MOSHE A. PERRY 23705 VANOWEN ST. # 262 WEST HILLS, CA, 91307 (747) 224-9515

ORIGINAL

UNITED STATES DISTRICT COURT Eastern District of Virginia

In Re:

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MOSHE A. PERRY,

PLAINTIFF,

VS.

United States Patent And Trademark Office ("USPTO"); Andrei Iancu, Under Secretary Of Commerce For Intellectual Property And Director Of The United States Patent And Trademark Office; Wendy Garber, Director Patent Technology Center 3600 (No. 3649); Darnell Jayne, Director (No. 3649); Dale Shaw (The Deputy Director Stakeholder Outreach And Patents Ombudsman United States Patent And Trademark Office); Kristine Clarette Matter, (Examiner); Katherine Matecki, (Group Director, Technology Center No. 3600-3649); Laura Martin (Examiner); Shirene Willis Brantley, (Attorney Advisor At The USPTO Petition Department); Charles Steven Brantley, (Attorney Advisor At The USPTO Petition Department, In Their Administrative And Official Capacities Subject To The Policies of The Secretary of Commerce, Does 1 Through 10 Known And Unknown Defendants, et., al.,

DEFENDANTS.

Verified Complaint With Subject Matter Jurisdiction Invested In the United States District Court As Asserted By The Court of Appeal For the Federal Circuit, Pursuant To 28 U.S.C. § 1338(a); federal question exception under 28 U.S.C. § 1331; Violation of Plaintiff's Civil Rights Under Bivens v. Six Unknown Fed. Narcotics Agents, 403 U.S. 388; RICO 18 U.S.C. § 1961² By Means of 42 U.S.C. §1983; 28 U.S.C. § 1332 - Diversity of Citizenship; Under The Administrative Procedure Act ("APA") 5 U.S.C. § 701-§ 706; With Civil Remedies Under 18 U.S.C. § 1964 Against The USPTO Director; **Examiners And All Other Defendants For** Fraud, Concealment, Conspiracy In Violation of 18 U.S.C. § 1001 (As Referenced)³; Tortious Harassment; Retaliation; Intentionally Deceiving Plaintiff Entitlement For Patent; Obstruction of Justice; 4 Violation of The Federal Tort Claims Act ("FTCA") FTCA, 28 U.S.C. §§ 2671-2680 And 28 U.S.C. § 1346(b)(1);; Theft of Plaintiff's Intellectual Properties, Patent Ideas⁵ For Publication of Non- Publish Patent Applications And Posting Them Online For Sale In The USPTO "PublicPair" Portal⁶ In Violation of 18 U.S.C. §

³⁵ U.S.C. 2: Powers and duties.

² RICO - 18 U.S.C. § 1961 - Definitions (B): any act which is indictable under any of the following provisions of title 18 U.S.C.

¹⁸ U.S.C. § 1001 - Crimes and Criminal Procedure § 1001. Statements or entries generally.

¹⁸ U.S.C. § 1503 (relating to obstruction of justice);

¹⁸ U.S.C. § 1831 and 1832 (relating to economic espionage and theft of trade secrets).

Violation of 18 U.S.C. § 1957 (relating to engaging in monetary transactions in property derived from specified unlawful activity).

1957; Unfair Competition Affecting
Commerce⁷; Discrimination Practices In
Depriving Plaintiff's Right To Appeal To The
PTAB after He already Paid His Appeal Fees,
USPTO Accrued Frivolous Extension Fees In
Order To Abandon Plaintiff's Four (4) Patent
Applications In Violation of Plaintiff's
Constitutional Rights; Refusing To Grant
Patents In Violation of 28 U.S.C. § 1337;

USPTO And Agents Illegally Hacked Into Plaintiff's Gmail And Personal Computer And Erased Emails HE Received From The USPTO; In Violation of 18 U.S.C. § 10308 Which Directly Prohibits Criminal Activity Using Computers; 5 CFR Part § 2635 Standards of Ethics; Request For Criminal/Non-Criminal Referral To The United Stated Attorney General - U.S. Justice Dep. (18 U.S.C. § 1957(e) And 18 U.S.C. § 3333¹⁰) For A Civil Investigative Demand Report Under 18 U.S. Code § 1968 Investigation of Such Alleged Racketeering Violation. Request For Relief To Obtain A Patent Pursuant To 35 U.S.C. § 145 From The USPTO: Request For Declaratory Judgment Under 28 U.S.C. § 2201; Injunctive Relief Under 15 U.S.C. § 1116, And Mandamus Under 28 U.S.C. § 1361; For Damages For Injuries

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18 U.S.C. § 1957(e): "[V]iolations of this section may be investigated by such components of the Department of Justice as the Attorney General may direct, and by such components of the Department of the Treasury as the Secretary of the Treasury may direct, as appropriate,..."

18 U.S.C. § 3333(a) (a): "[A] special grand jury impaneled by any district court, with the concurrence of a majority of its members, may, upon completion of its original term, or each extension thereof, submit to the court a report— (1) concerning noncriminal misconduct, malfeasance, or misfeasance in office involving organized criminal activity by an appointed public officer or employee as the basis for a recommendation of removal or disciplinary action..."

¹⁸ U.S.C. § 1951 (relating to interference with commerce).

Mr. Perry's Gmail emails that were exchanged by the USPTO and plaintiff and erased by the hacking are covered under 18 U.S.C. § 1030, relating to fraud and related activity in hacking. See "Prosecuting Computer Crimes Computer Crime and Intellectual Property Section Criminal Division." Published by Office of Legal Education Executive Office for United States Attorneys, broadened the definition of "protected computer" in 18 U.S.C. § 1030(e)(2) to the full extent of Congress's commerce power by including those computers used in or affecting interstate or foreign commerce or communication; and Computer Fraud and Abuse Act provided a mechanism for civil and 1030 violations. or derived from section forfeiture of property used in https://www.justice.gov/criminal/file/442156/download.

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- 1) It is shameful the United States Patent and Trademark Office use disgraceful thievery of patents applications and intellectual properties from innocent United States citizens, its untrustworthiness, and its infidelity to law by forcing publication of Mr. Perry's non-publish patent applications, and posting them for sale and profit on the USPTO "PublicPair" to make more money.
- 2) Depriving ordinary people as Mr. Moshe Avram Perry the labor of his inventive work is a sad chapter on American lack of ingenuity and the constant strive for monopolies. This diminishes the creation of jobs and hurt American people who are looking for work. It makes growth of trade and E-Commerce reserved to the rich, and impossibility to the average American to live the American dream.

"All animals are equal, but some animals are more equal than others," [George Orwell in "Animal Farm."]¹¹

- 3) Mr. Perry alleges that the USPTO promotes monopolies by creating severe constraints on ordinary inventors by creating delays and charging frivolous extension fees created from thin air to cause abandonment of patent applications as was done against Mr. Perry and his small startup company he had founded.
- 4) What is alarming is the phenomenon of judge-shopping in patent cases—in which patent some litigants have taken advantage of the very same quirk in Texas procedure to file a wildly disproportionate percentage of patent suits in the Waco Division of the Western District of Texas to undermine patent applicants innocent applicants. Mr. Perry hopes that is not the case in the Eastern District Court of Virginia in Alexandria.
- 5) In the State of Israel where Mr. Perry is from, the State of Israel grants protection to patent applicants, which helps the State of Israel which has long been known as "<u>Startup Nation</u>" due to founding the most tech companies per capita. Israel allows entrepreneurially-minded people and not diminish natural entrepreneurs' minds by losing patents application in the USPTO.

I. COMPLAINT

6) Plaintiff Moshe A. Perry ("M.A. PERRY"), for his Complaint against the United States Patent and Trademark Office ("USPTO") and Andrei Iancu and examiners, Under Secretary of

A proclamation by the story characters in "<u>Animal Farm</u>" who control the government in the novel of absolute equality of their citizens but give power and privileges to a small elite].

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Commerce for Intellectual Property and Director of the United States Patent and Trademark Office, Wendy Garber, Director Patent Technology Center 3600 (No. 3649); Darnell Jayne, Director (No. 3649); USPTO "<u>Hvatt Unit</u>" personnel; Dale Shaw, the Deputy Director Stakeholder Outreach and Patents Ombudsman United States Patent and Trademark Office; Kristine Clarette Matter, (Examiner); Katherine Matecki, (Group Director, Technology Center No. 3649); Laura Martin (Examiner); Shirene Willis Brantley, (Attorney Advisor At The USPTO Petition Department); Charles Steven Brantley, (Attorney Advisor At The USPTO Petition Department), alleges as follows:

- 7) No judgment could ever fully remedy the injuries, discrimination and harassment that the USPTO has inflicted on Mr. PERRY through its illegal mistreatment of him, but in this action Mr. Perry also seeks to stop the USPTO from inflicting yet even greater injury upon him: delaying the vindication of his rights to be fairly heard his patent applications and be issued patents.¹²
- 8) Article I, section 8 of the Constitution¹³ establishes the goal of the U.S. Patent system: scientific progress. Congress adopted a system which attempted "to reconcile this Nation's deep-seated antipathy to monopolies with the need to encourage progress"¹⁴ by motivating innovators to disclose trade secrets in exchange for limited-term protection against the manufacture, use, sale, or importation of products incorporating the protected technology.¹⁵
- 9) The USPTO has only the power granted to them by Congress. ¹⁶ As an administrative agency, the USPTO is subject to the Administrative Procedure Act. Among the USPTO's powers is a

See Oil States Energy Servs., LLC v. Greene's Energy Grp., LLC, 138 S. Ct. 1365, 200 L. Ed. 2d 671 (2018) "[A]s Chief Justice Marshall explained, courts treated American invention patents as recognizing an "inchoate property" that exists "from the moment of invention." Evans v. Jordan, 8 F. Cas. 872, 873 (No. 4,564) (CC Va. 1813). American patent holders thus were thought to "hol[d] a property in [their] invention[s] by as good a title as the farmer holds his farm and flock." Hovey v. Henry, 12 F. Cas. 603, 604 (No. 6,742) (CC Mass. 1846) (Woodbury, J.). And just as with farm and flock, it was widely accepted that the government could divest patent owners of their rights only through proceedings before independent judges..."

U.S. CONST. art. I, § 8, cl. 8 ("The Congress shall have Power...To promote the Progress of Science and useful Arts, by securing for limited Times to Authors and Inventors the exclusive Right to their respective Writings and Discoveries.").

Diamond v. Chakrabartv, 447 U.S. 303, 319 (1980) (Brennan, J., dissenting); see also <u>Graham v.</u>

John Deere Co., 383 U.S. 1, 7-10 (1966).

³⁵ U.S.C. § 154(a) (2012) (giving a patent owner the right to prevent competitors from making, using, selling, or importing the patented invention for a period starting on the date the USPTO issues the patent and ending twenty years after the effective date of the application.).

The Constitution also provides Congress with a tool for accomplishing that goal. Congress established the USPTO charged with evaluating which contributions to scientific progress are worthy of the grant of the monopoly by patent and the standards by which that determination is to be made.

general power to "establish regulations, not inconsistent with the law which...shall govern the conduct of proceedings in the Office."¹⁷ The Federal Circuit has, however, held that this "does not vest the USPTO with any general substantive rulemaking power" it only grants the power to make procedural rules. ¹⁸

II. PARTIES

- 10) Plaintiff Mr. Moshe Avram PERRY resides in Los Angeles County, California. Mr. Perry is a Pro Se inventor who filed four patent applications pending before the United States Patent and Trademark Office ("USPTO"). Mr. PERRY filed, and is the sole owner of Patent Applications which the USPTO acted in bad faith were <u>all</u> unfairly and unreasonably abandoned: No.:14/794,807; No., 15/382,598, and No.:15/709,307 and No. 16/599,131, after Mr. Perry already paid the fees intended for patent applications prosecution, when he was entitled to specific relief, i.e., the grant of his patent applications.
- 11) The only patent prosecution occurred in patent application No.:14/794,807, which patent issuance was denied and after Mr. Perry paid his appeal fees, the USPTO charged Mr. Perry extension fees and then abandoned patent application No.:14/794,807 on February 8, 2018. (Id. Exhibit # 399).
- Defendant United States Patent and Trademark Office ("USPTO") is the federal agency responsible for examining patent applications and for issuing U.S. patents. The USPTO's headquarters is located in Alexandria, Virginia. The USPTO is a government "Agency" under the APA. 5 U.S.C. § 701(b)(1).
- Director of the United States Patent and Trademark Office.¹⁹ He has overall responsibility for the administration and operation of the USPTO, including the patent examination process. He is named as a defendant in his administrative and official capacity only.
 - 14) Defendants Wendy Garber is the Director Patent Technology Center 3600 (No. 3649);

Cooper Techs. Co. v. Dudas, 536 F.3d 1330, 1335 (Fed. Cir. 2008) ("To comply with section 2(b)(2)(A), a Patent Office rule must be 'procedural' i.e., it must 'govern the conduct of proceedings in the Office.""); Merck & Co., Inc. v. Kessler, 80 F.3d 1543, 1550 (Fed. Cir. 1996); Tafas v. Dudas, 541 F. Supp. 2d 805, 811 (E.D. Va. 2008)

The USPTO has West Coast regional offices in the Silicon Valley, California that is located in the Wing Building of San Jose City Hall, (built in 2005). Address: 26 S. Fourth Street, San Jose, CA 95113. Phone: 408-918-9900. Email: SiliconValley@USPTO.GOV. The Silicon Valley USPTO offers the ability for entrepreneurs and independent inventors to schedule a twenty minute Patent Specialist 1-on-1 Meeting in-person with a USPTO patent prosecution specialist to discuss the patent process at their regional office. https://www.uspto.gov/about-us/uspto-locations/silicon-valley-california.

⁷ 35 U.S.C. § 2(b)(2)(A) (2012).

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Darnell Jayne is the Director in Unit No. 3649; Dale Shaw is the Deputy Director Stakeholder Outreach And Patents Ombudsman United States Patent And Trademark Office; Kristine Clarette Matter was Mr. Perry's Examiner in his patent application No.:14/794,807; Katherine Matecki, is the Group Director, Technology Center No. 3600-3649; Laura Martin (Supervisor Examiner); Shirene Willis Brantley, is an attorney advisor at the USPTO petition department; Charles Steven Brantley is an attorney advisor at the USPTO petition department.

III. WAIVER OF QUALIFIED IMMUNITY

- 111) Mr. Perry had properly served the USPTO with administrative claim forms prior to filing his lawsuits holding them responsible for his injuries, for his four patent applications to which the USPTO had refused and failed to make any final disposition of his claims within six months. See 28 U.S.C. § 2675(a).²⁰
- 112) The USPTO waiver of any sovereign immunity with respect to actions seeking specific relief for money damages is appropriate, and for such injunction, a declaratory judgment, or a writ of mandamus is proper. *Bowen v. Massachusetts*, 487 U.S. 879 (1988).
- Angeles, 548 F.3d 1197, 1210 (9th Cir. 2008). "Immunity ordinarily should be decided by the court long before trial." Hunter v. Bryant, 502 U.S. 224, 228 (1991). Only when "historical facts material to the qualified immunity determination are in dispute" should the district court submit the factual dispute to a jury. Torres, 548 F.3d at 1211; see also Newmaker v. City of Fortuna, 842 F.3d 1108, 1116 (9th Cir. 2016).
- 114) If the only material dispute concerns what inferences properly may be drawn from the historical facts, a district court should decide the issue of qualified immunity. <u>Conner</u>, 672 F.3d at 1131 n.2 ("[W]hile determining the facts is the jury's job (where the facts are in dispute), determining what objectively reasonable inferences may be drawn from such facts may be determined by the court as a matter of logic and law."). Only the judge can decide whether a particular constitutional right was "clearly established" once any factual issues are resolved by a fact finder. See <u>Morales v. Fry</u>, 873 F.3d 817, 823 (9th Cir. 2017).

²⁰ 28 U.S.C. § 2675(a): "[T]he failure of an agency to make final disposition of a claim within six months after it is filed shall, at the option of the claimant any time thereafter, be deemed a final denial of the claim for purposes of this section.""(b): Action under this section shall not be instituted for any sum in excess of the amount of the claim presented to the federal agency..."

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- 115) A defendant is entitled to qualified immunity as a matter of law only if, taking the facts in the light most favorable to the nonmoving party, he or she did not violate any clearly established constitutional right. *Torres*, 548 F.3d at 1210. If reasonable jurors could believe that the defendant violated the plaintiff's constitutional right, and the right at issue was clearly established, the case should proceed to trial. Id.; see also *LaLonde v. County of Riverside*, 204 F.3d 947, 953 (9th Cir. 2000).
- review under the Administrative Procedure Act, 5 U.S.C. § 701, et seq. By Pub.L. No. 94-574, Act of October 21, 1976, 90 Stat. 2721, 5 U.S.C. § 702 was amended to provide that an ("action in a court of the United States seeking relief other than money damages and stating a claim that an agency or an officer or employee thereof acted or failed to act in an official capacity or under color of legal authority shall not be dismissed nor relief therein be denied on the ground that it is against the United States or that the United States is an indispensable party.") In addition, 5 U.S.C. § 703 has been amended to allow suit to be brought against the United States or any of its agencies or officers.
- 117) "While federal officials will not be liable for mere mistakes in judgment, whether the mistake is one of fact or one of law, there is no substantial basis for holding that executive officers generally may with impunity discharge their duties in a way that is known to them to violate the Constitution, or in a manner that they should know transgresses a clearly established constitutional rule. Pp. 438 U. S. 504-508." (*Butz v. Economou* 438 U.S. 478 (1978)).
- 118) The Administrative Procedure Act waives sovereign immunity for Mr. Perry's claims. 5 U.S.C. §§ 702, 706(1) ("An action in a court of the United States seeking relief other than money damages and stating a claim that an agency or an officer or employee thereof acted or failed to act in an official capacity or under color of legal authority shall not be dismissed nor relief therein be denied on the ground that it is against the United States....").²¹

[&]quot;[R]elief in Certain Extraordinary Situations: This notice addresses the extraordinary situation in which a Patent and Trademark Office (PTO) customer has been intentionally deceived by his or her representative, resulting in a potential loss of intellectual property rights. In such a situation, the PTO will mitigate any such potential loss, to the extent possible within the PTO's statutory framework and the bounds of controlling law. To mitigate any such loss, the Commissioner of Patents and Trademarks may suspend or waive certain regulations. When that is the case, the Commissioner will exercise his power to do so under 37 C.F.R. 1.183 and 2.148 ("In an extraordinary situation, when justice requires," the Commissioner has the power to sua sponte suspend or waive any requirement of the regulations which is not required by statute.). In advance of the occurrence of such an extraordinary situation, the Commissioner cannot determine what specific action justice will require. Such action, however, could include waiving of certain non-statutory fees, reviving an abandoned application, or granting an application filing date based on the PTO filing date of a copending document that has all the elements of a patent application required by law. August 11, 1995. BRUCE A. LEHMAN,

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119) In <u>Harlow v. Fitzgerald</u>, 457 U.S. 800, 457 U.S. 800 (1982), the Supreme Court provided the limits of a qualified immunity: "[g]overnment officials performing discretionary functions generally are shielded from liability for civil damages insofar as their conduct does not violate "clearly established" statutory or constitutional rights of which a reasonable person would have known. Pp. 457 U.S. 815-819." ("Citations")

IV. JURISDICTION

- The Supreme Court has counseled that "[n]ot every claim invoking the Constitution...is cognizable under the Tucker Act." The claim must be one for money damages against the United States." Id. Mitchell v. United States, 463 U.S. 206, 216-17 (1983) at 216. In Carruth v. United States, 224 Ct.Cl. 422, 627 F.2d 1068 (1980); Crocker v. United States, 125 F.3d 1475, 1476 (Fed.Cir.1997), the court of Appeals for the Federal Circuit ("CAFC") had explained that the District Court has jurisdiction to hear a case and award punitive damages, when the Federal Claims Court does not have jurisdiction over claims involving due process violations of the Fifth or Fourteenth Amendment. Similarly, the United States Court of Federal Claims does not have jurisdiction to consider the propriety of a taking. See Crocker, 125 F.3d at 1476.
- See Contreras v. United States, 64 Fed. Cl. 583, 588 (2005); see also Mitchell, 463 U.S. at 216-18: "[I]f a plaintiff is not proceeding under a contract with the United States, he must demonstrate that his cause of action is "based on a law or regulation that either entitles the plaintiff to a payment of money from the government, or places a duty upon the government, the breach of which gives the plaintiff a money damages remedy." ("Citation")
- This is an action seeking (1) specific relief from retention of patent applications fees under the Administrative Procedure Act ("APA") without examinations to charge fraudulent, frivolous extension fees in order to cause abandonment of patent applications. The APA authorizes the District Court to hear and decide claims against federal agencies seeking "relief other than money damages." 5 U.S.C. § 702. Specific relief is such a remedy. See generally <u>Bowen v. Massachusetts</u>, 487 U.S. 879 (1988).
- 18) The district courts have original jurisdiction of any civil action or proceeding arising under any Act of Congress regulating commerce or protecting trade and commerce against restraints and

Assistant Secretary of Commerce and Commissioner of Patents and Trademarks [1178 OG 42]" https://www.uspto.gov/news/og/1995/week37/patreli.htm.

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monopolies under 28 U.S.C. §§ 1337 (Commerce and antitrust), unfair competition under 28 U.S.C. § 1338(a).

- The district court review may be predicated on the general federal question jurisdiction statute, 28 U.S.C. § 1331.²² See in <u>Leedom v. Kyne</u>, 358 U.S. 184, 79 S.Ct. 180, 3 L.Ed.2d 210 (1958), the Supreme Court held that a District Court has jurisdiction under an exception in 28 U.S.C. § 1331 in the very limited circumstance where there is a clear violation of an express mandate of the statute, and the plaintiff has no alternative means of review. See <u>Hartz Mountain Corporation v. Dotson.</u> 727 F.2d 1308, 1311-12 (D.C. Cir. 1984). ("Citation") <u>Telecommunications Research Action v. F.C.C</u>, 750 F.2d 70, 242 U.S. App. D.C. 222 (D.C. Cir. 1984).
- 20) 28 U.S.C. § 1331 is the principal basis of federal jurisdiction in litigation against the federal government and its agencies for injunctive relief. Under <u>Bivens v. Six Unknown Named Agents</u>, <u>individual employees of the federal government</u> are subject to suit for damages for acts in violation of plaintiffs' federal constitutional rights.
- 21) The district courts also have jurisdiction under specific statutory provisions for the recovery of money damages under the "Little Tucker Act" (28 U.S.C. § 1346),²³ and the Federal Tort Claims Act, are unaffected. [See H.Rep. 94-1656, p. 13, 1976 U.S. Code Cong. & Ad. News 6133].
- The district court also has the duty to examine sua sponte whether jurisdiction exists, regardless how the parties have framed their claims. See, e.g. <u>Gonzalez v. Thaler</u>, 565 U.S. 134, 141 (2012): "[W]hen a requirement goes to subject matter jurisdiction, courts are obligated to consider sua sponte the issues that the parties have disclaimed or have not presented. Subject matter jurisdiction can never be waived or forfeited.") (internal citations omitted).

