecution, the examiner repeatedly rejected the '499 Application⁸ as anticipated and/or obvious by Pat. Appl. No. 2005/0174780 ("Park") and Park in view of U.S. Pat. No. 6,547,417 ("Lee") and Pat. Appl. No. 2008/0002399 ("Villard"). *See* Ex. I. Park claims an LED light bulb closely resembling the accused SANSI LED light bulbs, particularly as to the allegedly infringing features (a channel between two apertures that facilitates removal of heat away from LEDs). A true and correct copy of Park is attached hereto as Exhibit L. For example, Park discloses an LED light bulb with a conical body upon which LEDs are placed, with a hollow middle through which air moves to conduct heat from the LEDs. *See, e.g.*, Ex. L. Figs. 6, 8; *see also* Figures 9 and 10, below.

⁶ The '499 Application issued as U.S. Pat. No. 8,100,556 ("'556 Patent") on January 24, 2012. A true and correct copy of the '556 Patent is attached hereto as Exhibit G.

⁷ True and correct copies of relevant excerpts of the prosecution file history of the '499 Application are attached hereto as Exhibits H-K.

⁸ The '499 Application and the '608 Patent were examined by different examiners at the U.S. PTO.

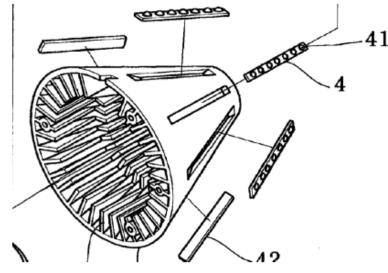


Figure 9. Excerpt of Fig. 6 from Park showing hollow conical bulb cover upon which LEDs are affixed and through which air travels to conduct heat away from the LEDs.

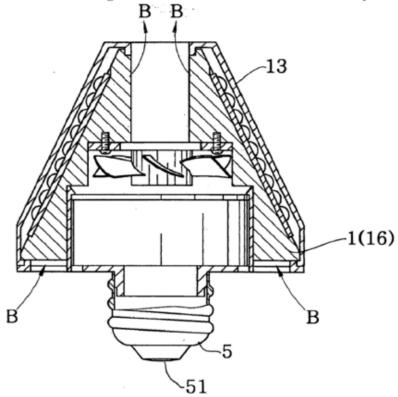


Figure 10. Cross section of conical LED light bulb embodiment in Park as Fig. 8, showing hollow conical bulb cover upon which LEDs are affixed and through which air travels to conduct heat away from the LEDs (airflow is shown labeled as "B").

32. As can be seen by comparing the disclosures in Park with Figure 11 below, annotated by LDG in the claim chart allegedly showing how the accused SANSI LED

light bulbs meet the limitations of the '608 Patent, both LED bulbs feature upper and lower openings through which air flows (or in the case of the accused SANSI LED light bulbs, is alleged by LDG to flow).

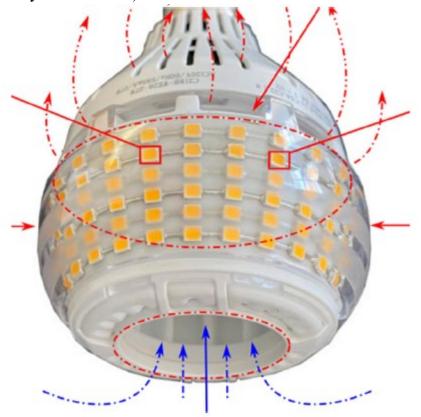


Figure 11. Image of an accused SANSI LED light bulb annotated by LDG¹⁰ to indicate the alleged direction of air flow in the product.

⁹ While the airflow direction in Park is opposite what LDG alleges for the accused SANSI LED light bulbs, the examiner specifically rejected the Applicant's attempt to distinguish Park on the basis of the airflow caused by the fan in Park, noting that it would have been obvious to remove the fan and allow for passive cooling, *see* Ex. I at 2011.7.13 Final Rejection, at 10-11 (Exhibit pages 12-13), and the Applicant's amendments to the '499 Application in order to overcome Park are directed to other limitations, as explained below.

¹⁰ LDG labeled the claim chart it provided to SANSI for claim 15 of the '608 Patent as "Privileged and Confidential Attorney-Client Communication." Although SANSI does not agree with this designation, SANSI has omitted this document as an exhibit as a precautionary measure and will provide it to the Court or file it as necessary.