The barrier to judicial review of administrative action was removed by section 2 of Pub.L. No. 94-574, which amended 28 U.S.C. § 1331(a) so as to eliminate the \$10,000 amount-in-controversy requirement in actions against the United States, any agency thereof, or any officer or employee thereof in his official capacity. This provision persuaded the Supreme Court to conclude that, subject to preclusion-of-review statutes, jurisdiction to review agency action is conferred by 28 U.S.C. § 1331, and that the Administrative Procedure Act is not an independent grant of jurisdiction. See Califano v. Sanders, 430 U.S. 99, 105-07 (1977).

The "<u>Tucker Act</u>" (March 3, 1887, ch. 359, 24 Stat. 505, 28 U.S.C. § 1491) is a federal statute of the United States by which the United States government has waived its sovereign immunity with respect to certain lawsuits. The Tucker Act may be divided into the "<u>Big"</u> Tucker Act, which applies to claims above \$10,000 and the "<u>Little</u>" Tucker Act (28 U.S.C. § 1346), gives jurisdiction to the United States Court of Federal Claims, and the United States District Courts jurisdiction "for the recovery of any erroneous or illegal assessed or collected, or any penalty claimed to have been collected without authority or any sum alleged to have been excessive or in any manner wrongfully collected under the internal-revenue laws", and for claims below \$10,000.

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- 23) Plaintiff PERRY private rights²⁴ for "Novel" patent concept and ideas. (Post, at 10 (quoting <u>McCormick Harvesting Machine Co. v. Aultman</u>, 169 U. S. 606, 612 (1898)). See, e.g., <u>Florida Prepaid Postsecondary Ed. Expense Bd. v. College Savings Bank</u>, 527 U. S. 627, 642 (1999); <u>James v. Campbell</u>, 104 U. S. 356, 358 (1882).
- This Court has duty to examine sua sponte whether jurisdiction exists, regardless how the parties have framed their claims. See, e.g. <u>Gonzalez v. Thaler</u>, 565 U.S. 134, 141 (2012) ("When a requirement goes to subject matter jurisdiction, courts are obligated to consider sua sponte the issues that the parties have disclaimed or have not presented. Subject matter jurisdiction can never be waived or forfeited.") (internal citations omitted).
- 25) In <u>United States v. Yermian</u>, 468 U.S. 63, 73, 104 S.Ct. 2936, 82 L.Ed.2d 53 (1984) determine the propriety of implied <u>cause of action</u> from federal statutes, "our focus must be on the intent of Congress when it enacted the statutes...." <u>Daily Income Fund, Inc. v. Fox</u>, 464 U.S. 523, 536, 104

JUSTICE GORSUCH, with whom THE CHIEF JUSTICE joins, dissenting: "The Constitution's original public meaning supplies the key, for the Constitution cannot secure the people's liberty any less today than it did the day it was ratified. The relevant constitutional provision, Article III, explains that the federal "judicial Power" is vested in independent judges. As originally understood, the judicial power extended to "suit[s] at the common law, or in equity, or admiralty. Murray's Lessee v. Hoboken Land & Improvement Co., 18 How. 272, 284 (1856). From this and as we've recently explained, it follows that, "[w]hen a suit is made of the stuff of the traditional actions at common law tried by the courts at Westminster in 1789....and is brought within the bounds of federal jurisdiction, the responsibility for deciding that suit rests with" Article III judges endowed with the protections for their independence the framers thought so important. Stern v. Marshall, 564 U. S. 462, 484 (2011) (internal quotation marks omitted). The Court does not quarrel with this test. See ante, at 12–14. We part ways only on its application"

See Oil States Energy Services, LLC v. Greene's Energy Group, LLC. (16-712, 04-24-2018). JUSTICE BREYER, with whom JUSTICE GINSBURG and JUSTICE SOTOMAYOR join, concurring: "[B]ut the Court's opinion should not be read to say that matters involving private rights may never be adjudicated other than by Article III courts, say, sometimes by agencies. Our precedent is to the contrary. Stern v. Marshall, 564 U. S. 462, 494 (2011); Commodity Futures Trading Comm'n v. Schor, 478 U. S. 833, 853-856 (1986); see also Stern, supra, at 513 (BREYER, J., dissenting) ("The presence of 'private rights' does not automatically determine the outcome of the question but requires a more 'searching' examination of the relevant factors")...." This Court has not "definitively explained" the distinction between public and private rights, Northern Pipeline Constr. Co. v. Marathon Pipe Line Co., 458 U. S. 50, 69 (1982), and its precedents applying the public-rights doctrine have "not been entirely consistent." Stern, 564 U. S., at 488.....Our precedents have recognized that the doctrine covers matters "which arise between the Government and persons subject to its authority in connection with the performance of the constitutional functions of the executive or legislative departments." Crowell v. Benson, 285 U.S. 22, 50 (1932). In other words, the public-rights doctrine applies to matters "arising between the government and others, which from their nature do not require judicial determination and yet are susceptible of it." Ibid. (quoting Ex parte Bakelite Corp., 279 U. S. 438, 451 (1929))..."

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S.Ct. 831, 838, 78 L.Ed.2d 645 (1984). <u>Accord California v. Sierra Club</u>, 451 U.S. 287, 101 S.Ct. 1775, 68 L.Ed.2d 101 (1981); <u>Home Health Services</u>, <u>Inc. v. Currie</u>, 531 F. Supp. 476 (D.S.C. 1982), aff'd 706 F.2d 497 (4 Cir. 1983).

- 26) 28 U.S.C. § 1361 confers on the district courts "jurisdiction of any action in the nature of mandamus to compel" a federal officer, employee, or agency "to perform a duty owed to the plaintiff." The mandamus jurisdiction conferred by this provision is available only if the plaintiff has a clear right to relief, the duty breached is "a clear nondiscretionary duty," and no other remedy is available. <u>Bowen v. Massachusetts</u>, 487 U.S. 879 (1988).
- Clause, U.S. Const. amend. V; (3) an order under the APA setting aside the USPTO's unlawful policies regarding all of Mr. Perry's applications; (4) an order under the APA and writ of mandamus under the All Writs Act, 28 U.S.C. § 1651, directing Defendants to act on Mr. PERRY's applications in accordance with law; (5) PERRY also seeks Declaratory Judgment Act, 28 U.S.C. § 2201 (§§ 2201–02), is to provide an additional remedy, once jurisdiction is found to exist on another ground. See <u>Benson v. State Bd. of Parole and Probation</u>, 384 F.2d 238, 239 (9th Cir. 1967), cert. denied, 391 U.S. 954 (1968); <u>Schilling v. Rogers</u>, 363 U.S. 666, 677 (1960). See <u>United States v. Ajoku</u>, 584 Fed. App'x 824 (9th Cir. 2014).
- The United States district court has subject matter jurisdiction under 28 U.S.C. § 2675(a), the Federal Tort Claims Act ("FTCA"), like the Tucker Act waives the Government's sovereign immunity for any "injury or loss caused by the negligent or wrongful act of a Government employee acting within the scope of his or her employment." *Medina v. United States*, 259 F.3d 220, 223 (4th Cir. 2001).
- 29) This waiver includes actions for tortious harassment, so long as they are otherwise proper before the Court. But for an FTCA claim to be properly before the Court, a plaintiff must first present an administrative claim to the agency allegedly responsible for the plaintiff's injury. See 28 U.S.C. § 2675(a).
- 30) The District Court has subject matter jurisdiction over this action pursuant to 28 U.S.C. § 1361 Action to compel an officer of the United States to perform his duty, and 28 U.S. Code § 1355(a) "The district courts shall have original jurisdiction, exclusive of the courts of the States, of any action or proceeding for the recovery..." 28 U.S. Code § 1355(c) & (d): "In any case in which a final order disposing of property in a civil forfeiture action....shall not deprive the court of jurisdiction..."
- 120) The district courts have also subject matter jurisdiction under 18 U.S.C. § 1001. The current version of 18 U.S.C. § 1001 was enacted to "protect the authorized functions of governmental

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departments and agencies from the perversion which might result from...deceptive practices," <u>United States v. Rodgers</u>, 466 U.S. 475, 480 (1984) (quoting <u>United States v. Gilliland</u>, 312 U.S. 86, 93 (1941)). 18 U.S.C. § 1001 (false statements).

- 121) See 18 U.S.C. § 1001(a) in <u>United States v. Riccio</u>, 529 F.3d 529 F.3d 40 (2008): "Section § 1001 requires proof that the false statement was made "knowingly and willfully." While interpreting the term willfulness, we have held that it means," nothing more in this context than that the defendant knew that his statement was false when he made it or-which amounts in law to the same thing-consciously disregarded or averted his eyes from its likely falsity." <u>United States v. Gonsalves</u>, 435 F.3d 64, 72 (1st Cir.2006). In <u>Gonsalves</u>, the court expressly rejected the argument that § 1001 requires "an intent to deceive."
- A district court's equity jurisdiction provides broad and flexible powers to deliver justice in unique factual circumstances. In this case, equity would counsel that the USPTO should reinstate grant PERRY his Patent Application No.:14/794,807; and continue prosecution of PERRY's other two patent applications No.: 15/382,598, and No.:15/709,307, after they already received payments for all outstanding extension fees. This relief will remedy the USPTO's arbitrary and capricious actions.
- 32) "A district court's equity jurisdiction provides broad and flexible powers to deliver justice in unique factual circumstances. "The essence of a court's equity power lies in its inherent capacity to adjust remedies in a feasible and practical way to eliminate the conditions or redress the injuries caused by unlawful action. Equitable remedies must be flexible if these underlying principles are to be enforced with fairness and precision." See in <u>Taylor v. United States PTO</u>, 339 F. App'x. 995 (Fed. Cir. 2009) citing <u>Freeman v. Pitts</u>, 503 U.S. 467, 487 (1992).
- 122) Under 28 U.S. Code § 1357²⁵ for Injuries under Federal laws: The district courts shall have original jurisdiction of any civil action commenced by any person to recover damages for any injury to his person or property on account of any act done by him, under any Act of Congress, for the protection or collection of any of the revenues, or to enforce the right of citizens of the United States to vote in any State. (June 25, 1948, ch. 646, 62 Stat. 934.)
- 33) 28 U.S.C. § 1355 Fine, penalty or forfeiture, with the United States as defendant (a) (2) Any other civil action or claim against the United States, even those exceeding \$10,000 in amount, founded either upon the Constitution, or any Act of Congress, or any regulation of an executive department, or upon any express or implied contract with the United States, or for liquidated or

Title 28 U.S. Code § 1498 - Patent and copyright cases.

unliquidated damages in cases not sounding in tort, in connection with the transactions, acts, practices and courses of business alleged in this Complaint.

V. VENUE

- Venue is proper in this judicial district and division pursuant to 28 U.S.C. § 1391 and Local Civil Rule L.R. 3-1 F.R.Civ.P. 16 and L.R. 16. ²⁶ Mr. PERRY resides in Los Angeles County, California. Mr. PERRY filed, and is the sole owner of Patent Applications No.:14/794,807; No.: 15/382,598, and No.:15/709,307 Applications that were unreasonably and arbitrarily delayed to incur extension fees to cause the patent Applications to be abandoned. The USPTO caused damages to PERRY by ignoring his December 12, 2016 data application plainly stated "Non-Publication," the USPTO unlawfully and impermissibly posted PERRY's patent specifications, drawing and claim patent Application No.: 15/382,598 online in USPTO "PublicPair ²⁷Portal" "EFS-Web" for anyone to see.
- 35) The Defendants USPTO has West Coast regional offices in the Silicon Valley, California that is located in the Wing Building of San Jose City Hall, (built in 2005). The USPTO West Coast regional offices in the Silicon Valley address: 26 S. Fourth Street, San Jose, CA 95113. Phone: 408-918-9900. Email: SiliconValley@USPTO.GOV.²⁸

VI. NATURE OF THE ACTION

- The USPTO has a nondiscretionary duty to examine patent applications and in right and proper manner to issue patent applications that present patentable claims. 35 U.S.C. §§ 131. Likewise, an applicant who satisfies the conditions for patentability "shall be entitled to a patent." 35 U.S.C. § 102(a). Under the Patent Act, the USPTO is "responsible for the granting and issuing of patents." 35 USC §2(a)(1). When an inventor applies for a patent, an examiner reviews the proposed claims²⁹ and the prior art to determine if the claims meet the statutory requirements. See §§112, 131.
- 37) Those requirements include utility, novelty, and non-obviousness based on the prior art. §§101, 102, 103. The Director of the PTO then approves or rejects the application. See §§131, 132(a). An applicant can seek judicial review of a final rejection. §§141(a) and 145. The USPTO is governed by the

See Rules Enabling Act, 28 U.S.C. §§ 2071–2077. 28 U.S.C. § 2072. Rules of procedure and evidence; power to prescribe: (a) The Supreme Court shall have the power to prescribe general rules of practice and procedure and rules of evidence for cases in the United States district courts (including proceedings before magistrates thereof) and courts of appeals. (b) Such rules shall not abridge, enlarge or modify any substantive right. All laws in conflict with such rules shall be of no further force or effect after such rules have taken effect..."

https://portal.uspto.gov/pair/PublicPair.

https://www.uspto.gov/about-us/uspto-locations/silicon-valley-california.

³⁷ CFR 1.75 - Claim(s) - §1.75(b) which states that a patent application may contain more than one claim provided that they differ substantially from each other and are not unduly multiple.

Patent Act and its own regulations, and the MPEP provides further guidance.

- 38) 37 CFR § 42.100: "[T]he Office will apply the standard used in federal courts, in other words, the claim construction standard that would be used to construe the claim in a civil action under 35 U.S.C. 282(b), which is articulated in Phillips. This rule reflects that the PTAB in an AIA proceeding will apply the same standard applied in federal courts to construe patent claims."
- 39) The Patent Act established the USPTO, making the USPTO "responsible for the granting and issuing of patents," and authorizing it to establish regulations to "govern the conduct of proceedings in the Office." See 5 CFR. Part § 2635³⁰ set Standards of Ethics Conduct and 35 U.S.C. § 2(a)(1), (b)(2)(A).
- 40) The USPTO—like all federal agencies operating under the Administrative Procedure Act (APA) may also adopt guidance, consistent with its statutes and regulations, covering "interpretative rules, general statements of policy, or rules of agency organization, procedure, or practice." 5 U.S.C. § 553(b)(A). The USPTO Manual of Patent Examination Procedure (MPEP)³¹ that lays out, in detail, procedures that guide patent examination by the USPTO. It interprets relevant regulations, provides explanations of specific scenarios that may arise, and gives patent examiners guidance on how to respond to certain situations.
- In the case of Plaintiff, Mr. Perry, the USPTO process of patent prosecution and the evil malicious examination and the petitions decision process³² which covers up USPTO improprieties with continuance petitions, has consistently violated Plaintiff's due process in his four patents applications pursuant to the Administrative Procedures Act, 5 USC § 701 et. seq., and damaged Plaintiff with no other fair remedy other than civil action in a district court against the USPTO Director who intend to use continued reexamination with no end. See <u>Arnold v. Dudas</u>, 362 F.3d 1338; 2004 U.S. App. LEXIS 5513; 70 USPQ2d 1311 (Fed. Cir. 2004). To succeed under 5 USC § 701 et. seq., the Plaintiff must show that the decisions by the USPTO complained of were:
 - 1. Arbitrary, capricious, an abuse of discretion, or otherwise not in accordance with law;
 - 2. Contrary to constitutional right, power, privilege, or immunity;

Employees of the Executive Branch are subject to provisions of 5 CFR. Part 2635 which set forth Standards of Ethics Conduct.

The MPEP is publicly available at http://www.uspto.gov/web/offices/pac/mpep/, including current and archived versions. Unless noted otherwise, citations refer to the current version.

Petitions contain factual assertions. Factual assertions in petitions are material within the sense of rule 56. General Electro Music Corp. v. Samick Music Corp., 19 F.2d 1405, 30 USPQ2d 1149, 1154 (Fed. Cir. 1994) ("Relating to false statements in a petition to make special regarding existence of prior art search").

- 3. in excess of statutory jurisdiction, authority, or limitations, or short of statutory right;
- 4. Without observance of procedure required by law;

- 5. Unsupported by substantial evidence in a case subject to sections 556 and 557 of this title or otherwise reviewed on the record of an agency hearing provided by statute; or
- 6. Unwarranted by the facts to the extent that the facts are subject to trial de novo by the reviewing court. [5 USC 706(2).]

VII. FACTS

- Plaintiff Moshe A. Perry ("Mr. Perry") filed four patent applications and after he paid all of his patent prosecution fees the USPTO intentionally engaged in deception in one patent examination No.:14/794,807, and intentional delayed processing the patent application and accrued extension fees to caused <u>ALL</u> of Mr. Perry's four (4) patent applications to be abandoned: No.:14/794,807; No., 15/382,598, No.:15/709,307 and No. 16/599,131, for alleged frivolous extension fees.
- Only one Application No.:14/794,807 had reached a final agency action, entitled: "HOME GROCERIES SHOPPING AND/WITH HOME CHEF COOKING SERVICES AND/WITH ONLY GROCERIES SHOPPING BY DRIVER/CHEFS." When Mr. Perry requested accounting of all the fees he paid of the USPTO fees, the USPTO delayed giving him accounting and after Mr. Perry paid his appeal fees, the USPTO without notice abandoned his four patent applications: No.:14/794,807; No., 15/382,598, No.:15/709,307 and No. 16/599,131, claiming he owed extension fees. However, upon proof he paid all of his fees, the USPTO claimed they owe him no duty to provide his notice of the extension fees.
- 44) On Mar 27, 2018, 9:18 AM, <u>Dale M. Shaw</u>, the Deputy Director Stakeholder Outreach and Patents Ombudsman United States Patent and Trademark Office called Mr. PERRY and left him a voicemail. This while PERRY several of PERRY's petitions were pending on his patent applications:
 - "Mr. Perry this is Dale Shaw from the pens Ombudsman program. We have found your petition to petition is now back in the file as unfortunately is my letter to the two that you sent to me. It is also part of the record. If you put it in the same envelope, that's how it's supposed to be that's the rules so that's how that is going sent you a file the petition I suggest that you wait until the petition decision is decided that is what the Ombudsman program would be doing we would tell you to send it to petitions to file your petition. The Ombudsman cannot revive the case and, the Ombudsman cannot reactivate the case change your examiner or any of that type of the scenario. So at this point in time you have to wait for the petition decision from...from the office of petitions if you have questions, please feel free to give me a call 571-272-5555. Thank you. Goodbye."

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Finally on July 19, 2018, the USPTO provided PERRY an incomprehensible, inadequate letters that failed to account to each and every payment made, and explain all the fees that PERRY had paid timely on each of the four applications. (No.:14/794,807; No., 15/382,598, No.:15/709,307 and No. 16/599,131). However, reviving applications are not necessary when patent Application would be reinstated with no fees pursuant to 37 C.F.R §2.64(a).³³

VIII. BACKGROUND

- 46) Mr. Perry is a Pro Se inventor of four (4) patents applications No.:14/794,807; 15/382,598, No.:15/709,307 and No. 16/599,131. Plaintiff claims that the PTO has unlawfully abandoned through actions that he is challenging. The PTO has prejudged Mr. PERRY's patent applications.
- Plaintiff contends that the USPTO intended to abandon all of his patent applications No.:14/794,807; 15/382,598, No.:15/709,307 And No. 16/599,131 the USPTO protracted delays to incur extensions fees against PERRY, leading to the abandonment of his applications. The USPTO has issued Office Actions containing misleading prosecution laches rejections in Mr. Perry's applications, and intended to enter prosecution laches rejections in all of Mr. Perry's applications.

IX. STATEMENT

- 48) Fed. R. Civ. P. 8(d) Pleading To Be Concise And Direct; Alternative Statements; Inconsistency. (1) In General. Each allegation must be simple, concise, and direct. No technical form is required. (2) Alternative Statements of a Claim or Defense. A party may set out 2 or more statements of a claim or defense alternatively or hypothetically, either in a single count or defense or in separate ones. If a party makes alternative statements, the pleading is sufficient if any one of them is sufficient. (3) Inconsistent Claims or Defenses. A party may state as many separate claims or defenses as it has, regardless of consistency.
- 49) Fed. R. Civ. Proc. 8(d)(2): Alternative Statements of a Claim or Defense: A party may set out 2 or more statements of a claim or defense alternatively or hypothetically, either in a single count or defense or in separate ones. If a party makes alternative statements, the pleading is sufficient if any one of them is sufficient. <u>Jones v. Bock</u>, 549 U.S. 199, 127 S. Ct. 910, 166 L. Ed. 2d 798 (2007).

See 37 C.F.R §2.64(a). "If an applicant has proof that an application was inadvertently abandoned due to a USPTO error, an applicant may file a request to reinstate the application, instead of a formal petition to revive. There is no fee for a request for reinstatement."

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- A plaintiff must set forth "the grounds of his entitlement to relief[,]" which "requires more than labels and conclusions, and a formulaic recitation of the elements of a cause of action...." Id. at 1964-65 (internal quotations and citations omitted). A plaintiff must set forth "the grounds of his entitlement to relief[,]" which "requires more than labels and conclusions, and a formulaic recitation of the elements of a cause of action...." Id. at 1964-65 (internal quotations and citations omitted).
- allegations in the complaint." Leatherman, 507 U.S. at 164. See also Jones v. Bock, 549 U.S. 199, 221 (2007): "[A]s a general matter, if a complaint contains both good and bad claims, the court proceeds with the good and leaves the bad."..."[O]nly the bad claims are dismissed; the complaint as a whole is not. If Congress meant to depart from this norm, we would expect some indication of that, and we find none." Robinson v. Page, 170 F.3d 747, 748-749 (C.A.7 1999). See also Fed. R.Civ. P. 8(d)(2)(a "[p]arty may set out 2 or more statements of a claim or defense alternatively or hypothetically,... [and] the pleading is sufficient if any one of them is sufficient."
- 52) See <u>Twombly</u>, 550 U.S. at 566: "[A] complaint may proceed even if it strikes a savvy judge that actual proof of those facts is improbable"). "Even if one were to determine that the allegations of the harassment campaign are unlikely, they are not out of the realm of possibility. "Unlikely" is also not the standard which a complaint may be dismissed under Fed. R. Civ. P. 12(B)(6).
- Notwithstanding, to "dismiss [even factual allegations deemed] as frivolous without any factual development is to disregard the age-old insight that many allegations might be "strange, but true; for truth is always strange, Stranger than fiction. Denton v. Hernandez, 504 U.S. 25, 33 (1992) (quoting Lord Byron, Don Juan, canto XIV, stanza 101 (T. Steffan, E. Steffan, W. Pratt eds. 1977))." ("Citations")
- See <u>King v. Burwell</u>, 135 S.Ct. 2480 (2015); Utility Air Regulatory Group v. E.P.A., 134 S.Ct. 2427 (2014): "The Court's decision reflects the philosophy that judges should endure whatever interpretive distortions it takes in order to correct a supposed flaw in the statutory machinery. That philosophy ignores the American people's decision to give Congress "[a]ll legislative Powers" enumerated in the Constitution. Art. I, § 1. They made Congress, not this Court, responsible for both making laws and mending them. This Court holds only the judicial power—the power to pronounce the law as Congress has enacted it. We lack the prerogative to repair laws that do not work out in practice, just as the people lack the ability to throw us out of office if they dislike the solutions we concoct. We must always remember, therefore, that "[o]ur task is to apply the text, not

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to improve upon it." Pavelic & LeFlore v. Marvel Entertainment Group, Div. of Cadence Industries Corp., 493 U.S. 120, 126, 110 S.Ct. 456, 107 L.Ed.2d 438 (1989)."

FIRST CAUSE OF ACTION

[Cause of Action Under 42 U.S.C. § 1983 The USPTO's "Deliberate Ignorance" Implicates Fraud For Deprivation of Constitutional Rights Under The Fourteenth Amendment To Due Process And Equal Protection of The Laws For Violations of The Fifth Or Fourteenth Amendment; Depriving Plaintiff's Right To Appeal To The PTAB after He already Paid His Appeal Fees, And For Retaliation After Mr. Perry Complained Against The Examiner Kristen Clarette Matter Intentionally Ignored 37 CFR 41.41 By Filing Her "Advisory Action Before The Filing of An Appeal Brief" (Exhibit # 383) In Violation of MPEP § 1208; 37 C.F.R. 41.40 "After Receipt of A Reply Brief Under 37 CFR 41.41, Jurisdiction Over The Appeal Passes To The Board."]

- 1) Plaintiff incorporates by reference as if fully set forth herein the allegations contained in paragraphs 1 above through 265 inclusive, as though fully set forth herein.
- 1) On July 9, 2015, Plaintiff filed/registered/submitted his nonprovisional patent "Application Data Sheet 37 CFR 1.76," No.:14/794/,807, on the USPTO Online filing system "EFS-Web Registered." (Id. Ex. # 101). Mr. Perry's patent states: "HOME GROCERIES SHOPPING AND/WITH HOME CHEF COOKING SERVICES AND/WITH ONLY GROCERIES SHOPPING BY DRIVER/CHEFS."
 - i. The USPTO Examiner Kristine Clarette Matter's Used Manipulation And Rhetoric Many Times In Contradiction of Other Statement She Made In Order To Misapply Patent Laws To Confuse Mr. Perry's English A Second Language To Discriminate Against Him; The Examiner Knowingly and Intentionally Engaged In Deception During Prosecution of Mr. Perry's Patent Application," No.:14/794/,807.

The application of "Deliberate Ignorance" theory to establish fraudulent knowledge regarding material facts surrounding Mr. Perry's invention is tantamount to knowingly violating patent law, would constitute "knowledge" of fraud as a matter of law. PERRY may establish deliberate ignorance by offering circumstantial evidence. "The record need not contain direct evidence....that the defendant deliberately avoided knowledge of wrongdoing; all that is necessary is evidence from which the jury could infer deliberate avoidance of knowledge." <u>United States v. Whittington</u>, 26 F.3d 456, 463 (4th Cir. 1994). In determining whether the evidence supports the charge, the evidence and all reasonable inferences that may be drawn from it are viewed in the light most favorable to the government. See <u>United States v. Sharpe</u>, 193 F.3d 852, 871 (5th Cir. 1999). "It is not required that the evidence supporting such an instruction be introduced by the government rather than by the defense." <u>United States v. Bautista</u>, 252 F.3d 141, 147 (2d Cir.2001).

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- 2) On March 13, 2017, USPTO Examiner Kristine Clarette Matter and her supervisor Katherine Matecki, Director Patent Technology Center 3600 issued an "Office Action Summary" citing as "Kitchensurfing" (Kosoff et. al..) was not a patent, but it anticipated Mr. Perry's patent claim and specification. The examiner referred to "ingredients" in "Kitchensurfing" as general term to "groceries," ignoring completely Mr. Perry's reference to combination of chef services and groceries.
- The Examiner intentionally ignored Mr. Perry's assertions in his patent application referring to "groceries" as a whole to mean to all groceries found in a grocery store, food, and none foods items, frozen and non frozen foods, cleaning materials, pet foods, and all other types of items that can found in a grocery store. But the bias and hostile Examiner Kristine Clarette Matter arbitrarily misconstrued the meaning of his patent application as referring to only "groceries" and insisted it was to be limited to "ingredients for meals," (food) by "Kitchensurfing" ("Kosoff et. al.").
- 4) The Examiner refused to consider the merits of Mr. Perry's arguments and she denied his application was more likely a result of her being a hired gun by monopolies the likes of companies as Amazon.com and InstaCart. The Examiner claimed Mr. Perry's abstract failed to provide a statement of technical disclosure; it had multiple paragraphs and more than 150 words.
- 5) See claim construction³⁵in <u>Phillips v. AWH Corp.</u>, 415 F.3d 1303 (Fed. Cir. 2005), holding that "[b]ecause extrinsic evidence can help educate the court regarding the field of the invention and can help the court determine what a person of ordinary skill in the art would

See claim construction in Phillips v. AWH Corp., 415 F.3d 1303 (Fed. Cir. 2005), and the Federal Register, Volume 83 Issue 197 (Thursday, October 11, 2018). [Rules and Regulations], pages 51340-51359: "[claim construction begins with the language of the claims. Phillips, 415 F.3d at 1312-14. The "words of a claim are generally given their ordinary and customary meaning," which is "the meaning that the term would have to a person of ordinary skill in the art in question at the time of the invention, i.e., as of the effective filing date of the patent application." Id. at 1312-13. The specification is "the single best guide to the meaning of a disputed term and...acts as a dictionary when it expressly defines terms used in the claims or when it defines terms by implication." Id. at 1321 (internal quotation marks omitted). Although the prosecution history "often lacks the clarity of the specification and thus is less useful for claim construction purposes," it is another source of intrinsic evidence that can "inform the meaning of the claim language by demonstrating how the inventor understood the invention and whether the inventor limited the invention in the course of prosecution, making the claim scope narrower than it would otherwise be." Id. at 1317. Extrinsic evidence, such as expert testimony and dictionaries, may be useful in educating the court regarding the field of the invention or helping determine what a person of ordinary skill in the art would understand claim terms to mean. Id. at 1318-19. However, extrinsic evidence in general is viewed as less reliable than intrinsic evidence. Id. Additionally, to the extent that federal courts and the ITC apply the doctrine of construing claims to preserve their validity as described in Phillips, the Office will apply this doctrine in those rare circumstances in AIA proceedings. Phillips, 415 F.3d at 1327-28. As the Federal Circuit recognized in Phillips, this doctrine is "of limited utility." Id. at 1328."

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understand claim terms to mean, it is permissible for the district court in its sound discretion to admit and use such evidence".

- The subject matter eligibility inquiry set forth by the Supreme Court in <u>Alice Corp. Pty. Ltd. v. CLS Bank International</u>, 134 S. Ct. 2347 (2014) and <u>Mayo Collaborative Ser-vices v. Prometheus Laboratories, Inc.</u>, 566 U.S. (2012), summarized as a two step test in which step one is the determination of whether the claims are directed to a patent-ineligible concept, such as an abstract idea, and step two is the determination of whether the claims limitations (either individually or as an ordered combination) amount to significantly more than the patent-ineligible concept thus transforming the claim into a patent-eligible application of the concept.
- 7) The Examiner Kristine Clarette Matter based her rejections on 35 U.S.C. §§ 101, 102, 103 and 35 U.S.C. 112(b), while she failed to take step 1, step 2A, Step 2B under <u>Alice Corp. Pty. Ltd. v. CLS</u>

 Bank Intern., 134 S. Ct. 2347 (2014).
- 8) The USPTO had already granted patents to other patent application of other combinations in unrelated patent applications, for example as "Groceries Delivery" in Patent # US6871184B1 "Method of delivering groceries purchased over the internet"; or Patent # 8,635,113 "Integrated online store." But why would the USPTO discriminate against Mr. Perry by blocking him the opportunity to be granted a patent?
- 9) But no patent was similar to Mr. Perry's patent application was ever sought or granted for a method combination element in Mr. Perry's groceries and chef services purchased online, satisfies 35 U.S.C. § 112(f) specification as an "inventive concept' sufficient to 'transform' the claimed abstract idea into a patent eligible application" under Alice Corp. Ptv. Ltd. v. CLS Bank Intern., 134 S. Ct. 2347 (2014). The Supreme Court test for 35 U.S.C. §101 patent-eligibility, articulated a two-step

The test for 35 U.S.C. §101 patent-eligibility under Alice Corp. Pty. Ltd. v. CLS Bank Intern., 134 S. Ct. 2347 - Supreme Court 2014, where the Court articulated a two-step framework "for distinguishing patents that claim laws of nature, natural phenomena, and abstract ideas from those that claim patent-eligible applications of those concepts." (1) are the claims directed to a patent-ineligible concept such as an abstract idea (Id. at 2355) and, (2) if so, do "additional elements of each claim represent an "inventive concept' sufficient to 'transform' the claimed abstract idea into a patent eligible application?" Id. at 2357. — i.e., an element or combination of elements that is "sufficient to ensure that the patent in practice amounts to significantly more than a patent upon the [ineligible concept] itself." Id., at, In Mayo 566 U.S., 132 S.Ct., at 1294." A claim that recites an abstract idea must include "additional features" to ensure "that the [claim] is more than a drafting effort designed to monopolize the [abstract idea]." Id., at, 132 S.Ct., at 1297. Mayo made clear that transformation into a patent-eligible application requires "more than simply stat[ing] the [abstract idea] while adding the words 'apply it." Id., at, 132 S.Ct., at 1294.

framework:

"[f]or distinguishing patents that claim laws of nature, natural phenomena, and abstract ideas from those that claim patent-eligible applications of those concepts." (1) are the claims directed to a patent-ineligible concept such as an abstract idea (Id. at 2355) and, (2) if so, do "additional elements of each claim represent an "inventive concept' sufficient to 'transform' the claimed abstract idea into a patent eligible application." Id. at 2357. — i.e., an element or combination of elements that is "sufficient to ensure that the patent in practice amounts to significantly more than a patent upon the [ineligible concept] itself." Id., at, In Mayo 566 U.S., 132 S.Ct., at 1294: "[A] claim that recites an abstract idea must include "additional features" to ensure "that the [claim] is more than a drafting effort designed to monopolize the [abstract idea]." Id., at, 132 S.Ct., at 1297."

- 10) In Mayo made clear that transformation into a patent-eligible application requires "more than simply stat[ing] the [abstract idea] while adding the words 'apply it." Id., at, 132 S.Ct., at 1294. Like the other patents which the USPTO granted patents, Mr. Perry "Groceries And Chef Services Purchased Online" method combination overcame the "additional features" to ensure "that the [claim] is more than a drafting effort designed to monopolize the abstract idea.
- Yet, the USPTO's Examiner Office action of March 13, 2017 discriminated against Mr. Perry's patent application No.:14/794,807 by falsely stating: "The abstract of the disclosure is objected to because it is not concise and exceeds 150 words in length. Correction is required." See MPEP § 608.01(b)." However, the USPTO Manual of Patent Examination Procedure (MPEP) does not have the force of law, and it is not binding on patent applicants as Mr. Perry.
- 12) Here, the USPTO Examiner Kristin Matter failed to properly and timely respond to a statutory requirement created by Congress under 35 U.S.C. § 101. See 35 U.S. Code § 282 Presumption of validity; defenses:

(a) "IN GENERAL.—

A patent shall be presumed valid. Each claim of a patent (whether in independent, dependent, or multiple dependent form) shall be presumed valid independently of the validity of other claims; dependent or multiple dependent claims shall be presumed valid even though dependent upon an invalid claim. The burden of establishing invalidity of a patent or any claim thereof shall rest on the party asserting such invalidity."

³⁵ U.S.C. § 112 - Specification (f) "[Ellement in Claim for a Combination: An element in a claim for a combination may be expressed as a means or step for performing a specified function without the recital of structure, material, or acts in support thereof, and such claim shall be construed to cover the corresponding structure, material, or acts described in the specification and equivalents thereof."

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- 13) No USPTO rule imposes a word-length limitation on abstracts, and, in any event, the recommendation in MPEP § 608.01(b) (6th ed. Jan. 1995) at the time Mr. Perry filed these applications specified that abstracts should be between 50 to 150 words.
- 14) On June 2, 2017 Mr. PERRY filed with the USPTO a "Complaint against USPTO Examiner, Kristen Matter," in patent application No.:14/794,807.³⁸
- On June 5, 2017 Mr. PERRY filed with the USPTO an amendment that contained no new matter, along with "Arguments And Statement Disputing The Examiner's Finding, The Claims, And Perry Provided Sufficient Elements To Amount To Significantly More Than What The Examiner Claimed As Judicial Exception In Patent Applications No.:14/794,807."
- Mr. Perry requested that since his patent application was filed Perry electronically with the USPTO on 07/09/2015, to establish a Graham-112 docket whereby applications meeting the above mandates are examined ahead of other applications on an examiner's docket, i.e., the examiner maintains a differential between Graham-112 docket applications and all others. The USPTO examiner ignored Mr. Perry's letter and never addressed it.
- 17) On June 10, 2017, Mr. Perry filed request in Patent Applications No.:14/794,807 for the USPTO not to early publish his patent. Soon thereafter, the USPTO ignored Mr. Perry and he threatened the USPTO and their ombudsman Dale Shaw with a lawsuit and the USPTO ceased its efforts to publish patent application No.:14/794,807.
- 18) On July 6, 2017, USPTO Examiner Kristine Clarette Matter <u>retaliated</u> against Mr. Perry by issuing an "Office Action Summary" on Mr. Perry's patent specification and claim invention citing a second prior art after she earlier claimed Mr. Perry anticipated the first prior art of "Kitchensurfing" (Kosoff et. al.,). The USPTO Examiner Kristine Clarette Matter second prior art belittled Mr. Perry's patent claim invention as "a mere home nursing aid." (Id. Exhibits # 187, # 212-239; # 242):

"Applicant motes that the essence of applicant's invention is shopping groceries by a chef or driver, preparing a mean, and leaving un-used portions of the groceries (food and/or non foods) at the client's home. This explanation sounds like a mere home nursing aid, for example, in addition to the applied prior art (see the attached cited reference, item 11)..."

19) The USPTO examiners' Kristen Clarette Matter fraud is imputed from the examiner's deliberately and maliciously misconstrued the law with intentional disregard to the law with intentional

All Service Mr. Perry's mailing to the USPTO was done by 2-days USPS Priority Mail and have receipt confirmations.

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ignorance and error and concealment of PERRY's arguments about his "novel" invention. See her letter dated August 11, 2017, in response to Igor Borissov assertions that he perceived Mr. Perry's invention "Novel" as an "inventive concept."

- 20) "As additional limitations are added to a proper claim, the current 35 USC 112 and 102 rejections could possibly be overcome, but the 35 USC 101 issue would remain. It was noted that although an invention may be "novel" or "non-obvious" over available prior art, it still might not be patentable under current US patent law."
- 21) The USPTO examiners' Kristen Clarette Matter fraud is imputed from deliberate ignorance and deception when asserting in her letter dated August 11, 2017 that Mr. Perry's invention is not patentable under current US patent law: "It was noted that although an invention may be "novel" or "non-obvious" over available prior art, it still might not be patentable under current US patent law."
- 22) Mr. Perry's asserted to Examiner Kristen Clarette Matter that under <u>Mayo Collaborative</u> <u>Services v. Prometheus Laboratories, Inc.</u>, 566 U.S., 132 S.Ct. 1289, 182 L.Ed.2d 321 (2012), at 1293-1294. At some level, "all inventions...embody, use, reflect, rest upon, or apply laws of nature, natural phenomena, or abstract ideas." Id., at, 132 S.Ct., at 1293. But his arguments fell on deft ears.

ii. Out of 20 Art Units In The USPTO, Eight Are In The 3600's With The Lowest Patent Allowance Rates,

23) The USPTO 20 art units with the lowest allowance rates, eight are in the 3600's. This is not surprising, because the 3600's host many business-method art units. In <u>Alice Corp. Pty. Ltd. v. CLS</u>

See USPTO training manual, Legal Administration: "Evaluating Subject Matter Eligibility under 35 USC § 101: August 2012 Update." Slide # 40: Natural Principles and Additional Elements/Steps – Do the steps add a novel or non-obvious feature?" https://www.uspto.gov/sites/default/files/patents/law/exam/101_training_aug2012.pdf.

²¹⁰⁴ Inventions Patentable - Requirements of 35 U.S.C. 101 [R-08.2017], 35 U.S.C. 101, Inventions patentable "MPEP 2116.01 Novel, Unobvious Starting Material or End Product [R-08.2012]: "All the limitations of a claim must be considered when weighing the differences between the claimed invention and the prior art in determining the obviousness of a process or method claim." See MPEP § 2143.03."

https://www.uspto.gov/web/offices/pac/mpep/s2116.html#d0e201660.

"As explained in MPEP § 2106, there are two criteria for determining subject matter eligibility: (a) first, a claimed invention must fall within one of the four statutory categories of invention, i.e., process, machine, manufacture, or composition of matter; and (b) second, a claimed invention must be directed to patent-eligible subject matter and not a judicial exception (unless the claim as a whole includes additional limitations amounting to significantly more than the exception). See MPEP § 2106 for a detailed discussion of the subject matter eligibility requirements and MPEP § 2105 for special considerations for living subject matter."

https://www.uspto.gov/web/offices/pac/mpep/s2104.html

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Bank Intern., 134 S. Ct. 2347 (2014), where the Supreme Court rejected claims describing a method for mitigating settlement risk. According to the Court, the claims did not contain an "inventive concept" sufficient to "transform" the abstract idea into a patent-eligible application. Since that case, and particularly after the June 25, 2014, USPTO memo, there was a significant jump in section 101 rejections especially with regard to related business methods. This increase is well documented. See the " $\underline{20}$ <u> Hardest and Easiest Ar</u>t Units."⁴¹

The prejudice Examiner constantly supplemented her rejections with new rejections and 24) denied she ever made them. She also disputed eligibility of Mr. Perry's under (35 U.S.C. § 102⁴² and misleadingly stated she did not reject PERRY's invention eligibility under 35 U.S.C. § 103.43 However, that was not true. In her non-final rejection of March 13, 2017 she clearly states rejection under 35 U.S.C. § 103:

> "Claim Rejections -35 USC § 102. In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status."

- In Examiner Kristen Clarette Matter final-action letter of July 6, 2017, she repeated 25) herself by stating 35 USC 102/103 rejections.44 PERRY was allowed to respond to the examiner revised reference of prior art that was changed from "Kitchensurfing" to "Nurse Aid" stating in her September 11, 2017 "Advisory Action Before The Filing Of An Appeal Brief": "Addressing these additional steps with prior art would require reconsideration and/or an updated search and thus does not simplify issues for appeal."
- In Examiner Kristen Clarette Matter "Final Rejection" of July 6, 2017, she noted 35 USC 26) 102/103 rejections but later denied she never mentioned them:

"Section 37 - It is first noted that applicant is arguing about "additional elements" in the claim without specifically pointing out what those additional elements are and where they are located in the claims. Examiner agrees a prior art search is not necessary to determine if an element is

http://www.ipwatchdog.com/2015/05/21/hardest-easiest-art-units/id=57864/ 42

³⁵ U.S.C § 102 - Conditions for patentability; novelty.
35 U.S.C. § 103: Conditions for Patentability; Non-Obvious Subject Matter.
See In re Kaghan, 387 F.2d 398, 156 USPQ 130 (CCPA 1967) (prior decision by Board of Appeals, final rejection on prior art withdrawn by examiner "to simplify the issue," differences in claims; holding of waiver based on language in MPEP at the time); In re Ackermann, 444 F.2d 1172, 170 USPQ 340 (CCPA 1971) (prior decision by Board of Appeals, new evidence, rejection on prior art reversed by

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well-understood, routine conventional activity and notes that 35 USC 102/103 rejections are not to be confused with 35 USC 101 rejections..."

"Applicant notes that the essence of applicant's invention is shopping of groceries by a chef or driver, preparing a meal, and leaving un-used portions of the groceries (foods and/or non-foods) at the client's home. This explanation sounds like a mere home nursing aid⁴⁵, for example, in addition to the applied prior art (see the attached cited reference, item 11). As noted in the non-final rejection, the claim for purposes of examination was considered to be..."

SECOND CAUSE OF ACTION

[Cause of Action Under 42 U.S.C. § 1983 For The USPTO's Employee/s Violation of 18 U.S.C. § 1001⁴⁶ By Using False Statements; Intentional,

See the Federal Register Vol. 76, No. 225, Part III, Tuesday, November 22, 2011, United States Patent and Trademark Office: Another comment opposed the explanation of new ground of rejection in the notice of proposed rulemaking and commented that In re DeBlauwe, 736 F.2d 699, 706 n.9 (Fed. Cir. 1984), contains a stronger limitation than the NPRM with respect to what constitutes a new ground of rejection? This comment suggested that a ground of rejection should be considered "new" whenever it departs from a previous statement of a ground of rejection, be it by relying on a different portion of the same reference, a different reference or merely different examiner reasoning. The comment further stated that the "fact specific" approach proposed by the Office invites abuse by the examining corps.