The Applicant of the '499 Application and the '608 Patent secured 33. allowance of the '499 Application by amending the claims to clarify that they were directed to light fixtures, not light bulbs. Specifically, to distinguish Park and secure allowance of the '499 Application, the Applicant amended independent claims 1 and 14 (which include largely the same limitations as the claims of the '608 Patent) to include additional limitations specific to light fixtures rather than the light bulbs claimed by Park. See, e.g., Ex. J at claims 3, 5. For example, claim 14 of the '499 Application recites 11 a light fixture comprising a member comprising first and second surfaces, first and second apertures, a channel between the apertures, LEDs mounted on the member, and using the light fixture such that air enters the channel through the second aperture and transfers heat from the LEDs, exiting through the first aperture. Ex. J at claim 14. In other words, claim 14 of the '499 Patent tracks claim 15 of the '608 Patent. To overcome the rejection in view of Park, the Applicant amended claim 14 to add the following two limitations to the claimed light fixture: "a top planar member extending radially outward from the top end" and "an outer arcuate member extending downwardly from around the perimeter of the top planar member." See Ex. J at claim 14. These additional limitations can be seen as 110a and 110¹² in what is Figure 1 in both the '499 Application and '608 Patent:

18

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

19 20

21

22

23

24

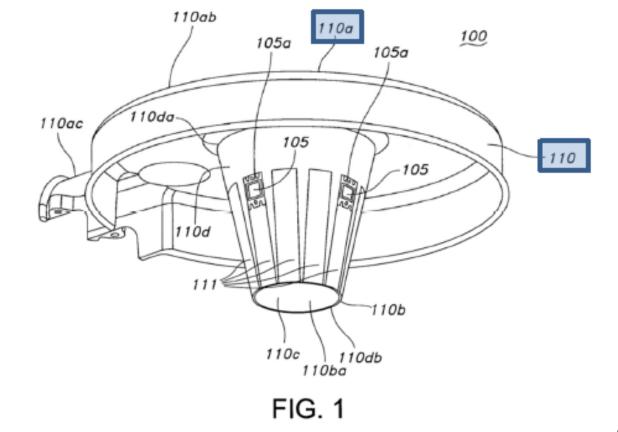
25

26

27

¹¹ Claim 14 is a method claim which claims a "method for cooling a light fixture, comprising the steps of" providing a light fixture with certain characteristics and using the light fixture such that air travels in the channel as claimed in the '608 Patent. *See* Ex. J at claim 14.

¹² While 110 in the specifications of the '499 Application and '608 Patent refers to the entire housing, including for example the downward-extending cone upon which LEDs are mounted, SANSI refers here only to the circular portion that in Fig. 1 the annotation 110 is pointing directly to, and which corresponds to the "outer arcuate member extending downwardly from around the perimeter of the top planar member" limitation added to the '499 Application in prosecution.



These added limitations plainly distinguish the claims of the '499 Application from the LED light bulb claimed by Park, and the examiner allowed the claims after this amendment. Ex. K.

20 | 21 | 22 | 23 | 24

34. In other words, the Applicant in seeking allowance of the '608 Patent, to overcome rejection over an LED light bulb patent closely resembling the accused SANSI LED light bulbs, amended the corresponding claims of a nearly identical patent to specifically claim structures present in light fixtures, but **not** present on LED light bulbs (such as produced by SANSI or claimed by Park). While Park was cited, along with 30 other references, in an information disclosure statement in the application that led to the '608 Patent, it was never substantively applied during prosecution of that patent. Despite this, the Applicant in the application that led to the '608 Patent never made the Patent Office Examiner aware of the relevance of Park and its use in rejecting claims of similar scope in the '499 Application to those being pursued in the '608 Patent Application.