Response: The USPTO appreciates the comments submitted on the proposed guidance on new grounds of rejection. The USPTO will follow applicable law in determining on a case-by-case basis whether a new ground of rejection has been made. While the examples provided in the NPRM are intended to provide sample factual situations based on actual case law, as noted in the notice of proposed rulemaking, the inquiry of whether a new ground of rejection has been made in each case is highly fact specific. See, e.g., In re Kronig, 539 F.2d 1300, 1303 (CCPA 1976). The general test that the USPTO will apply is to determine whether the appellant has had a fair opportunity to respond to the basic thrust of the rejection. Id.

18 U.S.C. § 1001 provides: "(a): "[E]xcept as otherwise provided in this section, whoever, in any matter within the jurisdiction of the executive, legislative, or judicial branch of the Government of the United States, knowingly and willfully—(1) falsifies, conceals, or covers up by any trick, scheme, or device a material fact; (2) makes any materially false, fictitious, or fraudulent statement or representation; or (3) makes or uses any false writing or document knowing the same to contain any materially false, fictitious, or fraudulent statement or entry; shall be fined under this title,..."

Elements of 18 U.S.C. § 1001 are violated if someone: (1) "falsifies, conceals or covers up by any trick, scheme or device a material fact," (2) "makes any false, fictitious or fraudulent statements or representations," (3) "makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry" (4) and, for cases arising after the 1996 amendments, the item at issue was material. Whether the above acts are criminal depends on whether there is an affirmative response to each of the following questions: (1) Was the act or statement material? (2) Was the act within the jurisdiction of a department or agency of the United States? And (3) Was the act done knowingly and willfully?

Negligent And Wrongful Acts And Omissions]

- 27) Plaintiff incorporates by reference as if fully set forth herein the allegations contained in paragraphs 1 above through 257 inclusive, as though fully set forth herein.
- 18 U.S.C. § 1001 has a wide application to government activities, as with other broad fraud statutes (see §§ 1341 and 1343). In describing the situations in which the prohibited conduct must occur, the courts have construed the statute broadly and stressed that Section 1001 protects the government "[f]rom the perversion which might result from the deceptive practices described." Bryson v. United States, 396 U.S. 64 (1969).
 - iii. Conflict And Disagreement Between Examiners Kristen Clarette

 Matter In A Three Way Telephonic Interview Conversation She
 Initiated With Igor N. Borissov And Mr. Perry Ended With Borissov

 Recommending Mr. Perry's Patent Claim Is A "Novel" And Has
 Inventive Concept" And Should Be Granted A Patent Under 35

 U.S.C. § 101.
- The Examiner Kristen Clarette Matter letter of August 11, 2017 initiated a three way telephonic conversation between Mr. Perry, the Examiner Kristen Clarette Matter and another USPTO Primary Examiner Igor N. Borissov on August 9, 2017. But after the three way conversation Examiner Kristen Clarette Matter had reneged on an agreement with Mr. Perry and Primary Examiner Igor N. Borissov to grant Mr. Perry a patent if he proves to her his patent claim is a "Novel" and has Inventive Concept" under 35 U.S.C. § 101. (Id. Exhibit # 242-243). One of Primary Examiner Igor N. Borissov Gmail emails he sent to Mr. Perry was erased during the hacking of about November 2019.
- The USPTO Examiner Kristen Clarette Matter issued a <u>non-final rejection</u> on March 13, 2017, even after it followed an agreement on August 9, 2017 in a three way telephonic interview with Primary Examiner Igor N. Borissov and Mr. Perry. In her letter dated August 11, 2017, on page 2, Examiner Kristine Matter finally acknowledged Mr. Perry's invention as a "<u>Novel</u>" concept in patent application No.: 14/794,807.⁴⁷ But after the three way conversation agreement, Examiner Kristen Clarette Matter falsely asserted: "[S]he also noted that there still isn't a clear claim in the proper format." (Quotation). (<u>Id. Exhibit # 243</u>).
 - 31) But in her letter of March 13, 2017 The USPTO she disagrees with fellow Examiner with

Mr. Perry's Gmail account was later hacked and the email from Primary Examiner Igor N. Borissov acknowledging Mr. Perry's invention as "Novel" concept was erased from his Gmail, along with a list of related case law finding his invention as a "Novel" concept.

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Primary Examiner Igor N. Borissov, after she joined him in a three way conversation, she still disputed his assertion of Mr. Perry's eligibility of invention as a "<u>Novel</u>" concept under patent laws (35 U.S.C. § 101). Primary Examiner Igor N. Borissov forwarded (CC) copy of the Gmail email to Examiner Kristine Clarette Matter. But Examiner Kristen Clarette Matter misled Mr. Perry by asserting:

"[t]he 35 U.S.C. § 101 issue would remain. It was noted that although an invention may be "novel" or "non-obvious" over available prior art, it still might not be eligible for patent under current US patent law."

- 32) The July 6, 2017 "Final Action" by Examiner Kristin Matter was not a final because the asserted new ground for rejection making them non-final. The proper thing to do was for the USPTO processes first and second non-final action before any final action is made. The USPTO Examiners use a loop hole to generate intentional and frivolous rejections of valid applications, when reopening of a patent application prosecution takes place or by including the rejection in the Examiner's Answer, which then lead them to assert new grounds of rejections, and again all the process repeat itself for years of more delays, harassment and frustrations by the USPTO to patent applicants. See MPEP § 1208.01.⁴⁸
- On July 6, 2017, PERRY filed with the USPTO on separate sheets a "Listing of Claims; Patent Steps/Elements"; "Claim and Specifications (35 U.S.C. § 112); Drawing; and "Remarks And Arguments," in compliance with USPTO examiner Kristin Clarette Matter "Final Rejection" of July 6, 2017. However, even this did not satisfy the Examiner and in her "Advisory Action Before The Filing of An Appeal Brief" mailed on September 11, 2017, she complained of Mr. Perry doing so. Yet, she asked that he follow 37 CFR 1.121(c) to file the "Claim Amendment" must be in a separate sheets.
- On August 8, 2017, Mr. Perry filed on the USPTO a "Petition To The USPTO Director Under MPEP § 1.181 For Request To Seek Review Of The USPTO Primary Examiner Kristine Clarette Matter's Final Rejection Of 07/062017; Request That The USPTO Director Reopen The Prosecution Of The Patent Case."
- 35) On August 18, 2017, PERRY filed a response to response to the final Office Action mailed March 13, 2017, and the July 6, 2017, and having a period for response set to expire on September 6, 2017, Applicant amended his Patent Applications No.:14/794,807 in the manner set forth in this Amendment as a specific and limiting recitation of online of perishable and non-perishable groceries

See MPEP § 1208.01 (Nov. 1953) ("A new ground of rejection (new reference or otherwise) may be introduced after appeal either by reopening the prosecution or by including the rejection in the Examiner's Answer...."). The USPTO adopted Rule 39 with the preexisting ability to reopen prosecution in mind. A6-9.

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shopping and of chef services technologies/industries, technical field/s to a particular, similar technological environment. See, e.g., *Diehr*, 450 U. S., at 177-178.

- On August 24, 2017, PERRY filed an "Applicant's Reply To Address The Basis Of The First And Final Rejection Request To Withdraw Of Rejection For The Reasons Stated Below." On August 25, 2017, PERRY filed a Revised "Applicant's Reply And Submission To Address The Basis Of The First And Final Rejection Request To Withdraw Of Rejection For The Reasons Stated Below."
- On August 24 and 25, 2017, Mr. Perry mailed the USPTO examiner Kristine Clarette Matter a timely "Reply" within 2 months after USPTO examiner Kristine Clarette Matter issued her final Action on 07/06/2017. However, the examiner intentionally delayed for several of weeks her advisory action in response to PERRY's Reply for the Non-Final action and Final action⁵⁰ in the following sentence. Such delays affected PERRY's extension fees. ⁵¹
- On September 22, 2017, PERRY filed a letter of complaint "Petition And Complaint To The USPTO Director For Removal Of Examiner Kristin Clarette Matter As Being Underhanded, Out Rightly Hostile, Dishonest And Adversarial Examiner/Pre-Litigation Warning Letter For Fraud And Deception." Mr. Perry explained that he endured more recent deception statements by Examiner Kristine Clarette Matter's "Advisory Action Before The Filing of An Appeal Brief" mailed on September 11, 2017. (Id. Exhibit # 382).
- 39) At his point the USPTO Examiner Kristin Clarette Matter contemplated whether to acknowledge PERRY's August 18, 2017 "Notice of Appeal" and his authorized "Credit Card Payment

³⁷ C.F.R. § 1.134 (2018)(setting forth the general rule established by the Director: "[a]n Office action will notify the applicant of any non-statutory or shortened statutory time period set for reply to an Office action. Unless the applicant is notified in writing that a reply is required in less than six months, a maximum period of six months is allowed.")

See Cuozzo Speed Technologies, LLC v. Lee. 136 S. Ct. 2131 - Supreme Court 2016. "If the examiner rejects a claim, the applicant can resubmit a narrowed (or otherwise modified) claim, which the examiner will consider anew, measuring the new claim against the same patent law requirements. If the examiner rejects the new claim, the inventor typically has vet another chance to respond with vet another amended claim. Ultimately, the Patent Office makes a final decision allowing or rejecting the application. The applicant may seek judicial review of any final rejection. See §§ 141(a), 145."

³⁵ U.S. Code § 133 - Time for prosecuting application: Upon failure of the applicant to prosecute the application within six months after any action therein, of which notice has been given or mailed to the applicant, or within such shorter time, not less than thirty days, as fixed by the Director in such action, the application shall be regarded as abandoned by the parties thereto. (July 19, 1952, ch. 950, 66 Stat. 801; Pub. L. 106–113, div. B, § 1000(a)(9) [title IV, § 4732(a)(10)(A)], Nov. 29, 1999, 113 Stat. 1536, 1501A–582; Pub. L. 107–273, div. C, title III, § 13206(b)(1)(B), Nov. 2, 2002, 116 Stat. 1906; Pub. L. 112–211, title II, § 202(b)(5), Dec. 18, 2012, 126 Stat. 1536.)

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Form – PTO 2038" (with credit card number noted on the forms). For the reason she mailed him the "Advisory Action Before The Filing Of An Appeal Brief" But Examiner Kristine Clarette Matter later decided to ignore it, claiming in her advisory that Mr. Perry never filed a Notice of appeal.

- On September 25, 2017, Mr. Perry filed a complaint against the deceptive USPTO Examiner Kristen Clarette Matter was mailed to the USPTO Ombudsman <u>Dale Shaw</u> (571) 272-5555, and also to attention of the Office of the USPTO General Counsel <u>Mike Cygan</u> (571) 272-7700, as provided in § 104.2 of this Chapter, and was directed to the attention of the Solicitor. But Mr. Perry's complaint was ignored and no USPTO respond to it.
- On November 16, 2017 PERRY filed a "<u>Reply</u>" response and an amendment listing of his claims, along with all patent steps/elements, remarks and arguments, claims and specifications describing how Customers will use his process if interested in buying groceries and order Chef services online or by using mobile and other media to make orders of Home and Office Grocery shopping and Chef Services, in Patent Applications No.:14/794,807.
- Soon thereafter on February 8, 2018 Examiner Kristine Clarette Matter prematurely closed prosecution of Mr. Perry's patent application No.: 14/794,807 (<u>Id. Exhibits # 436-437</u>), had violated Mr. Perry's constitutional due process private right to appeal by making misleading statement that Mr. Perry appeal could not move forward because she claim he did not pay extension fees. So, she abandoned Mr. Perry's patent application and attempted to publish it. Only after Mr. Perry's petition threaten with a lawsuit the USPTO backed off.
- On February 27, 2018, PERRY filed a "Petition And Complaint To The USPTO Director For Removal Of Examiner Kristin Clarette Matter As Being Underhanded, Out Rightly Hostile, Dishonest And Adversarial Examiner/Pre-Litigation Warning Letter For Fraud And Deception." PERRY also filed with the USPTO a "PRE LAWSUIT DEMAND LETTER" to cure problems with the dishonesties of the USPTO Examiner Kristin Clarette Matter.
- On April 9, 2018, PERRY filed a "Petition To Disqualify Examiners Supplemental⁵²
 To Petitioner's Application/Petition For The USPTO Director To Waive, Set Aside Or Adjust
 The Fees For Appeal And Extension Of Time Extension Of Time To File An Amendment, To
 Reopen Prosecution⁵³, And/Or To Grant Patent And Allowance."
 - 45) On April 9, 2018, PERRY filed a "Respond To The Letter Of May 3, 2018 In

USPTO MPEP 1714.01: Procedural requirements for filing petition to revive and to supplement petition, and 37 CFR 1.135(c).

Petitioner misstated "Prospection" for prosecution.

Reconsideration On Petition And Final Pre-Lawsuit Warning From The Pattern Of Concealment And Conspiracy (Last Chance to Resolve Issues."

- 46) On July 11, 2018, PERRY filed a "Supplemental To Moshe Avram Perry's Reconsideration" to the USPTO Director may and is able to waive any extension fees charged unlawfully for the USPTO Application Department and anyone within the USPTO who refuse to provide accounting.
- On July 16, 2018, PERRY filed a "Moshe Avram Perry's Petition to The USPTO Director To Waive Extension And Appeal Fees (35 U.S. CODE § 41)." (Id. Exhibit # 569-579), Following the advice from Patricia Mallari on behalf of U.S. Department of Commerce and USPTO, Sr. Examiners Advisor Mike Razavi. (571) 272-8600, PERRY submitted petitions that may be taken to the Director, 37 CFR 1.181(a): Petition to the Director and to invoke the supervisory authority of the Director in appropriate circumstances to waive extension fees in cases of USPTO own negligence.
- 48) In her letter dated August 11, 2017 The USPTO Examiner Kristen Clarette Matter asserted: "[A]ny question as to prematureness of a final rejection is a purely a question of practice, wholly distinct from the tenability of the rejection.⁵⁴ It may therefore not be advanced as ground for appeal, or made basis of complaint before the Patent Trial and Appeal Board." (Id. Line 9 from the bottom on page 2, see as Ex. # 243).⁵⁵
- However, issuing final rejection prematurely do not advance and prematurely close patent prosecutions would defeat the USPTO's purpose and would forego the tenability of the rejection, unfairly discriminate with Director's petition the rejections. ("[t]he ultimate criterion of whether a rejection is considered 'new' is whether appellants have had fair opportunity to react to the thrust of the rejection." Kronig, 539 F.2d at 1302).
- practiced a scheme to trick and confuse Pro Se. When PERRY called the USPTO to confirm the fees he paid and to ask the representatives for accounting of all fees he paid, he was not late at that point prosecuting his patent application. The USPTO representative then gave PERRY false promises that they

MPEP 706 Rejection of Claims [R-07.2015].

See Oil States Energy Services, LLC v. Greene's Energy Group, LLC. (16-712, 04-24-2018). JUSTICE BREYER, with whom JUSTICE GINSBURG and JUSTICE SOTOMAYOR join, concurring: "[B]ut the Court's opinion should not be read to say that matters involving private rights may never be adjudicated other than by Article III courts, say, sometimes by agencies. Our precedent is to the contrary. Stern v. Marshall, 564 U. S. 462, 494 (2011); Commodity Futures Trading Comm'n v. Schor, 478 U. S. 833, 853-856 (1986); see also Stern, supra, at 513 (BREYER, J., dissenting) ("The presence of 'private rights' does not automatically determine the outcome of the question but requires a more 'searching' examination of the relevant factors").

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will give him accounting, was promised by the USPTO Finance Office, and on three occasions the USPTO Ombudsman Dale Shaw.

- 51) So, while Mr. Perry was in waiting for the USPTO to provide him accounting, the USPTO use the delays and refusing to provide accounting, instead they accrued more extension fees. When Mr. Perry complained, the USPTO representatives would refer him to the USPTO Ombudsman Dale who instructed Mr. Perry to file petitions with the USPTO Director were all denied.
- 52) The petitions to the USPTO Director were arbitrarily denied, claiming that filing the petition did not stay the period to respond (37 CFR § 1.181(f)). Mr. Perry was forced to file four (4) petitions to dispute USPTO Examiner Kristine Clarette Matter unfair examinations and the four petitions (each three months) were delayed prosecution of the Patent Application for 1 year and accrued unfair extension fees, and when PERRY waited for the last petition, his Patent application was abandoned. PERRY has audio recorded the deception by the USPTO representative and will present in Court.
- 53) Plaintiff notes that of statutory interpretation that the plain language of 35 U.S.C. § 101 was clear and unambiguous to grant patent in cases of novelty where the claim contain an "<u>inventive</u> concept" sufficient to "transform" the abstract idea into a patent-eligible application.⁵⁷
- 54) The USPTO practice discrimination in granting patents to eligible applicants and Examiner Kristen Clarette Matter admission that the July 6, 2017 final rejection was premature, (claiming Mr. Perry does not have cause to appeal her decision) only proves further this practices of discrimination.
- This opportune the USPTO to unjustly generate and charge exuberant extension fees and revival fees to Patent Applicants as Mr. Perry. The USPTO then use this to contrive a scheme to deprive Applicants as Mr. Perry a review (after appeal fees were paid) in the Patent Trial and Appeal Board

³⁷ CFR § 1.181 (f) The mere filing of a petition will not stay any period for reply that may be running against the application, nor act as a stay of other proceedings. Any petition under this part not filed within two months of the mailing date of the action or notice from which relief is requested may be dismissed as untimely, except as otherwise provided. This two-month period is not extendable.

[&]quot;[s]tatutory interpretation begins with the plain meaning of the statute's language, [and] [w]here the statutory language is clear and consistent with the statutory scheme at issue, the plain language of the statute is conclusive and the judicial inquiry is at an end." <u>Botosan v. Paul McNally Realty</u>, 216 F.3d 827, 831 (9th Cir. 2000) (citations omitted); see also <u>BP Am. Prod. Co. v. Burton</u>, 127 S. Ct. 638, 643 (2006) ("Unless otherwise defined, statutory terms are generally interpreted in accordance with their ordinary meaning."). Only where a statute yields to more than one reasonable interpretation, should a court turn to the statute's legislative history for evidence of congressional intent. United States v. Daas, 198 F.3d 1167, 1174 (9th Cir. 1999); see also United States v. Gonzales, 520 U.S. 1, 6 (1997) ("Given the straightforward statutory command, there is no reason to resort to legislative history.").

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("PTAB"). The premise by the USPTO's practice was to lose the drawing and specification in order to extend time to charge Mr. Perry unlawful extension fees while he still prosecute his patent applications.

- but this was not a mistake, it was a vicious practice to discriminate in the grant of patents where the USPTO intentionally doing illegal things and covering up facts with more illegal practices and petitions that deprived Mr. Perry (and likely others) of their valid patents. "While federal officials will not be liable for mere mistakes in judgment, whether the mistake is one of fact or one of law, there is no substantial basis for holding that executive officers generally may with impunity discharge their duties in a way that is known to them to violate the Constitution, or in a manner that they should know transgresses a clearly established constitutional rule. Pp. 438 U. S. 504-508." (Butz v. Economou 438 U.S. 478 (1978)).
- 57) The U.S. Supreme Court never ruled in that patent applications appeals can be cancelled because extension fees were not paid and paid late. A Patent Applicant may seek judicial review of the final rejection. See in *Oil States Energy Services, LLC v. Greene's Energy Group, LLC*. (16-712, 04-24-2018):

"Under the Patent Act, the PTO is "responsible for the granting and issuing of patents." 35 U. S. C. §2(a)(1). When an inventor applies for a patent, an examiner reviews the proposed claims and the prior art to determine if the claims meet the statutory requirements. See §§112, 131. Those requirements include utility, novelty, and nonobviousness based on the prior art. §§101, 102, 103. The Director of the PTO then approves or rejects the application. See §§131, 132(a). An applicant can seek judicial review of a final rejection. §§141(a), 145."

- 58) So, Mr. Perry could seek a judicial review of the examiner unfair, arbitrary and capricious premature final rejection by the USPTO Examiner Kristen Clarette Matter deprived PERRY his due process appeal rights when alleging he did not pay extension fees, after the USPTO had kept asking for more fees; refused to give Mr. Perry request for accounting, and even already having received payments of the appeal and extension on August 18, 2017, the abandoned all his applications fees. (See some of Mr. Perry's payments as Exhibits # 307 (i-xxvii); # 495-497; # 524; # 641-644;).
- 59) For that reason there was non-final rejections in light of prior art as evidence. See <u>Festo Corp. v. Shoketsu Kinzoku Kogyo Kabushiki Co.</u>, 234 F.3d 558, 618 (Fed. Cir. 2000) (Michel, J. dissenting) ("Patent prosecution is <u>an iterative process</u> in which the applicant typically submits claims that are thought allowable, the examiner rejects the claims in view of the prior art, and the applicant then amends the claims to traverse the examiner's patentability rejections.").
 - 60) The USPTO MPEP 706 "Rejection of Claims" [R-07.2015] clearly explains the need that

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"the examiner should never overlook the importance of his or her role in allowing claims which properly define the invention if it is found after being acknowledged as a "novel" concept:

"After the application has been read and the claimed invention understood, a prior art search for the claimed invention is made. With the results of the prior art search, including any references provided by the applicant, the patent application should be reviewed and analyzed in conjunction with the state of the prior art to determine whether the claims define a useful, novel, nonobvious, and enabled invention that has been clearly described in the specification. The goal of examination is to clearly articulate any rejection early in the prosecution process so that the applicant has the opportunity to provide evidence of patentability and otherwise reply completely at the earliest opportunity. The examiner then reviews all the evidence, including arguments and evidence responsive to any rejection, before issuing the next Office action. Where the examiner determines that information reasonably necessary for the examination should be required from the applicant under 37 CFR 1.105, such a requirement should generally be made either prior to or with the first Office action on the merits and should follow the procedures in MPEP § 704.10et seq. Although this part of the Manual explains the procedure in rejecting claims, the examiner should never overlook the importance of his or her role in allowing claims which properly define the invention."

- An Appeal Brief" adding new more objections mailed on September 11, 2017, (after Mr. Perry already answered Examiner Kristen Clarette Matter previous objections that his amendment was not in the correct format). This time the Examiner new objection, deceptively stated Mr. Perry did not provide elements and limitation from specification (ignoring his "Reply" and arguments with amendments of August 18, 2017, August 24, 2017, and August 25, 2017 that corrected and stated elements and limitation.
- 62) The USPTO Examiner Kristen Clarette Matter prematurely rejected Mr. Perry's "Novel" claim, ignored Mr. Perry's payments for the appeal that was paid on August 18, 2017, and she accrued frivolous extension fees and then abandoned Mr. Perry's patent Application and claim on August 27, 2018. The USPTO Examiner Kristen Clarette Matter intentionally did not forwarded Mr. Perry's Appeal to the USPTO Patent Trial and Appeal Board ("PTAB").
- 63) Under 37 CFR 41.31(c), an appeal is presumed to be taken from the rejection of all claims under rejection in a particular application unless cancelled by an amendment filed by the applicant and entered by the Office. Thus, an appeal is presumed to be taken from the rejection of all pending claims

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under rejection in the application regardless of whether the notice of appeal identifies fewer or more than all pending claims under rejection.

The examiner had no right to abandoned Mr. Perry's patent application No.:14/794,807. See MPEP § 1208; 37 C.F.R. 41.40: "[A]fter receipt of a reply brief under 37 CFR 41.41, jurisdiction over the appeal passes to the Board.⁵⁸ See Exhibit # 383, where the USPTO Examiner Kristen Clarette Matter intentionally ignored 37 CFR 41.41 in her "Advisory Action Before The Filing of An Appeal Brief":

"Regarding item 50 on page 18, examiner again notes that it isn't entirely clear what applicant intends to be the claim or the abstract or the specification due to the informal nature of the case and the improper formatting. The claim cannot be the entire specification and limitation from the specification are not read into the claims. The application was not deemed to be "incomprehensible" because the examiner understands the inventive concept and thus a reasonable search could be made and the concept identified as directed to an abstract idea. Examiner has consistently and repeatedly pointed out the points of informality in the application and informed applicant of his burden to revise the applicant has not revised the application to place it in proper form."

"Items 52-54 beginning on page 20 of the remarks are mere conclusory statements by the applicant and thus were not considered under 37 CFR 1.132 standards. These arguments are not convincing further because the rejection is one of anticipation not obviousness and because there is no nexus between the evidence/statements and the claim. Similarly, applicant's "affidavit" on pages 59-62 is not sufficient to overcome the 35 USC 102 rejection under Kitchensurfing because A) the rejection is a 35 USC 102 rejection and B) even if the rejection were a 35 USC § 103 rejection, the affidavit refer(s) only to the process described in the instant application and not to the individual claim(s) of the application. Thus, there is no showing that the objective evidence of nonobviousness is commensurate in scope with the claims. See MPEP § 716."

"Regarding the finality of the previous rejection (see arguments starting on page 22 of the remarks), examiner again notes that the rejection in the Non-Final and Final Office actions is identical and thus was properly

See the USPTO Manual of Patent Examination Procedure ("MPEP") § 1208: Reply Briefs and Fee for Forwarding Appeal [R-11.2013]. 37 C.F.R. 41.40: Tolling of Time Period To File A Reply Brief. II. Examiner's Response To A Reply Brief: After receipt of a reply brief under 37 CFR 41.41, jurisdiction over the appeal passes to the Board. Normally, the examiner does not need to acknowledge the reply brief and will not have an opportunity for further argument prior to a decision by the Board. However, the Board may remand the appeal to the examiner to furnish a substitute examiner's answer responsive to the remand."

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made final even without amendments being made by the applicant (i.e., no new grounds of rejection were made and the rejection was in a second action on the merits)."

"Regarding the argument on page 27-46 and examiner's 'prima facie case of obviousness," it is noted that there is not 35 U.S.C. § 103 rejection in this case so these argument are moot."

"Applicant's arguments on 51-59 fail to particularly and distinctly specify which claim elements make the claimed invention significantly more than the abstract idea and thus are not convincing."

- 65) Examiner Kristine Clarette Matter addressed some of Mr. Perry's complaint issues but ignore the merits of his arguments, and so on August 9, 2017 examiner Kristine Clarette Matter called in a three-way conversation with her, Mr. Perry and another Primary Examiner Igor N. Borissov Igor Borissov. (See confirmation letter dated august 11, 2017 as Exhibit # 242-243).
- After a lengthy explanation, Igor Borissov admitted to Mr. Perry and Kristine Matter that it looks to him that Mr. Perry's claim invention is "Novel" patent or as he called it an "Inventive Concept" idea. Kristine Matter said nothing in resentment and was silent most of the conversation and interrupted intermittently to disagree, with resentful comments and both examiners appear to disagree on Mr. Perry's inventive concept. Mr. Perry had audio recorded the conversation.
- 67) USPTO Examiner Kristine Clarette Matter falsely accused Mr. Perry for failing to prosecute his patent application No.:14/794/,807, by submitting an unsatisfactory amendments pursuant to 35 U.S.C. § 133. She requested that Mr. Perry should timely resubmit the required amendment ASAP, but then rejected all of them
- 68) The examiner Kristen Clarette Matter falsified denials of facts stated in Mr. Perry's patents claims after the initial rejection, she presented new ground for rejection in a July 16, 2017 final rejection. The Examiner claimed that Mr. Perry did not file a notice of appeal, and failed to pay extension fees and she abandoned his patent application without notice on the eve the fees were due. (Id. Exhibits # 399)
- 69) Mr. Perry's notice of the appeal was filed/mailed on August 18, 2017 stating that his claim was twice rejected with malice and deception by the Examiner Kristine Matter and her supervisor Katherine Matecki actions were contrary to MPEP rules and examination practice adopted by the USPTO were facially inconsistent with long standing statutes and precedent. See supervisor Katherine Matecki response to Mr. Perry's petition was <u>double standards and contrary</u> in serving as both manager to Examiner Kristine Matter and also overseeing petitions filed by Mr. Perry. (<u>Id. Exhibits # 391-396</u>).

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- 70) This rule of law is implemented in 37 CFR 1.191(a)(1) by the statement that "Every applicant ... any of whose claims has been twice or finally (§ 1.113) rejected, may appeal...to the Board...." Thus, Mr. Perry's rights to appeal to the Patent Trial and Appeal Board ("PTAB") whenever the subject application has been examined and twice rejected.
- USPTO representatives are required to provide notices of actions to pro se applicants. In such a context, judicial review protects the rights affected by the agency action. However, despite its broad power to grant or deny patents, the Patent and Trademark Office does not have the responsibility, or the authority, to depart from the law, or to make or change the policy embodied in the law, or to reinterpret the statute in a way that departs from congressional intention or judicial interpretation. The Administrative Procedure Act implements this obligation, but not the USPTO examiners.
- 72) The USPTO examiners' Kristen Clarette Matter fraud is imputed from deliberate concealment of Mr. Perry's submission of the Notice of Appeal on August 18, 2017 along with payments to the USPTO of the PTO 2038 Credit Card Payment forms. But USPTO Examiners Unit 3600 refused to acknowledge the receipt PTO 2038 forms, and Kristen Clarette Matter letter of dated September 29, 2017 had lied and wrote misleading information that Mr. Perry never submitted any PTO 2038 forms. See on p. 1: "The reply filed 28 august 2017 fails to place this application in condition for allowance. No notice of appeal filed." (Id. Exhibit # 386; # 435).
 - iv. The USPTO Examiner Kristen Clarette Matter's Fraud Imputed From Her Deliberate ignorance And Concealment of Mr. Perry's Submission of The Notice of Appeal On August 18, 2017.
- 73) The USPTO examiners' Kristen Clarette Matter fraud is imputed from deliberate ignorance and concealment of Mr. Perry's submission of the Notice of Appeal on August 18, 2017. See letter dated August 11, 2017 on p. 1 "NO NOTICE OF APPEAL FILED." (Id. Exhibit # 382). See Mr. Perry's notice of appeal was filed on August 18, 2017. (Id. Exhibit # 307 xi).
- 74) The USPTO examiners' Kristen Clarette Matter fraud may be imputed from deliberate ignorance and concealment of Mr. Perry's statements fell on deft ears that he submitted the Notice of Appeal on August 18, 2017 and submitted payments to the USPTO of the PTO 2038 Credit Card Payment forms on August 18, 2017 and Unit 3600, and if Mr. Perry was missing any fees, the USPTO Examiner had failed since August 18, 2017 to give Mr. Perry any "Notice of Deficient of Fees";

See, e.g., <u>American Power & Light Co. v. SEC</u>, 329 U.S. 90, 105 (1946) ("Private rights are protected by access to the courts to test the application of the policy in the light of these legislative declarations.").

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- 75) The USPTO examiners' Kristen Clarette Matter fraud also concealed the acceptance of Mr. Perry's payments of all fees for the appeal in patent applications No.:14/794/,807 and the extensions fees they charged him \$250 on January 5, 2018 in a credit card and another \$250 taken in a check on January 4, 2018). This was all done in order to deprive Mr. Perry from having his board appeal be heard.
- The USPTO examiners' supervisor Katherine Matecki fraud also deliberately concealed what she discussed with Mr. Perry on a phone call conversations on January 3, 2018 (1) 12:44PM 10 min, 34 sec; (2) 2:57PM, 2 mi., 4 sec.; (3) 2:21PM, 42 sec. On February 8, 2018 Katherine Matecki caused the cancelation of a \$250 pending withdrawal of Mr. Perry's credit card, without giving Mr. Perry notice of the pending \$250 cancellation when there were left two (2) additional outstanding extensions fees she asserted he failed to pay on February 7, 2018. This when Mr. Perry already paid for 1 extension and the other would be covered by the \$250 credit card Katherine Matecki canceled. Mr. Perry made a total of \$500 in payments to the USPTO on January 4, and 5, 2018 that covered all outstanding fees.
- The USPTO examiners' supervisor Katherine Matecki extended her hostility and fraud in a phone call to Mr. Perry on February 7, 2018, (571) 270-5745, at 10:47PM, 2 min., 9sec., and at 1:16PM, 2 min. 9 sec., and on February 8, 2018 12:43PM, 10 min. 19 seconds when in trickery she again called Mr. Perry to personally tell him she refunded his \$250 credit card payment that caused his application to be abandoned in order to "stick the knife deeper" in resentment, Mrs. Katherine Matecki falsely denied she ever called Mr. Perry to discuss the cancellation of \$250 credit card payment on January 3, 2018 to cause his patent application to be abandon. (Mr. Perry audio recorded the conversation). Katherine Matecki further claimed that PERRY failed to pay the 2 additional outstanding extensions fees, and for that reason his Board appeal would not proceed any further and that he should file a petition asking for a refund of his paid appeal fees.
- 78) The USPTO examiners' Kristen Clarette Matter and supervisor Katherine Matecki hostility and discrimination continued against Mr. Perry during prosecution by failing to provide him a notice of deficiency letter for 5 months that 2 additional months for extension fees are due. See also 37 CFR 1.29(k)(1)(iii) The deficiency notice of owed amount; (iv) The total deficiency payment owed & 37 CFR 1.29(k)(2), (3), (4).
- 79) The USPTO examiners' Kristen Clarette Matter and supervisor Katherine Matecki hostility, discrimination and malicious conduct during patent prosecution against PERRY by failing to explain to Mr. Perry that a refund is not advisable when he must pay 2 additional extension fees.⁶⁰ This

³⁷ CFR 1.26 Refunds. (a) The Director may refund any fee paid by mistake or in excess of that required. A change of purpose after the payment of a fee, such as when a party desires to withdraw a

misconduct amounted to 'bad faith'⁶¹ 'unclean hands,' and 'inequitableness.' While according to 37 CFR 1.26- Refunds, Mr. Perry was not at all entitled to a refund of such fee.

- 80) The USPTO examiners' Kristen Clarette Matter hostility, discrimination and bias against Mr. Perry during prosecution of his patent application No.:14/794/,807 was based on Mr. Perry's culture and country of origin (Israel), and the inexplicable vendetta against Mr. Perry from obtaining a fair patent application prosecution.
- The USPTO examiners practiced fraud may be imputed from during prosecution never timely responding to petitions filed by Mr. Perry. The USPTO examiners' Kristen Clarette Matter and supervisor Katherine Matecki, and Darnell Jayne fraudulent conduct in causing disgrace and embarrassment to the American Patent Office acting with malice and fraud by stealing⁶² patent inventive concepts from innocent applicants and the shame by violating the public confidence and reliance, where the USPTO concealed Mr. Perry's submission of notice of appeal and extension fees payments to the USPTO of the PTO 2038 Credit Card Payment forms were timely submitted on August 18 2017 before the time to appeal expired.
- Mr. Perry had several conversations with Darlene Lyon (conversation was recorded, 18 U.S.C. §2511(2)(d)) where she offered Mr. Perry to forward his payments and notice of appeal along with the PTO 2038 credit card payment forms to USPTO Examiner Kristen Clarette Matter in Unit 3600 to process. Afterward, Examiner Kristen Clarette Matter lost the payment submission and Mr. Perry's notice of appeal. This "deliberate ignorance" implicates fraud by Examiner Kristen Clarette Matter and her supervisor Katherine Matecki.

patent filing for which the fee was paid, including an application, an appeal, or a request for an oral hearing, will not entitle a party to a refund of such fee.

The claimed invention must be (1) comprised of patent eligible subject matter: (2) useful: (3) novel: (4) nonobvious; and (5) adequately described. [MPEP 706 Rejection of Claims [R-07.2015] 37 CFR 1.104 Nature of examination I. UNIFORM APPLICATION OF THE PATENTABILITY STANDARD]. https://www.uspto.gov/web/offices/pac/mpep/s706.html

See 37 CFR 1.104 Nature of examination: "The standards of patentability applied in the examination of claims must be the same throughout the Office. In every art, whether it be considered "complex." "newly developed." "crowded." or "competitive." all of the requirements for patentability (e.g., patent eligible, useful, novel, nonobvious, enabled, and clearly described as provided in 35 U.S.C. 101, 102, 103 and 112) must be met before a claim is allowed. The mere fact that a claim recites in detail all of the features of an invention (i.e., is a "picture" claim) is never, in itself, justification for the allowance of such a claim." https://www.uspto.gov/web/offices/pac/mpep/s706.html.

See how the USPTO TC 3600 & 3700 created a "Customer Partnership Meeting" with companies across the United States that create, unveiled unlawfully abandoned stolen published patents to customer partnership meetings and road shows.

http://www.aipla.org/learningcenter/library/papers/roadshows/2016PIP/PIP16%20Materials/Customer%2

<u>OPartnership%20Meeting%20eFolder.pdf</u> http://www.patentdocs.org/2018/06/tc-2600-partnership-meeting.html

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As a result of each of all the defendants' conduct, plaintiff seeks punitive damages subject to change or increase, in addition to the alleged compensatory damages as stated in his administrative claims to the agency and a criminal/non-criminal referral to the United Stated Attorney General - U.S. Justice Dep. (18 U.S.C. § 1957(e) and 18 U.S.C. § 3333) for a civil investigative demand report under 18 u.s. code § 1968 investigation of such alleged racketeering violation.

THIRD CAUSE OF ACTION

[Cause of Action Under 42 U.S.C. § 1983 For Plaintiff's 'Private Rights' For Violation of 18 U.S.C. § 1030, et seq.,; Against The USPTO And Agents Illegal Hacking Into Mr. Perry's Wi-Fi Into And Gmail Had Erased Emails He Received From The USPTO. 63 Hacking Into Plaintiff's Personal Computer Implanting A Virus. Defendants' Had Refused To Answer Mr. Perry's Motion For Protective Order Before The Hacking Is Questionable. The Stored Communications Act ("SCA"), 18 U.S.C. § 2701, et seq., the Computer Fraud and Abuse Act ("CFAA"), 18 U.S.C. § 1001 et.seq., 64 And The California Penal Code § 502 And California Computer Data Access And Fraud Act (CDAFA); Obstruction of Justice And The Related Racketeering Under 18 U.S.C. § 1952]

- 84) Plaintiff incorporates by reference as if fully set forth herein the allegations contained in paragraphs 1 above through 265 inclusive, as though fully set forth herein.
- Official and one or more of their agents had "hacked" into Mr. Perry's personal computer and his Google mail account⁶⁵ on about November 2019, and erasing a chunk of emails that were exchanged between Mr. Perry and the USPTO ombudsman Dale Shaw and USPTO upper management Supervisors Katharine Matecki from Department 3469 from November 23, 2016 to April 5, 2017, one email from USPTO Primary Examiner Igor N. Borissov following a three way interview conversation with Examiner Kristine Clarette Matter were also deleted from Mr. Perry's Gmail (Google) account. But other non-USPTO emails from November 23, 2016 to April 5, 2017 had remained.

See one example of Gmail from Primary Examiner Igor N. Borissov from August 9, 2017 as exhibit # 240.

One who makes an illegal hacking into Mr. Perry's Wi-Fi, and into his personal Gmail to erase emails sent from the USPTO to Mr. Perry, then puts a virus in Mr. Perry's computer is making a statement which is covered under 18 U.S.C. § 1001 that provides: "(a): "[E]xcept as otherwise provided in this section, whoever, in any matter within the jurisdiction of the executive, legislative, or judicial branch of the Government of the United States, knowingly and willfully—(1)....covers up by any trick, scheme, or device a material fact..."

https://www.consumer.ftc.gov/articles/how-recover-your-hacked-email-or-social-media-account.

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- Amendment, the USPTO's spoliation of Mr. Perry's evidence during the litigation in the United State Court of Federal Claims is an "[A]dverse-inference instructions...developed on the premise that a party's intentional....destruction of evidence to prevent its use in litigation gives rise to a reasonable inference that the evidence was unfavorable to the party responsible for loss or destruction of the evidence." (The spoliation doctrine is invoked when a party alleges that its opposing party has caused a crucial piece of evidence to be unavailable. Black's Law Dictionary 1437 (8th ed. 2004).
- while litigation is pending. See <u>West v. Goodyear Tire & Rubber Co.</u>, 167 F.3d 776, 779 (2d Cir. 1999). The inherent authority of courts to punish perpetrators of spoliation is recognized in <u>Chambers v. NASCO, Inc.</u>, 501 U.S. 32, 43-51 (1991). The rationale for this punishment is rooted in the theory that the destruction of documents hinders the discovery process and unfairly prejudices the requesting party because potentially relevant evidence is unavailable to them due to the conduct of their adversary. As such, the party in the wrong must be held accountable for its actions. <u>Zubulake v. UBS Warburg LLC</u>, 220 F.R.D. 212, 216 (S.D.N.Y. 2003).
- The spoliation doctrine interplays with the duty to preserve. The concept of the "duty to preserve" is founded on the idea that parties to litigation are required to preserve documents or other materials that may be requested as potential evidence during the discovery process. See, e.g., <u>Beil v. Lakewood Eng'g & Mfg. Co.</u>, 15 F.3d 546, 552 (6th Cir. 1994); <u>Green Leaf Nursery v. E.I. DuPont De Nemours & Co.</u>, 341 F.3d 1292, 1308 (1Ith Cir. 2003). This duty is long standing, widely recognized, and established in federal law. See <u>FujitsuLtd. v. Fed. Express Corp.</u>, 247 F.3d 423, 436 (2d Cir. 2001); <u>Silvestri v. Gen. Motors Corp.</u>, 271 F.3d 583, 590 (4th Cir. 2001); <u>Turner v. Hudson Transit Lines, Inc.</u>, 142 F.R.D. 68, 72 (S.D.N.Y. 1991).
- 89) Mr. Perry application for protective order filed on November 20, 2019 was never heard by either Assigned <u>Judge Nancy B. Firestone</u> because <u>Judge Matthew H. Solomson</u> replaced her, and Mr. Perry's application for restraining order which was not yet made or discussed the hacking in Mr. Perry's original complaint of November 20, 2019, because the hacking took place during a pending litigation.
- 90) On March 11, 2020 (Dkt. #22) Mr. Perry also made an application for a preliminary and/or permanent injunction against the defendants. The Federal Claims Court Opinion of June 17, 2020 on page 41, never heard Mr. Perry's application of the hacking, or allowed defendant to reply in defense. Mr. Perry made the allegations of hacking 5 months after he filed his complaint and three months after

defendants refused to answer Mr. Perry's motion for protective order.

91) Mr. Perry was never given an opportunity to prove his allegations. The Federal Claims Court Judge Solomson had stricken the motions from the docket because Mr. Perry's computer virus had submitted multiple copies to the Claims Court of the same application for restraining order. (Id. Claims Court Case No. 1:10-cv-01797-MHS, Dkt. # 22). The Claims Court Judge asserted misleading statements in his order dismissing the complaint on June 17, 2020:

"[A]lthough this Court may not dismiss an IFP complaint merely because plaintiff's allegations are "unlikely," this court must dismiss a claim as frivolous if the alleged facts present "fantastic" or "delusional" scenarios. Taylor, 568 F. App'x at 891 (quoting Neitzke v. Williams, 490 U.S. 319, 327 (1989)); see Denton, 504 U.S. at 33 (emphasizing that "a finding of factual frivolousness is appropriate when the facts alleged rise to the level of the irrational or the wholly incredible"). A "tale of ...intrigue" — like parts of Mr. Perry's Complaint — may be deemed frivolous where "the factual allegations asserted are so unbelievable that there is no need for an evidentiary hearing to determine their veracity." Taylor, 568 F. App'x at 891. Accordingly, Mr. Perry's request for injunctive relief is denied, and his claim for relief is dismissed."

- 92) It's perplexing how the Claims Court Judge Solomson would come to a conclusion that the facts alleged wouldn't "raise a reasonable expectation that discovery will reveal evidence of illegal conduct, when he switched facts and asserted misleading statement as if Mr. Perry filed the allegations of hacking in his original complaint was an outright lie, when the allegations was made 5 months later on a motion for a restraining order.
- 93) Mr. Perry was never given an opportunity to prove his allegations it in discovery or an evidentiary hearing and this case is a clear example of a "heightened [pleading] standard" that federal courts have applied to civil actions. If one was to determine that these allegation had no merit, but yet Judge Matthew H. Solomson contradict himself by refusing to hear Mr. Perry allegations of unlawful hacking into his Gmail and personal computer. Judge Solomson never wanted to find out the truth.
- 94) How can Mr. Perry proves a set of facts of the hacking into his Gmail account and his personal computer infected with a virus without an evidently hearing in the United States District Court. Mr. Perry retained audio recording on flash cards were taken of conversations with USPTO ombudsman Dale Shaw and USPTO upper management Supervisors Katharine Matecki from Art Unit No. 3649 are kept in safe place.
- 95) Mr. Perry also has receipts from Dell Computer Repairs Service of the damage done to his computer that he had to change his mother board three times, and twice his hard drive. When Mr. Perry

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communicated to Google customer service to complain, they explained to him that those emails he showed he has copies in paper were erased from Gmail and cannot be recovered.