3

1

4 5

7 8

6

10 11

9

12

14

13

1516

17 18

19

20

21 22

23

2425

2627

28

3. The SANSI Products Accused by LDG are Light Bulbs, not Light Fixtures, and Do Not Infringe the '608 Patent.

- The '608 Patent relates to, discloses, and claims, a light fixture or luminaire 35. with particular characteristics. See, e.g., Ex. B at claim 1 ("A light fixture, comprising: . .."); id. at claim 9 ("A light fixture, comprising: ..."); id. at claim 15 ("A light fixture, comprising: ..."). In other words, the '608 Patent claims an improved light fixture, and not a "lamp" or light bulb such as those accused by LDG of infringement. The specification repeatedly makes this distinction clear. For example, the specification explains that a light fixture or luminaire need not have a "light emitting element" such as a light bulb installed in order to be considered a light fixture or luminaire. See id. at 1:43-46 ("A light fixture that has a socket, connector, or surface configured to receive a light emitting element, but no light emitting element installed therein, is still considered a luminaire."). The specification further discusses how the placement of a "lamp" (i.e., light bulb) within a light fixture will affect the light distribution patterns. See, e.g., id. at 1:55-56 ("Lamp placement within the light fixture also plays a significant role in determining light distribution."). For example, positioning a light bulb horizontally will have a different lighting effect than positioning it vertically. *Id.* at 57-60 ("[A] horizontal lamp orientation typically produces asymmetric light distribution patterns, and a vertical lamp orientation typically produces a symmetric light distribution pattern.").
- 36. Each of the accused SANSI light bulb products is a light bulb. In the terminology of the '608 Patent, each is a "light emitting element," or, in the technical terminology of the field, a "lamp." None fall within the definition, either as provided in the '608 Patent or under the standard terminology in the field, of a "light fixture" or "luminaire." As noted above, the '608 Patent explains that a "typical luminaire [or light fixture] includes one or more light emitting elements, one or more sockets, connectors, or surfaces configured to position and connect the light emitting elements to a power supply, an optical device configured to distribute light from the light emitting elements, and mechanical components for supporting or suspending the luminaire." *Id.* at 1:35-41. The

accused SANSI light bulb products do not "include" one or more light emitting elements. The accused SANSI light bulb products have no sockets, nor any mechanical components for suspension or support. Nor do they have an optical device configured to distribute light from a light emitting element (again, they are light emitting elements under the '608 Patent's own definition). Nor are the accused SANSI light bulb products "complete lighting units." Rather, they are light bulbs designed to fit into a light fixture or luminaire via a lampholder or socket.

37. Each limitation of the '608 Patent requires a "light fixture" with various characteristics. The accused SANSI light bulb products therefore do not meet the most basic limitation in each and every claim of the '608 Patent—that it be a light fixture. None of the accused SANSI light bulb products can or do infringe the '608 Patent.

COUNT I Declaratory Judgment of Non-Infringement

- 38. SANSI incorporates paragraph 1 through 37 of this Complaint as if set forth fully herein.
- 39. As described above, LDG has alleged that the accused SANSI light bulb products infringe the '608 Patent. LDG's allegations of patent infringement have caused Amazon to remove a number of SANSI's product listings on Amazon stores operated by SANSI LED and SANSI Smart and could do so again. In addition, LDG has sued Shanghai SANSI for infringing a number of other patents related to LED lighting that are from the same family as the '608 Patent. Therefore, there is an actual, substantial, continuing, and justiciable controversy between SANSI and LDG regarding whether SANSI and the accused SANSI light bulb products infringe the '608 Patent.
- 40. As shown above, the accused SANSI light bulb products are light bulbs. Each claim of the '608 Patent is directed to a "light fixture." As explained in detail above, light bulbs are not light fixtures, and light fixtures are not light bulbs. SANSI does not infringe, and has not infringed, the '608 Patent.

1	41.	SANSI is entitled to a declaratory judgment that it has not infringed and is	
2	not infringing the '608 Patent.		
3	PRAYER FOR RELIEF		
4	WHEREFORE, SANSI respectfully requests the following relief:		
5	1.	A declaratory judgment that SANSI's products do not infringe the '608	
6	Patent;		
7	2.	A declaration that this is an exceptional case pursuant to 35 U.S.C. § 285;	
8	3.	An award to SANSI of its costs, expenses, and fees, including reasonable	
9	attorneys' fees, in this action; and		
10	4.	Such other relief as this Court may deem just, equitable, and proper.	
11	DEMAND FOR JURY TRIAL		
12	Plaintiffs demand a trial by jury on all claims and issues triable to a jury.		
13	DATED this 30th day of September, 2022.		
14		OSBORN MALEDON, P.A.	
15			
16		By s/ Eric M. Fraser	
17		Eric M. Fraser Phillip W. Londen	
18		2929 North Central Avenue, Ste. 2100 Phoenix, Arizona 85012-2793	
19		Thochia, Tarzona 05012 2775	
20			
21			
22			
23			
24			
25			
26			
27			
28			

1	ORRICK HERRINGTON & SUTCLIFFE LLP
2	Richard F. Martinelli (pro hac vice application
3	to be filed)
4	51 West 52nd Street New York, New York 10019
5	
6	Yufeng (Ethan) Ma (pro hac vice application to be filed)
7	4703 Park Place 1601 Nanjing Road West
8	Shanghai, 200040
9	People's Republic of China
10	Evan Brewer (pro hac vice application to be
11	filed) 1000 Marsh Road
12	Menlo Park, California 94025
13	Attorneys for Plaintiffs
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	