- 96) On July 13, 2021, the United States Court of Appeals For The Federal Circuit ("CAFC") issue an Opinion⁶⁶ discussing among other things that if Mr. Perry's can prove his allegations of the hacking, would entitle him to a money judgment against the USPTO:
 - Page 5: "[A]dditionally, as to Mr. Perry's requests for injunctive relief and for a restraining order against the USPTO based on his allegations that the USPTO hacked into his email account and deleted his emails, the trial court dismissed those claims for lack of jurisdiction and as frivolous. Mr. Perry appeals to this court. We have jurisdiction pursuant to 28 U.S.C. § 1295(a)(3)."
 - Pages 9-10: "[M]r. Perry alleged that his email account was hacked by agents of the USPTO who deleted various emails he had received from the agency. The trial court first dismissed this claim as failing to plead any facts, which if proven would entitle him to a money judgment. It also dismissed this claim as frivolous, explaining that it "must dismiss a claim as frivolous if the alleged facts present 'fantastic' or 'delusional' scenarios." Perry, 149 Fed. Cl. at 36 (quoting Taylor v. United States, 568 F. App'x 890, 891 (Fed. Cir. 2014))." ("Citations").
- 97) As a direct, legal and proximate result of the harm, by defendants' unlawful actions were intentional, willful, malicious, and done with total disregard to Plaintiff' rights to be free from violation of his privacy rights in application of federal laws. Plaintiff had sustained economic, compensatory damages from all the defendants and that he will continue to sustain, to be proven at trial, in addition to the alleged compensatory damages as stated in his administrative claims to the agency
- 98) As a result of each of all the defendants' conduct, plaintiff seeks punitive damages subject to change or increase and a criminal referral to the Department of Justice.

FOURTH CAUSE OF ACTION

[Cause of Action Under 42 U.S.C. § 1983 For The USPTO's Employee/s Intentional, Negligent And Wrongful Act And Omission In Violation of The Federal Tort Claims Act ("FTCA") 28 U.S.C. § 2675(a)⁶⁷ And 5

The CAFC had issued OPINION and JUDGMENT filed for the court by Per Curiam on July 13, 2021, and issued its ORDER denying Mr. Perry's petition for panel rehearing and rehearing en banc on August 30, 2021, and issued its Mandate on September 17, 2021.

Defendants had failed to respond to plaintiff's timely presented administrative claims to the USPTO pursuant to 28 U.S.C. § 2675(a). Thus, the USPTO failure to response makes the Government's ("USPTO") sovereign immunity as waived, and any objection to compensatory relief in the form of monetary damages is also waived, is a competent evidence of liability as to the amount of damages

U.S.C. §552a(g)(1)(a)-(d) (28 U.S.C. §§ 2671-2680) And 28 U.S.C. § 1346(b)(1)⁶⁸ Against The USPTO's Personnel's Fraud In Changing Mr. Perry's Patent Application No.:15/382,598 Filing Date From December 16, 2016 To December 26, 2017 (1 Year Later); USPTO Conspiracy; Tortious Harassment; Retaliation; And Discrimination Over Issues Involving Due Process Violations of The Fifth Or Fourteenth Amendment By Illegally Revising Records Belonging To Plaintiff's⁶⁹ The USPTO's Illegal Infringement Under 28 U.S.C. § 1498(a) In The Coercive Publication Online of Mr. Perry's Non-Publish Patent Application No.:15/382,598 Unlawfully Offering It For Sale On The USPTO "PublicPair" Portal⁷⁰ In Violation of 18 U.S.C. § 1957, Leading To Theft of Mr. Perry's Intellectual Properties In Violation of Mr. Perry's Constitutional And Properry Rights Under 35 U.S.C. § 102(a)(2)⁷¹] 37

sought by Mr. Perry request for compensatory damages in the amount of \$ 150,000,000 as to his non-publish patent application No.:15/382,598.

See 28 U.S.C. § 2675(a): "An action shall not be instituted upon a claim against the United States for money damages for injury or loss of property or personal injury..., unless the claimant shall have first presented the claim to the appropriate Federal agency...The failure of an agency to make final disposition of a claim within six months after it is filed shall, at the option of the claimant any time thereafter, be deemed a final denial of the claim for purposes of this section."..."(b) Action under this section shall not be instituted for any sum in excess of the amount of the claim presented to the federal agency, except where the increased amount is based upon newly discovered evidence not reasonably discoverable at the time of presenting the claim to the federal agency, or upon allegation and proof of intervening facts, relating to the amount of the claim."

28 U.S.C. § 1346(b)(1).

5 U.S.C. § 552 is applicable to Mr. Perry as a United States Citizen. See 5 U.S.C. § 552a(a) (2): "[t]he term "individual" means a citizen of the United States or an alien lawfully admitted for permanent residence; 5 U.S.C. § 552a(a)(4) the term "record" means any item, collection, or grouping of information about an individual that is maintained by an agency. 5 U.S.C. § 552a (g) allows two civil causes of action that provide for injunctive relief – amendment lawsuits under (g)(1)(A) and access lawsuits under (g)(1)(B). The remaining two causes of action provide for compensatory relief in the form of monetary damages – damages lawsuits under (g)(1)(C) and (g)(1)(D).

Violation of 18 U.S.C. § 1957 relating to engaging in monetary transactions in property derived from specified unlawful activity. The USPTO had made money offering Mr. Perry's patent application No.:15/382,598 and ideas for sale on the USPTO "PublicPair" Portal, while Mr. Perry did not gain even

a penny from his patent application. Thus, the USPTO thievery.

In 35 U.S.C. § 102(a)(2): ["t]he claimed invention was described in a patent issued under section 151, or in an application for patent published or deemed published under section 122(b), in which the patent or application, as the case may be, names another inventor and was effectively filed before the effective filing date of the claimed invention." This language of the statute conveys ownership and property rights and grant itself rights of ownership to control, make, use, offer for sale, sell, import or publish. https://www.uspto.gov/patents-getting-started/general-information-concerning-patents#heading-4.

See 35 U.S.C. 261: "The Patent and Trademark Office shall maintain a register of interests in patents and <u>applications for patents</u> and shall record any document related thereto upon request, and may require a fee therefor. <u>Applications for patent</u>,...,<u>shall be assignable in law by an instrument in</u>

CFR § 1.29- Application Data Sheet Under 37 CFR 1.76]

- 99) The Plaintiff incorporates by reference as if fully set forth herein the allegations contained in paragraphs 1 above through 265 inclusive, as though fully set forth herein..
- 100) 35 U.S.C. §122(a) does not allow the USPTO Director to disclose information concerning Mr. Perry's then pending non-publish⁷² patent application No.:15/382,598, unless it "necessary to carry out the provisions of an Act of Congress or in such special circumstances as may be determined by the Director." Such discretion is not absolute and such authority committed to the Director to determine whatsoever "special circumstances" is not without justification for publication of a non-publish patent application.
- 101) The USPTO took the complete value of Mr. Perry's statutory property rights by publishing patent application No.: 15/382,598 on August 23, 2018. (Id. Exhibit # 613) without permission or issuance of a claim on allowable subject matter contained in his patent application. The USPTO's coercive publication online was illegal, and infringed on Mr. Perry's property as a non-publish patent application by unlawfully selling it on the USPTO "PublicPair" portal, leading to the theft of Mr. Perry's intellectual properties. The USPTO publication of Mr. Perry's non-publish application no. ignored exceptions to the eighteen-month publication of patent applications.⁷³
 - 102) Under 37 CFR 3.73(a) "[T]he original applicant is presumed to be the owner of an

writing. The applicant, patentee, or his assigns or legal representatives may in like manner grant and convey an exclusive right under his application for patent, or patents, to the whole or any specified part of the United States."

EXCEPTIONS To The Eighteen-Month Publication of Patent Applications: 2)— (A) An application shall not be published if that application is—(i) no longer pending; (ii) subject to a secrecy order under section 181; (iii) a provisional application filed under section 111(b); or (iv) an application for a design patent filed under chapter 16;

II. EXCEPTIONS: An application will not be published if one of the following exceptions as set forth in 37 CFR 1.211 applies: (A) The application is recognized by the Office as no longer pending; for information on express abandonment to avoid publication see 37 CFR 1.138(c) and MPEP § 1125; (B) The application is national security classified (see 37 CFR 5.2(c), subject to a secrecy order under 35 U.S.C. 181, or under national security review; (C) The application has issued as a patent in sufficient time to be removed from the publication process; or (D) The application was filed with a nonpublication request in compliance with 37 CFR 1.213(a). See MPEP § 1122-1124. https://www.uspto.gov/web/offices/pac/mpep/s1120.html.

See, e.g., American Power & Light Co. v. SEC, 329 U.S. 90, 105 (1946) ("Private rights are protected by access to the courts to test the application of the policy in the light of these legislative declarations.").

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application for an original patent."⁷⁴ The Supreme Court held that depriving patent applicant property rights would be an invasion of the judicial branch of the government by the executive. Patent applications are property for the purpose of the See in McCormick Harvesting Machine v. Aultman, 169 U.S. 606, 612 (1898) that "[t]o deprive the applicant of his property without due process of law, and would be in fact an invasion of the judicial branch of the government by the executive." ⁷⁵

103) USPTO's examination guidance, training, and explanatory examples discuss the substantive law on eligibility is discussed in USPTO MPEP79 §§ 2106.03 through 2106.06.and establish the policies and procedures to be followed by examiners in evaluating patent applications for compliance with the substantive law, but do not serve as a basis for a rejection. The USPTO's defines ownership of patent and patent applications in MPEP⁷⁶ §§ 2106.03. See <u>Beech Aircraft Corp. v. EDO Corp.</u>, 990 F.2d 1237, 1248, 26 USPQ2d 1572, 1582 (Fed. Cir. 1993). "However, patent applications filed on or after September 16, 2012, the original applicant is presumed to be the owner of the application for an original patent." ("Citation").

104) The USPTO infringement under 28 U.S.C. § 1498(a) was in the illegally and without

⁷⁴ 37 CFR 3.1 Definitions. For purposes of this part, the following definitions shall apply: Application means a national application for patent, an international patent application that designates the United States of America, an international design application that designates the United States of America, or an application to register a trademark under section 1 or 44 of the Trademark Act, 15 U.S.C. 1051 or 15 U.S.C. 1126, unless otherwise indicated

The APA does not preclude judicial review only in justified "Special Circumstances" where "agency action is committed to agency discretion by law." 5 U.S.C. § 701(a)(2). This "very narrow exception" to the presumption of judicial review is applicable only "in those rare instances where 'statutes are drawn in such broad terms that in a given case there is no law to apply." Citizens to Pres. Overton Park, Inc. v. Volpe, 401 U.S. 402, 410 (1971) (quoting S. Rep. No. 79-752, at 26 (1945)).

See Hyatt v. United States Patent And Trademark Office No. 2014–1596 (Fed. Cir. August 20, 2015): "[I]ndeed, the PTO conceded at oral argument that if § 122(a) was unreviewable the PTO could disclose essentially any information about any patent application, with no review and no recourse, so long as there was no constitutional violation. See Oral Argument at 30:30–37, 34:40–57, available at http://oralarguments.cafe. uscourts.gov/default.aspx?fl=2014-1596.mp3. "We need not doubt the [PTO's] trustworthiness, or its fidelity to law, to shy away from that result. We need only know— and know that Congress knows—that legal lapses and violations occur, and especially so when they have no consequence." Mach Mining, 135 S. Ct. at 1652–53. Congress did not intend for the exception to swallow the rule."..."The PTO must not only determine that special circumstances exist, but also that the special circumstances justify the specific content to be disclosed."] ("Citations").

[&]quot;The MPEP [is] commonly relied upon as a guide to patent attorneys and patent examiners on procedural matters. While the MPEP does not have the force of law, it is entitled to judicial notice as an official interpretation of statutes or regulations as long as it is not in conflict therewith." Molins PLC v. Textron, Inc., 48 F.3d 1172, 1180 n.10 (Fed. Cir. 1995) (alteration in original) (citation and internal quotation marks omitted).

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permission, publishing, posting Online and selling Mr. Perry's patent application No.: 15/382,598 on the USPTO "PublicPair." See Golden v. United States, 955 F.3d 981, 987-88 (Fed. Cir. 2020), and Taylor v. United States, 19-1901 (Opinion, 1588580) (Fed. Cir. 2020) citing A&D Auto Sales, Inc. v. United States, 748 F.3d 1142, 1154 (Fed. Cir. 2014): "[T]he challenged action by....personnel did...have a "legal effect or impose a direct legal obligation on any party." Dimare Fresh, Inc. v. United States, 808 F.3d 1301, 1309 (Fed. Cir. 2015)." ("Citation").

- 105) The USPTO policies of discrimination had no "<u>special circumstances</u>" to publish Mr. Perry's patent application to frustrate and delay Mr. Perry's prosecution of his patent applications before the USPTO, to prevent Mr. Perry from obtaining final agency action subject to judicial review, and to force the abandonment of his applications, and to prevent the issuance of patent to him.
- 106) On December 16, 2016. The USPTO advised Mr. Perry in a phone conversation that if he has any documentation showing he submitted the application on the "<u>EFS-Web Registered</u>" on December 16, 2016, he should mail them a copy of it with a letter explaining this to the USPTO, and they promised they will fix the filing date back to December 26, 2017 from December 16, 2016. The USPTO also promised they will reduce the amount of fees due for the Patent Application by \$200. (The cost the USPTO charge for filing Date Application by mail.) ⁷⁷
- On December 16, 2016, Plaintiff filed/registered/submitted his second non-provisional patent "Application Data Sheet 37 CFR 1.76" ("ADS"), No.:15/382,598; "Certification of Micro-Entity" (as "Fee Information") (Id. Ex.# 448).; and some "drawing" on the USPTO Online filing system "EFS-Web Registered." When Plaintiff submitted the application online the system showed a "Warning" for the Data Application, but nevertheless it accepted it. [Id. Ex.# 439]. The "EFS-Web Registered" system is very difficult to use and would often gives errors). For nearly a year Plaintiff PERRY waited for a response from the USPTO.
- 108) Finally on July 24, 2017, the USPTO mailed Plaintiff the <u>first</u> "Notice of Incomplete Nonprovisional Application (Filed Under 37 CFR 1.53(B)." Finally acknowledged receipt of Plaintiff's application with a "Confirmation No. Filing Receipt" "Filing or 371(c) Date" of December 16, 2016. (Id. Ex.453). PERRY submitted the USTPO the specification and drawing on December 16, 2016, not

https://www.uspto.gov/patents-getting-started/patent-basics/types-patent-applications/nonprovisional-utility-patent.

³⁵ U.S. Code § 111(a)(4)—Filing date: "The filing date of an application shall be the date on which a specification, with or without claims, is received in the United States Patent and Trademark Office."

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December 26, 2017.⁷⁹ Thus, the USPTO failed to give PERRY justification why they took one year (from December 16, 2016 to December 26, 2017) to process his data application?

- 109) Patent Applicants are not required to submit application the basic filing fee, search fee, or examination fee in order to accorded a filing date pursuant to paragraph 37 CFR § 1.53(b) unless a specification is included, but this does not include the claim or the inventor's oath or declaration (§§ 1.63, 1.64, 1.162 or 1.175). See 37 CFR § 1.53(f) Completion of application subsequent to filing ⁸⁰—Nonprovisional (including continued prosecution or reissue) application. ⁸¹
- 110) On October 12, 2017, the USPTO mailed Plaintiff a <u>second</u> "Notice of Incomplete Nonprovisional Application (Filed Under 37 CFR 1.53(B)" with a "Confirmation No. Filing Receipt" "Filing Or 371(c) Date" of December 16, 2016. (Id. Ex. 455).
- 111) All of a sudden on January 5, 2017, over a year after Plaintiff filed application No.:15/382,598, the USPTO had mailed Plaintiff a <u>third</u> "Confirmation No. Filing Receipt" was illegally and without authorization changed the filing date of Mr. Perry's patent application from *December 16, 2016* to a year later on <u>December 26, 2017</u>. (Id. Ex.488).
- 112) The reason for the USPTO fraud in changing Mr. Perry's patent application No.:15/382,598 filing date was likely to allow it to another inventor as if he was first, before Mr. Perry.

MPEP 506 Completeness of Original Application [R-07.2015]. 37 CFR 1.53: Application number, filing date, and completion of application. (f) (4) If the excess claims fees required by § 1.16(h) and (i) and multiple dependent claim fee required by § 1.16(j) are not paid on filing or on later presentation of the claims for which the excess claims or multiple dependent claim fees are due, the fees required by § 1.16(h), (i), and (j), must be paid or the claims canceled by amendment prior to the expiration of the time period set for reply by the Office in any notice of fee deficiency. If the application size fee required by § 1.16(s) (if any) is not paid on filing or on later presentation of the amendment necessitating a fee or additional fee under § 1.16(s), the fee required by § 1.16(s) must be paid prior to the expiration of the time period set for reply by the Office in any notice of fee deficiency in order to avoid abandonment.

³⁷ CFR § 1.53(f): "Completion of application subsequent to filing — Nonprovisional (including continued prosecution or reissue) application. (1) If an application which has been accorded a filing date pursuant to paragraph (b) or (d) of this section does not include the basic filing fee, search fee, or examination fee, or if an application which has been accorded a filing date pursuant to paragraph (b) of this section does not include at least one claim or the inventor's oath or declaration (§§ 1.63, 1.64, 1.162 or 1.175), and the applicant has provided a correspondence address (§ 1.33(a)), the applicant will be notified and given a period of time within which to file a claim or claims, pay the basic filing fee, search fee, and examination fee, and pay the surcharge if required by § 1.16(f), to avoid abandonment."

³⁷ CFR § 1.53: Application Number, Filing Date, And Completion of Application: "The filing date of an application for patent filed under this section, other than an application for a design patent or a provisional application under paragraph (c) of this section, is the date on which a specification, with or without claims, is received in the Office. The filing date of an application for a design patent filed under this section, except for a continued prosecution application under paragraph (d) of this section, is the date on which the specification as prescribed by 35 U.S.C. 112, including at least one claim, and any required drawings are received in the Office."

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See USPTO revised 37 CFR § 1.53 "The filing date of an application for patent filed under this section," to MPEP 2121.01: "[I]n the introduction, changed "date of invention" to "effective filing date of the claimed invention for applications or patents subject to the first inventor to file provisions of the AIA or the time the invention was made for applications or patents subject to pre-AIA law." https://www.uspto.gov/web/offices/pac/mpep/mpep-0005-change-summary.pdf. 82

- 113) See the USPTO "MANUAL OF PATENT EXAMINING PROCEDURE" ("MPEP") Ninth Edition, Latest Revision June 2020, Executive Summary occurred after Mr. Perry had filed his lawsuits. The USPTO had changed "effective filing date of the United States application" to "effective filing date of the claimed invention in the United States."
- 114) On December 11, 2017, Mr. Perry mailed the Patent Claim/s with Specification, and on April 21, 2018, Mr. Perry confronted the USPTO on some issues as to the application fees and requested that the USPTO provide him with accounting of all of his payments.
- 115) Plaintiff complained about why the USPTO changed the filling date? The USPTO representatives said was because Mr. Perry did not pay his fees and did not submit his "Application Data Sheet 37 CFR 1.76" on the USPTO "EFS-Web Registered".

[&]quot;(MPEP) 403: "[I]n subsection II, revised to update discussion of patent applications filed without a correspondence address, to include discussion of the procedures when a patent application as filed has been accorded a filing date but is not complete, the applicant is notified and given a period of time within which to file the missing parts to complete the application and pay the applicable surcharge. Added a cross-reference to MPEP § 601.01 et seq. for discussion of the requirements for a complete application."..." (MPEP) 804: [I]n subsection I.B.1.(a), clarified text defining effective U.S. filing date for original applications and added text to define the effective U.S. filing date for reissue applications."..."(MPEP) 2121.01: In the introduction, changed "date of invention" to "effective filing date of the claimed invention for applications or patents subject to the first inventor to file provisions of the AIA or the time the invention was made for applications or patents subject to pre-AIA law."..."(MPEP) 2124 —Changed the title to "Exception to the Rule That the Reference Must Be Prior Art."—Added "showing the state of the art existing after the effective filing date of the application" after "later factual reference." Corrected the pinpoint citation of In re Koller and added a citation to and discussion of Amgen Inc. v. Sanofi, 872 F.3d 1367, 124 USPQ2d 1354 (Fed. Cir. 2017)."..."(MPEP) 2133.01: "Added text to clarify that the effective filing date of a claimed invention is determined on a claim-by-claim basis. Added to the discussion of determining the effective filing date for a claim in a CIP, including adding citations to and discussion of Santarus, Inc. v. Par Pharmaceutical, Inc., 694 F.3d 1344, 104 USPQ2d 1641 (Fed. Cir. 2012) and Studiengesellschaft Kohle, m.b.H. v. Shell Oil Co., 112 F.3d 1561, 42 USPQ2d 1674 (Fed. Cir. 1997)."..."(MPEP) 2135: "[R]evised to incorporate subject matter from previous MPEP § 706.02(e). — In subsection I, changed "effective filing date of the United States application" to "effective filing date of the claimed invention in the United States" in item (A)."

See 37 CFR 1.22: "[w]ith the exception that under § 1.53 applications for patent may be assigned a filing date without payment of the basic filing fee."

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- On February 2, 2018, Plaintiff mailed a letter with a screenshot of the submission of his documents on the USPTO "EFS-Web Registered" and a copy of the December 16, 2016 "Application Data Sheet 37 CFR 1.76" with a Claim/s, Specifications, drawings, and copies of checks and bank statement showing payments. (Id. Ex. 500-506). Mr. PERRY requested that the USPTO provide him with accounting of all of his payments. The USPTO representative told PERRY they will get back to him and they never did. Plaintiff called to complain to Dale M. Shaw, the Deputy Director Stakeholder Outreach and Patents Ombudsman United States Patent and Trademark Office who belittled Mr. Perry that the USPTO never makes mistakes in charging extension fees.
- On February 23, 2018, the USPTO generated a <u>fourth</u> letter "Confirmation No. Filing Receipt" with a <u>Revised Filing Date Of December 26, 2017</u>. The letter requested (on page 2) that Plaintiff submit "A properly executed inventor's oath or declaration has not been received for the following inventor(s). The letter claimed that PERRY owed: "\$205 <u>Total Balance Due."</u> (Id. Ex. 507).
- Plaintiff accused the USPTO for intentionally revising his filing date and delaying the processing of his "Application Data Sheet 37 CFR 1.76" as though to allow some outside competing interests have had his patent ideas before him. For the reason the USPTO delayed their notice for over <u>year</u> (1) in finally acknowledging Mr. Perry's non-provisional patent application of December 16, 2016 to December 26, 2017.
- 119) On April 21, 2018, Mr. PERRY mailed a "Declaration For Utility Design Patent Application (37 CFR 1.63)"; a "Declaration Utility Or Design Patent Application"; a "Certificate Of Mailing Or Transmission Under CFR 1.8" with a screen shot of filing the data application "Application Data Sheet 37 CFR 1.76," for patent application No.:15/382,598, with a corrected declaration. He also mailed statements under "37 CFR 1.78 Claiming Benefit Of An Earlier Filing Date, And Cross Reference To Other Applications."
- (Nonprovisional)" stating that he paid only "(\$150) Previous Unapplied Payment Amount.") ("A Previous payment of \$150 will be applied to the additional fees indicated above.) (Id. Ex. 538). Mr. PERRY paid \$140 (09/22/17) + \$40 (02/02/18) + \$205 (07/11/18) = \$385. If Mr. PERRY was asked to pay only a Total of \$295 in Patent Application No.:15/709,307, he was asked more in Patent Application No.:15/382,598.
- 121) However, in Patent Application No.:15/709,307 Mr. PERRY paid only <u>\$295</u> in fees. The USPTO request for undefined fee amount was the reason PERRY asked for accounting. The fees should

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be the same as with Patent Application No.:15/382,598. So, Mr. PERRY overpaid \$90 in Patent Application No.:15/382,598, and he overpaid \$250 in Patent Application No.:15/709,307.

- 122) On July 11, 2018, Mr. Perry mailed a "Re: Request for Accounting of All Credit Cards And Check Payments And How It Was Applied."
- 123) On July 16, 2018, Mr. Perry mailed a "Moshe Avram Perry's Petition To The USTPO Director To Waive Extension And Appeal Fees (35 U.S.C. § 41)" for patent applications No.:14/794,807; No., 15/382,598, and No.:15/709,307 (Id. Exhibits # 569-579), with a copy of a screen shot of the Patent Application registration on December 16, 2016 and a "Application Data Sheet 37 CFR 1.76," No.:15/382,598.
- 124) On July 23, 2018, the USPTO mailed Mr. Perry a "Notice of Incomplete Reply," asking PERRY to pay extension fees.
- Abandonment Under 37 CFR 1.53(f) OR (g)." On August 27, 2018 the USPTO mailed Mr. PERRY a "Notice of Publication of Application" was contrary to 35 U.S.C. 122(a), by ignoring Mr. PERRY's "Request Not To Publish" that neither was "necessary to carry out the provisions of an act of congress" or was it a "such special circumstances."
- 126) On September 12, 2018, PERRY mailed a "Re: Complaint And Petition To Remove/Reverse Publication Because of Violation Of Confidentiality 35 U.S.C. 122: The Notice Of Publication Of Application 08/23/2018 Was Arbitrary, For Reinstatement Of The Original Filing Date Of 12/16/2016 Replace The 12/26/2017 Revise Filing Date." (Id. Ex.# 580-588).
- 127) Publication and nonpublication are only stated in the ADS application. Here the USPTO subverts their duties to patents applicants as PERRY by disregarding their own rules and regulations and the abusively negated the purpose of nonpublication. See <u>Pine Tree Med. Assocs. v. Health & Human Serv.</u>, 127 F.3d at 121 ("[M]ere filing of an application is not the kind of completed transaction in which a party could fairly expect stability in the relevant laws as of the transaction date.").

See <u>Citizens To Pres. Overton Park, Inc. v. Volpe</u>, 401 U.S. 402, 410, 91 S.Ct. 814, 28 l.ed.2d 136 (1971) (quoting s.rep. no. 79-752, at 26 (1945)). Section 122(a) lays out a broad rule prohibiting the disclosure of patent applications, subject to two exceptions: applications for patents shall be kept in confidence by the [PTO] and no information concerning the same given without authority of the applicant or owner unless necessary to carry out the provisions of an act of congress or in such special circumstances as may be determined by the director. thus, applications may be disclosed only if (1) the disclosure is "necessary to carry out the provisions of an act of congress" or (2) there are "such special circumstances as may be determined by the director." § 122(a).

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- 128) Since a request was contained within an Application Data Sheet and if it was based on the filing date to make the Application or publication a completed transaction. This is because Mr. Perry did not know the USPTO would ignore his request not to publish until too late. Had he known earlier, that he can only changed it if the USPTO granted PERRY a filing affective date (although a year late) was granted on December 26, 2017. Thus, Mr. Perry's application requesting Non-Publication was valid and the USPTO should not have changed the Non-Publication past the filing date. (Id. Ex. 441).
- 129) When Plaintiff complained not to publish, he was rebuffed and ignored, with USPTO representatives lashing out at him with hostility and prejudice. When Plaintiff complained to the USPTO Ombudsman Dale Shaw, the Deputy Director Stakeholder Outreach and Patents Ombudsman United States Patent and Trademark Office belittled Mr. PERRY. Dale Shaw excused the publishing and stated it was because Plaintiff application data sheet was field and mailed on December 16, 2016.
- prosecution and mailed him a letter after letter which contained false and misleading information as if they did not receive his documents, his drawing and specifications, and that made Mr. Perry realize that the USPTO adversarial treatment was practicing a scheme to trick and confuse him as a Pro Se by giving wrong information to pro se applicants as if the "\$150 previous unapplied payment amount." This was when the USTPO formalities letter from February 23, 2018 alleged Mr. Perry only paid \$150 when he already paid all of his patent applications fees. The reason was for the USPTO to create more delays to accrue extension fees and abandonment of Mr. Perry's patent applications.
- 131) The so-called "petition for extension of time" fee. The extension fees are in effect a late fee created by a mix of statutory and regulatory scommand. USPTO regulations may not promote practices that undermine patent applications by Startup companies in the United States in order to charge frivolous, fraudulent extension feesand expensive petition for extension of time that is intended to lead to abandonment of valid and paid patent applications. Such frivolous extension fees do not facilitate the prompt conclusion of application processing but instead lengthen patent prosecution.
- 132) After the USPTO charged the frivolous extension fees it abandoned Mr. Perry's patent applications without warning to generate abandonment of his patent applications, and make more

See "Regulatory Impact Analysis", supra note 81, at 111.

In 2020 (the last year for which such data was available), the USPTO had charged slightly in excess of \$3.45 billion in fees, of which roughly \$3 billion were attributable to patent fees and the rest to extension fees. One of the fees contributing to this surplus (accounting for \$151 million 35 in 2015).

money⁸⁷in the process after Mr. Perry paid all his examination fees. Then the USPTO prompted Mr. Perry to file petitions to the "<u>USPTO Director</u>" which are then forward to the USPTO staff attorneys who render arbitrary denials.

- 133) This was all done in the USPTO carrying out its illegal and impermissible policy of publishing Mr. Perry's non-publish application No.: 15/382,598 (Id. Ex. 547-561), and preventing any of Mr. Perry's patent applications from further prosecution after fees were already paid, depriving him the issuance of patents, the USPTO had taken Mr. Perry's property rights and constitutional rights.
- 134) The harm caused to Mr. Perry by the defendants was an act and omission constituting willful and criminal misconduct, malicious, wanton, intentional, outrageous misconduct, and a conscious flagrant indifference to the rights of Mr. Perry's rights and entitlement to a fair and honest examinations of his patent applications, intentional misconduct, resulting in Mr. Perry suffering economic and emotional distress, and injuries.
- 135) As a direct, legal and proximate result of the harm, by defendants' unlawful actions were intentional, willful, malicious, and done with total disregard to Plaintiff' right for equal protection of the laws and to be free from discrimination of application of federal laws based on national origin, Plaintiff had sustained economic, compensatory damages from all the defendants and that he will continue to sustain, to be proven at trial.
- 136) Plaintiff further seeks reasonable punitive damages for pain and suffering; loss of earnings, special compensatory damages, for mental anguish is entitled to liquidated damages. Plaintiff also seeks for all other injunctive, declaratory, and monetary relief available for the violations at trial, including liquidated damages for all willful violations, and other compensation for violation of the federal laws.
- 137) But for an FTCA⁸⁸ claim to be properly before the Court, a plaintiff must first present an administrative claim to the agency allegedly responsible for the plaintiff's injury. See 28 U.S.C. § 2675(a).
- 138) The FTCA provides a limited waiver of sovereign immunity for actions based on common law torts. 28 U.S.C. § 1346(b)(1) provides: [T]he district courts...shall have exclusive jurisdiction of civil actions on claims against the United States, for money damages....for injury or loss of property, or personal injury or death caused by the negligent or wrongful act or omission of any employee of the Government while acting within the scope of his office or employment..."

⁸⁷ 37 C.F.R. § 1.17 (2018).

The FTCA includes a waiver for actions for tortious harassment campaign.

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139) As a direct, legal and proximate result of the harm, by defendants' unlawful actions were intentional, willful, malicious actions was done with total disregard to Plaintiff' right for equal protection of the laws and to be free from discrimination of application of federal laws based on national origin, Plaintiff had sustained economic, compensatory damages from all the defendants and that he will continue to sustain, to be proven at trial.

FIFTH CAUSE OF ACTION

[Cause of Action Under 42 U.S.C. § 1983 For The USPTO Scheme of Fabrication, Procuring Frivolous Extension Fees, Fraud And Spoilage of Plaintiff's Four (4) Patent Applications No.:14/794,807; 15/382,598, No.:15/709,307 No. 16/599,131 In A Span of 7 Years Were All Maliciously Abandoned Without Recommencing Any Examination In Violation of 18 U.S.C. § 1001. Every Patent Application Mr. Perry Had Filed Was Abandoned Right After He Paid All The Fees. The USPTO Illegal Incentive⁸⁹ Is To Abandon Good Patent Applications Is Patterned To Post Applications Online On "PublicPair" Portal ASAP For Sale And To Steal Patents Ideas To Make More Money].

- 140) Plaintiff incorporates by reference as if fully set forth herein the allegations contained in paragraphs 1 above through 265 inclusive, as though fully set forth herein.
- 141) On information and belief, USPTO officials of said "Hyatt Unit" personnel are responsible for the undue delays and denial of Mr. Perry's timely actions in the processing his patent application petitions in order to accrue extensions fees to cause un-noticed abandonment of already paid patent applications.
- The likelihood of Mr. Perry's four (4) patent applications No.:14/794,807; 15/382,598, No.:15/709,307 No. 16/599,131 accruing extensions fees in order to be all abandoned in a span of 7 Years without recommencing examination of the patent applications is way too high to believe was accidental. The USPTO's patent prosecution is malicious and intentful to abandon the examination of patent applications No.:14/794,807; 15/382,598, No.:15/709,307 No. 16/599,131. (Patent application No.:14/794,807 was recommenced but later abandoned by Examiner Kristine Clarette Matter who lied claiming Mr. Perry did not file his notice of appeal, his appeal fees or pay his extension fees).

The USPTO incentive to abandon patent applications is clear to make more money and steal patent ideas. In 2020 (the last year for which such data was available), the USPTO had charged slightly in excess of \$3.45 billion in fees, of which roughly \$3 billion were attributable to patent fees and the rest to extension fees. One of the fees contributing to this surplus (accounting for \$151 million 35 in 2015).

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- Since filing his patent application No.:14/794,807 in 2015 the USPTO made fabrications claims that they have "<u>not received</u>" or "<u>misplaced</u>" or "<u>lost</u>" Mr. Perry amendments and all mailed paperwork mailed by USPTO Priority and Certified Mail of his patent applications No.:14/794,807; 15/382,598, No.:15/709,307 And No. 16/599,131, the USPTO had kept losing papers, then use protracted delays and blames Mr. Perry for not answering their letters, they demand him to pay extension fees.
- 144) In the mid pandemic, the USTPO had waited 10 months to respond back to Mr. Perry's patent application No. 16/599,131, they lied and claimed he did not mail them all documents, corrections and information for his claim and specification, they abandoned his application without notice, and instructed him to file a petition which is now pending for over six (6) months. The same had happened in all of Mr. Perry's patent applications. How is it possible that every patent application Mr. Perry had filed had been abandoned right after he paid all the fees?
- notice of extension fees before the USPTO issue "NOTICE OF ABANDONMENT" without recommencing of any examination of his patent applications. Not surprising this is more than a pattern; the USPTO has a sad history of policies where the USPTO is intentionally "losing" papers to accrue extension fees in order to abandon already paid patent applications. 92
- 146) Every delay in Mr. Perry's patent application was caused by the USPTO and not by Mr. Perry who submitted his response timely. The time for the USPTO to reply back to Mr. Perry should not affect the period given to Mr. Perry for the prosecution of his patent application/s. The USPTO staff and

Abandonment can only occurs when either the applicant expressly notifies the USPTO of its intent to abandon, [37 C.F.R. 1.138(a) ("An application may be expressly abandoned by filing a written declaration of abandonment identifying the application in the United States Patent and Trademark Office."), or when the applicant fails to respond to an office action or other USPTO action within the required statutory period. [See, e.g., 37 C.F.R. 1.137(a)] ("If an applicant of a patent application fails to reply within the time period provided, the application will become abandoned unless an Office action indicates otherwise.")].

See Hyatt v. United States Patent and Trademark Office, No. 17-1722 (Fed. Cir. 9-24-2018).] "[T]he Eastern Virginia district court granted summary judgment for the PTO on the grounds that Mr. Hyatt lacked a remedy because the PTO had recommenced examination of his applications. Id. at 787."..."[H]yatt's prior petition for a writ of mandamus on the basis of unreasonable delay failed because the PTO had already recommenced its examination of his applications by the time the district court reached the case's merits. Hyatt, 146 F. Supp. 3d at 785-86."

See <u>Hyatt v. United States Patent And Trademark Office</u> No. 2014–1596 (Fed. Cir. August 20, 2015): In 2014, Hyatt sued, alleging the PTO unreasonably delayed examination of his applications by preventing his PTAB appeals from being heard. The APA offers a remedy for such situations by enabling reviewing courts to compel agency actions unlawfully withheld or unreasonably delayed without adequate reason or justification. 5 U.S.C. §§ 702, 706(1).

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examiners' intentionally lies about time of receipts from the date mailing of applicants' corrections clearly violates Fed. R. Civ. P. Rule 5(b)(2)(B) and Fed. R. Civ. P. Rule 6 provisions making mail service complete on mailing not receiving.⁹³ In the present case, the USPTO mailed their <u>First "Fom1alities</u> <u>Letter"</u> on November 6, 2019 and requested Mr. Perry to resubmit a corrected, substitute specification, to add description to the drawing and submit an abstract.

- i. The USPTO Improperly Miscalculate The Eighteen (18) Months Under 35 U.S.C. § 122 And The Six Months Under 35 U.S.C. § 133 In Order To Charge Mr. Perry Frivolous Extension Fees To Abandon His Four (4) Patent Applications No.:14/794,807; No.,15/382,598, No.:15/709,307 and No. 16/599,131.
- Calculation of the Eighteen (18) months under 35 U.S.C. § 122 was improperly applied to Mr. Perry had <u>timely replied and amended</u>. For example, the USPTO's formalities letter require Mr. Perry to respond within two months. (<u>Id. Exhibits # 101, 116, 120, 128, 538, 594, 667, 741, 763</u>). Thus, the time for Mr. Perry to reply is individually allotted up to six months even if it spread out, because Mr. Perry had replied timely within the two months. (See 35 U.S.C. § 133):" "[U]pon failure of the applicant to prosecute the application within six months after any action therein....the application shall be regarded as abandoned by the parties thereto.") However, Mr. Perry had never failed to prosecute his patent applications within six months.
- 148) The USPTO would take months from six up to ten months (patent application No. 16/599,131) to respond to Mr. Perry's replies, then it collected extension fees and abandoned Mr. Perry's patent applications. The USPTO calculation of time is incoherently made in order to prejudice Mr. Perry's patent applications to charge him frivolous extension fees in order to abandon his four (4) patent applications.
- 149) 37 CFR 1.136(a) clearly indicates that no extension can carry the date for reply beyond the maximum period of six months set by statute (35 U.S.C. § 133). Which means that the delays knowingly been caused by the USPTO who violated 35 U.S.C. 13, it cannot attribute the USPTO delays to Mr. Perry who timely replies to cause waste of time within the period of 18 months under 35 U.S.C. §

FRCP Rule 5(b)(2)(B) provision making mail service complete on mailing. See also Federal rules of civil procedure applicable to patent laws, See Fed.R.Civ.P. Rule 6: Computing and Extending Time. Rule 6(d): "[i]ntermediate Saturdays, Sundays, and legal holidays are excluded in determining when the period expires under Rule 6(a)": "(a) Computing Time. The following rules apply in computing any time period specified in these rules, in any local rule or court order, or in any statute that does not specify a method of computing time.""(d) Additional Time After Certain Kinds of Service. When a party may or must act within a specified time after being served and service is made under Rule 5(b)(2)(C)..., 3 days are added after the period would otherwise expire under Rule 6(a)."

122.

- 150) For example, on October 14, 2019 and on December 24, 2019 by correcting the specification, adding a description o the drawing and an abstract on separate sheet. But this did not satisfy the USPTO who made a new request for corrections that ignored Mr. Perry's previous mailed corrections. So after the USPTO waited 10 months they made a new request for corrections that were not mention in their first "Formalities Letter." (Calculate from 11/06/2019 to 12-14-2019 = 8 days in total).
- 151) On the USPTO <u>Second "Formalities Letter"</u> of January 16, 2020 the USPTO again requested Mr. Perry to resubmit a second a substitute specification, and to add again a description to the drawing, (that he already submitted), and now asked for a "<u>Replacement claim/s"</u> and a "Replacement Abstract" on a separate sheet, that they did not request in their first "<u>Formalities Letter</u>" of November 6, 2019.
- 152) Mr. Perry replied again on March 11, 2020 with a corrected, substitute specification and the non-marked-up copy, a description to the drawing, (that he already submitted), a "Replacement claim/s" and a "Replacement Abstract" on a separate sheet, that the USPTO did not ask in the first "Formalities Letter" of November 6, 2019. (Calculating from 01/16/2020 to March 11, 2020 = 55 days in total).
- On March 31, 2020 the USPTO mailed their <u>Third "Formalities Letter"</u> requesting Mr. Perry submit a new corrected, substitute specification, a description to the drawing, (that he already submitted), a "Replacement claim/s" and a "<u>Replacement Abstract</u>" on a separate sheet (that he already done).
- On May 8, 2020 Mr. Perry timely replied to the USPTO by submitting a corrected, substitute specification with the non-marked-up copy, and a description to the drawing, (that he already submitted), a "Replacement claim/s" and a "Replacement Abstract" on a separate sheet (that he already done). (Calculating from 03/31/2020 to 05/08/2020 = 38 days in total).
- 155) When the USPTO mailed their third "Formalities Letter" on March 31, 2020, they intentionally ignored that Mr. Perry had already replied timely on May 8, 2020. So, the USPTO had waited five more months to mail their **Forth "Formalities Letter"** until October 29, 2020. The USPTO "Withdrawal Notice" letter of October 29, 2020 arrogantly requested that Mr. Perry "disregard" the previous notices they mailed to him on 01/16/2020 and 03/31/2020. But then they requested extension fees??? The USPTO delay from 05/08/2020 to 10/29/2020 = 174 days in total). The USPTO attribute to Mr. Perry the delays of 174 days in order to incur extension fees against him.

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- 156) On January 11, 2021 the USPTO again mailed their fifth "Formalities Letter" for Mr. Perry to avoid abandonment, and they requested he submit another substitute specification, falsely claimed he never submitted the substitute specification of 12/29/2020 with the non-marked up copy, they arrogantly demanded more extension fees. All extension requests are decided by the Technology Center (TC) Director. But the USPTO had never really given Mr. Perry the full six months that Congress established under 35 U.S.C. § 133:
- Moreover, Mr. Perry never failed to prosecute his patent application because he did mail his reply letters timely to the USPTO. For the USPTO to find as that Mr. Perry failed to prosecute when he timely replied to all their formalities letters is manifest injustice. See Federal Rules of Civil Procedure, Rule 4l(b), "[i]f the plaintiff fails to prosecute or to comply with....a court order"-such s by failing to file an amended complaint after being ordered failed to prosecute when he timely replied to all their formalities letters is manifest injustice. See Federal Rules of Civil Procedure, Rule 4l(b), "[i]f the plaintiff fails to prosecute or to comply with....a court order"-such s by failing to file an amended complaint after being ordered to do so." See *Huey v. Teledyne, Inc.*, 608 F.2d 1234, 1240 (9th Cir. 1979): "We have read Rule 4l(b) "to require prosecution with 'reasonable diligence' if a plaintiff is to avoid dismissal." *Anderson v. Air West, Inc.*, 542 F.2d 522,524 (9th Cir. 1976)"... ".
- 158) For example, see MPEP § 710.02(c): "The failure to timely reply will not affect the prosecution of the application (assuming that it is still pending), but will result in the Office rendering a decision on the petition for access without considering any objections by the applicant." See MPEP § 103. See 620 For Extensions of Time (37 CFR 1.136(b)). MPEP 710.02(e) Extension of Time:
 - "An extension of time under 37 CFR 1.136 is not necessary when submitting a supplemental reply to an Office action if a complete first reply was timely filed in reply to the Office action."
- 159) The USPTO had used the same trickery in Mr. Perry's four other patent application by incurring and charging frivolous extension fees to cause abandon Mr. Perry's non-published patent applications in order to publish and offering it for sale on the USPTO "PublicPair" website. (The Patent Application Information Retrieval (PAIR) system).
- 160) Mr. Perry had already sued the USPTO for charging wrongful extension fees in order to abandon his patent applications in order to illegally publishing Mr. Perry's non-publish patent applications No.,15/382,598 for sale on the USPTO "PublicPair" website. Mr. Perry's startup

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company patent applications by diminishing competition using illegal and wrongful extension fees for profit in order to cause abandonment of his patent applicant.

- 161) Please correct your fom1alities letters and stop harassing Mr. Perry using all sorts of misleading information and misquoting regulations in your letter of March 31, 2020, October 29, 2020 and January 11, 2021 in order to request frivolous extension fees, and proceed to Mr. Perry's patent examination without further delays, erroneously understating the receipt of Mr. Perry replies and mailing date. See 35 U.S.C. § 21.
- 162) Mr. Perry received a letter from the USPTO dated March 31, 2020, and he was not sure the USPTO knew than that were all in midst of COVID-19, Coronavirus pandemic days and are acting as if all is normal business. Yet, the USPTO abandoned his applications No. 16/599,131 based on 35 U.S.C. 111(a) and § 1.53(b), and published his patent application under 35 U.S.C. 122(b).
- 163) Your may not make any frivolous demands for extension fees, and you may not misquote 37 CFR 1.17, which is based on 35 U.S.C. § 21: Filing date and day for taking action is when the paper is deposit in the United States Postal Service, and not upon receipt:

"(a)The Director may by rule prescribe that any paper or fee required to be filed in the Patent and Trademark Office will be considered filed in the Office on the date on which it was deposited with the United States Postal Service or would have been deposited with the United States Postal Service but for postal service interruptions or emergencies designated by the Director. (b)When the day, or the last day, for taking any action or paying any fee in the United States Patent and Trademark Office falls on Saturday, Sunday, or a Federal holiday within the District of Columbia, the action may be taken, or the fee paid, on the next succeeding secular or business day."

- 164) The USPTO still using deception in their "Formalities Letters", including the March 31, 2020 letter requesting frivolous extension fees, misquoting patent laws and demanding new correction previously not requested. As far as what constitute the proper determination of when is a proper filing is upon the mailing date, and not upon receipt. Any delay in mailing because of Covid-19 is not my fault, and the late receipt of such reply mail should never be counted against the applicant (me) to be considered as late filing, in an eighteen month period to restore the application to pending status, with the need to procure extension fees.
- 165) Additionally, under 37 CFR 1.137, it is sufficient that an applicant make a statement that the entire delay in filing the required reply was unintentional on the part of the USPS. This follows that "[t]he Director may require additional information where there is a question whether the delay was unintentional" (37 CFR 1.137(b)(4)). The USPTO revised the rules of practice to implement the 18-

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month publication provisions of section 4503 of the AIPA in September 2000. This included revising the rules of practice pertaining to the acceptance of unintentionally delayed priority or benefit claims and prosecution of patent applications. See Changes to Implement Eighteen-Month Publication of Patent Applications, 65 FR 57023, 57024-25, 57030-31, 57053-55 (September 20, 2000). [Andrei Iancu, Dated: February 18, 2020].

- 166) The USPTO alleged that only upon receipt of Mr. Perry mailing would constitute timely filing is wrong. A mailing date of a paper is the proper filing date on the day it was deposited in the USPS, not the day the USPTO received the mail. See 35 U.S.C. § 21: "[a]ny paper or fee required to be filed in the Patent and Trademark Office will be considered filed in the Office on the date on which it was deposited with the United States Postal Service....but for postal service interruptions or emergencies designated by the Director." So, isn't <u>COVID-19</u>, Coronavirus pandemic is a national emergency that the USPTO must not ignore or not?
- 167) One other reason for the USPTO delays or extended period would require the publication of applications from the earliest filing date. (Id. 35 U.S.C. § 122). Any period that is delayed by the USPTO is extended for the period for the applicant to respond without fees, especially in the resent case where the USPTO took seven months delay⁹⁴ to respond back to Mr. Perry reply letter of May 8, 2020.
- 168) The USPTO delaying for seven months their fourth "Formalities Letter" is a failure of the USPTO, not Mr. Perry. The USPTO is refusing to follow procedures that lead them to charge Mr. Perry frivolous extension fees and unnecessary claims that the delays caused delays in the prosecution of Mr. Perry's patent application in order to cause abandonment of Mr. Perry's patent applications after he paid all patent prosecution fees, when the USPTO issues a decision "plainly erroneous or inconsistent with the regulation." *Auer v. Robbins*, 519 U.S. 452,461 (1997).
- 169) It also apparent that the United States Patent and Trademark Office ("USPTO") is one of the few agencies of the federal government that makes a profit-it⁹⁵ and earns more in user fees than it spends on operations, thus generating a surplus from unlawful and frivolous extension fees. Unlike

⁷⁷ Fed. Reg. 55028, 55032 ("The proposed fee structure helps facilitate effective administration of the patent system by encouraging applicants or patent holders to engage in certain activities that facilitate an effective patent y tem. In particular, setting fees at the particular levels proposed here will: (1) encourage the submission of applications or other actions that enable examiners to provide prompt, quality interim and final dec1s1ons; (2) encourage the prompt conclusion of prosecution of an application, which results in pendency reduction, faster dissemination of information, and certainty in patented inventions; and (3) help recover the additional costs imposed by some applicants' more intensive use of certain services that strain the patent system.").

See USPTO Congressional Budget Justification supra note 1.

most government operations, the USPTO makes a profit.

- 170) The USPTO special expertise with respect to its own regulations, vary when they intentionally "lost" and "misplaced" Mr. Perry's corrected documents and the revised, non-marked-up copy of the specification in order to accrue frivolous extension fees Mr. Perry's applications No.:14/794,807; No.,15/382,598, No.:15/709,307 and No. 16/599,131. This is important since it is the USPTO who sets the deadlines for responding and processing patent applications prosecution to 18 months.
- 171) Instead of engaging in prosecution of patent applications. The USPTO took charge over charging frivolous extension fees; they intentionally and especially shortened the period for replies that is plainly inconsistent with the six months set by Congress. (See 35 U.S.C. § 133).
- 172) Mr. Perry paid fees to the USPTO for a timely, fair, and impartial examination of his patent applications in accordance with the Patent Act and USPTO rules and procedures, which he was entitled to occurred only in patent application No.:14/794,807, but which did not occur in patent applications: 15/382,598, No.:15/709,307 and No. 16/599,131, which the USPTO unlawfully and unreasonably delayed in order to charge him extension fees.
- 173) The USPTO's actions violated Mr. Perry's constitutional rights to due process and to just compensation for a taking of his property.
- 174) The USPTO's actions in abandoning Perry's Applications were arbitrary, capricious, an abuse of discretion, or otherwise not in accordance with law and also contrary to constitutional right, power, privilege, or immunity.
- 175) The USPTO's actions in abandoning Mr. Perry's Applications were undertaken in bad faith.
- 176) Mr. Perry is therefore entitled to specific relief: issuing a patent in Application and No.:14/794,807; and fair examinations of applications 15/382,598, No.:15/709,307 and No. 16/599,131.
- 177) For example, Mr. Perry's patent specification No. 16/599,131 was mailed to the USPTO on December 29, 2020 and again on January 24, 2021 as demonstrated by the USPS envelope, and any statement Mr. Perry did not submit the specification is misleading. See envelope and receipt of January 24, 2021 that contained the specification, claim, drawing and all other papers requested by the USPTO to be corrected were corrected and submitted.
- 178) USPTO regulations may not promote practices that undermine patent applications by Startup companies in the United States in order to charge them frivolous, fraudulent extension fees and expensive petition for extension of time that is intended to lead to abandonment of valid and paid patent

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applications. Such frivolous extension fees do not facilitate the prompt conclusion of application processing but instead lengthen patent prosecution.⁹⁶

- 179) Although deference is favored to the USPTO special expertise with respect to its own regulations, ⁹⁷ this vary when the USPTO is losing and misplacing Mr. Perry's corrected documents and the revised, non-marked-up copy of the specification in order to accrue frivolous extension fees when it supposed to have the expertise in setting deadlines for processing patent applications. This is especially bothersome when setting the USPTO is only in charge over extension fees instead of prosecution of patent applications, especially when the USPTO gives patent applicants as Mr. Perry a shortened period for replies that is plainly inconsistent with the six months set by Congress. (See 35 U.S.C. § 133).
- 180) The harm caused to Mr. Perry by the defendants was an act and omission constituting willful and criminal misconduct, malicious, wanton, intentional, outrageous misconduct, and a conscious flagrant indifference to the rights of Mr. Perry's rights and entitlement to a fair and honest examinations of his patent applications, intentional misconduct, resulting in Mr. Perry suffering economic and emotional distress, and injuries.
- 181) As a direct, legal and proximate result of the harm result of defendants' unlawful actions were intentional, willful, malicious, and done with total disregard to Plaintiff' right for equal protection of the laws and to be free from discrimination of application of federal laws based on national origin, Plaintiff had sustained economic, compensatory damages from all the defendants, and for other expenses that he will continue to sustain, to be proven at trial..
- 182) Plaintiff is entitled to reasonable punitive damages for pain and suffering; loss of earnings, special compensatory damages, for mental anguish is entitled to liquidated damages. Plaintiff also seeks for all other injunctive, declaratory, and monetary relief available for the violations at trial, including liquidated damages for all willful violations, and other compensation for violation of federal laws.
- 183) The so-called "petition for extension of time" fee. The extension fees are in effect a late fee created by a mix of statutory and regulatory 98 command. USPTO regulations may not promote practices that undermine patent applications by Startup companies in the United States in order to charge frivolous, fraudulent extension fees and expensive petition for extension of time that is intended to lead to abandonment of valid and paid patent applications. Such frivolous extension fees do not facilitate the

See "Regulatory Impact Analysis", supra note 81, at 111.

⁹⁷ <u>King v. Burwell</u>, 135 S.Ct. 2480 (2015); <u>Utility Air Regulatory Group v. E.P.A.</u>, 134 S.Ct. 2427 (2014).

See "Regulatory Impact Analysis", supra note 81, at 111.

prompt conclusion of application processing but instead lengthen patent prosecution. 99

- 184) After the USPTO charged the frivolous extension fees¹⁰⁰ it abandoned Mr. Perry's patent applications without warning. Then the USPTO prompted Mr. Perry to file petitions to the "<u>USPTO</u> <u>Director</u>" which are then forward to the USPTO staff attorneys who render arbitrary denials.
- 185) See exhibits #391-396 conflict of interests in Re.: Decision on Mr. Perry's petitions were all dismissed by Katharine Matecki, Director of Patent Technology Center 3600, who was also the direct supervisor Kristine Clarette Matter, the examiner who abandoned Mr. Perry's patent application No.:14/794,807 on February 8, 2018. (Id. Exhibit #399).
- 186) An applicant who files a timely reply before the expiration of the shortened statutory period (and before the expiration of the statutory six month period), the reply must be accepted and does not need to be accompanied by a petition for extension of time and the required fee. MPEP § 710.02(e) ("If an applicant is required to reply within a shortened statutory time period, applicant may extend the time period for reply if a petition for an extension of time and the fee are filed."). Thus, the USPTO may not abandon an application which was timely replied. 35 U.S.C. § 133; MPEP § 710.
- 187) See 37 CFR 1.134: "Time period for reply to an Office action. An Office action will notify the applicant of any non-statutory or shortened statutory time period set for reply to an Office action. Unless the applicant is notified in writing that a reply is required in less than six months, a maximum period of six months is allowed. The USPTO asking Mr. Perry to pay for extension is misquoting 37 CFR 1.17, which must be based on 35 U.S.C. § 21¹⁰¹: See also 37 CFR 1.8(a). 102

³⁷ C.F.R. § 1.17 (2018).

In 2020 (the last year for which such data was available), the USPTO had charged slightly in excess of \$3.45 billion in fees, of which roughly \$3 billion were attributable to patent fees and the rest to extension fees. One of the fees contributing to this surplus (accounting for \$151 million 35 in 2015).

³⁵ U.S.C. § 21: "[F]iling date and day for taking action: "[(a) The Director may by rule prescribe that any paper fee required to be filed in the Patent and Trademark Office be considered filed in the Office on the date on which it was deposited with the United States Postal Service or Could have been deposited with the United States Postal Service but for postal service interruptions or emergencies designated by the Director. (b) When the day, or the last day, for taking any action or paying any fee in the United States Patent and Trademark Office falls on Saturday, Sunday, or a Federal holiday within !he District of Columbia, the action may be taken, or the fee paid, on the next succeeding secular or business day. (July 19, 1952, ch. 950, 66 Stat. 794; Pub. L. 93-596, § 1, Jan. 2, 1975, 88 Stat. 1949; Pub. L. 97-247, § 12, Aug. 27, 1982, 96 Stat. 321; Pub. L. 106--113, div. B § 1000(a)(9) [title IV, § 4732(a)(1 0)(A)], Nov. 29, 1999, 113 Stat. 1536, 1501A-582; Pub. L. 107-273, div. C, title III, § 13206(b)(l)(B), Nov. 2, 2002, 116 Stat. 1906.)"

³⁷ CFR 1.8(a): "[C]ertificate of mailing or transmission. (1) Correspondence will be considered as being timely filed if: "(i) The correspondence is mailed or transmitted <u>prior to expiration</u> of the set period of time by being: (A) Addressed as set out in § 1.1 (a) and <u>deposited</u> with the U.S. Postal Service

- 188) This means that Mr. Perry's envelope shows the USPS deposit date stamp, and the date of which he deposited it in the USPS deposit date cannot be determined only under 37 CFR 1.8. Because under 37 CFR 1.10(2): The date of deposit with USPS is shown by the "date accepted." If the USPS deposit date can be determined, the correspondence will be accorded the USPTO receipt date as the filing date. See § 1.6(a).
- 189) The USPTO must apply the standard of law as used in federal courts, in other words, the mail deposited it in the USPS¹⁰³ claim and construction standard that would be used to construe the claim in a civil action must follow federal law as under 35 U.S.C. 282(b), which is articulated in *Phillips v. AWH Corp.*, 415 F.3d 1303 (Fed. Cir. 2005).
- 190) The patent statutes gives applicants six months to respond to correspondence from the USPTO, but also makes sure the Director of the USPTO authority to set a shorter period for response does not shorten Mr. Perry's time. The <u>1836 patent statute</u> (the first to provide for examination of applications by a patent office) did not set any time limit for responding to patent office correspondence.¹⁰⁴

SIXTH CAUSE OF ACTION

[Cause of Action Under 42 U.S.C. § 1983 For Conspiracy Against The USPTO Examiners, Representatives And USPTO Ombudsman Scheme, Rights¹⁰⁵ Under 18 U.S. Code § 241 And Tortious Harassment Campaign;

with sufficient postage as first class mail..."

37 CFR 1.8(a): "[C]ertificate of mailing or transmission. (1) Correspondence will be considered as being timely filed if: "(i) The correspondence is <u>mailed or transmitted prior to expiration of the set period of time</u> by being: (A) Addressed as set out in § 1.1(a) and <u>deposited with the U.S. Postal Service with sufficient postage as first class mail..."</u>

35 U.S.C. § 133 (2012): ["U]pon failure of the applicant to prosecute the application within six months after any action therein, of which notice has been given or mailed to the applicant, or within such shorter time, not less than thirty day, as fixed by the Director in such action, the application shall be regarded as abandoned by the parties hereto."). The 1836 patent statute (the first to provide for examination of applications by a patent office) did not set any time limit for responding to patent office correspondence. The statute was amended to require an applicant to respond within a year, then amended again to shorten the time for response to six months. Finally, in 1939, the statute was again amended to give the Commissioner the power to set a shorter time limit for response." HR 6878 (1939)(amending what was then 35 U.S.C. § 37).

Referral to the DOJ Defendants violation of 18 U.S.C. § 241: If two or more persons conspire to injure, oppress, threaten, or intimidate any person in any State, Territory, Commonwealth, Possession, or District in the free exercise or enjoyment of any right or privilege secured to him by the Constitution or laws of the United States,..."

A conspiracy claim brought under section 1983 requires proof of "an agreement or meeting of the minds to violate constitutional rights," Franklin v. Fox, 312 F.3d 423, 441 (9th Cir. 2001) (quoting

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Retaliation Against Mr. Perry's National Origin After He Filed His Patent Applications, They Intentionally Delayed Them In Order To Accrue Frivolous Extension Fees Used To Cause Abandonment Before Patent Prosecution Then They Prompted Mr. Perry to File Petitions To The Director Which They Arbitrarily Denied In His Patent Applications No.:14/794,807; No.,15/382,598; No.:15/709,307; And No. 16/599,131]

- 191) Plaintiff incorporates by reference as if fully set forth herein the allegations contained in paragraphs 1 above through 265 inclusive, as though fully set forth herein.
- 192) This waiver includes actions for tortious harassment campaign, and retaliation; so long as they are otherwise proper before the Court.
- 193) Mr. Perry was subjected to harassment and retaliation by the Defendants because of his national origin, Israeli and defendants did not exercise reasonable care to prevent the harassment, and did not exercise reasonable care to promptly correct any harassing behavior that did occur.
- 194) As a direct, legal and proximate result of the harassment, Plaintiff have sustained, and will continue to sustain, economic and emotional injuries, resulting in damages in an amount to be proven at trial.
- 195) Defendants' unlawful actions were intentional, willful, malicious, and/or done with complete disregard to Plaintiff's rights to be free from harassment and discrimination based on race, national or country of origin. If racial or ethnic discrimination could be shown to have driven a decision to, then an injunction could be possible on equal protection grounds unless other evidence makes clear the jurisdiction would have resumed evictions anyway. See <u>Hunter v. Underwood</u>, 471 U.S. 222, 228 (1985) ("Once racial discrimination is shown to have been a 'substantial' or 'motivating' factor behind enactment of the law, the burden shifts to the law's defenders to demonstrate that the law would have been enacted without this factor.").

United Steel Workers of Am. v. Phelps Dodge Corp., 865 F.2d 1539, 1540-41 (9th Cir. 1989) (citation omitted)), and an actual deprivation of constitutional rights, <u>Hart v. Parks</u>, 450 F.3d 1059, 1071 (9th Cir. 2006) (quoting <u>Woodrum v. Woodward County</u>, Oklahoma, 866 F.2d 1121, 1126 (9th Cir. 1989)). "To be liable, each participant in the conspiracy need not know the exact details of the plan, but each participant must at least share the common objective of the conspiracy." <u>Franklin v. Fox</u>, 312 F.3d at 441 (quoting <u>United Steel Workers v. Phelps Dodge Corp.</u>, 865 F.2d at 1541).

The federal system is one of notice pleading, and the court <u>may not apply a heightened pleading standard to Plaintiff's allegations of conspiracy</u>. Empress LLC v. City and County of San Francisco, 419 F.3d 1052, 1056 (9th Cir. 2005); <u>Galbraith v. County of Santa Clara</u>, 307 F.3d 1119, 1126 (2002). However, although accepted as true, the "[f]actual allegations must be [sufficient] to raise a right to relief above the speculative level..." <u>Bell Atlantic Corp. v. Twombly</u>, 127 S.Ct. 1955, 1965 (2007) (citations omitted).

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196) Plaintiff is entitled to reasonable compensatory, punitive damages if appropriate, for pain and suffering, for mental anguish, attorneys' fees (if obtained), and costs of suit.

SEVENTH CAUSE OF ACTION

[Cause of Action Under 42 U.S.C. § 1983 For Relief from the PTO's Unlawful Policies on Mr. Perry's Patent Applications No.:14/794,807; No., 15/382,598, and No.:15/709,307, and No. 16/599,131]

- 197) Plaintiff incorporates by reference as if fully set forth herein the allegations contained in paragraphs 1 above through 265 inclusive, as though fully set forth herein.
 - 198) The APA provides a cause of action to challenge final agency action. 5 U.S.C. § 704.
- 199) These actions violate Mr. Perry's rights under the Patent Act, PTO regulations, the Administrative Procedure Act, and the Due Process Clause of the Fifth Amendment to the United States Constitution.
- 200) These agency actions are arbitrary, capricious, an abuse of discretion, and/or otherwise not in accordance with law; contrary to constitutional right, power, privilege, or immunity; and in excess of statutory jurisdiction, authority, or limitations, within the meaning of 5 U.S.C. § 706.
- 201) The USPTO has acted to adopt de facto or de jure policies of discrimination to frustrate and delay Mr. Perry's prosecution of his patent applications before the USPTO, to prevent Mr. Perry from obtaining final agency action subject to judicial review, and to force the abandonment of his applications, and to prevent the issuance of patents to him.
 - 202) Mr. Perry therefore has no other remedy in a court in dealing with these agency actions.
- 203) Mr. PERRY is therefore entitled to orders setting aside these agency actions in Patent Applications: No.:14/794,807; No., 15/382,598, and No.:15/709,307, and No. 16/599,131 that were unreasonably and unfairly delayed to cause illegal and frivolous extension fees and abandonment.
- 204) Plaintiff is entitled to reasonable compensatory, punitive damages if appropriate, for pain and suffering, for mental anguish, attorneys' fees (if obtained), and costs of suit.

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EIGHTH CAUSE OF ACTION

[Cause of Action Under 42 U.S.C. § 1983 5 U.S.C. §§ 702, 706(1) Against The USPTO Pursuant To 37 CFR § 1.22(b) For Its Refusing of Obligation To Provide Notice of Fees Due After Collecting All Patent Applications Prosecution Fees From Plaintiff But Refusing His Request To Provide Him With Itemize List of All Fees Due And Paid In Applications No.:14/794/,807; 15/382,598, No.:15/709,307 and No. 16/599,131 Before Abandonment Notice Are Issued]

- 205) The Plaintiff incorporates by reference as if fully set forth herein the allegations contained in paragraphs 1 above through 265 inclusive, as though fully set forth herein.
- 206) Mr. Perry paid all of his fees for the USPTO for timely, fair, and impartial examination of his applications in accordance with the Patent Act and PTO rules and procedures, and he was entitled to itemized accounting of all fees paid in each individual patent application. (Id. Exhibits # 562-568), But the USPTO used the time Mr. Perry request accounting itemized to delay providing them in order to charge frivolous extension fees.
- 207) The USPTO unlawfully delayed and withheld from Mr. Perry timely, fair, and impartial examination of Mr. PERRY's patent applications in accordance with the Patent Act and USPTO rules and procedures. The USPTO, acted in bad faith and in violation of Mr. Perry's constitutional and statutory rights under the Patent Act and APA, requires that Mr. Perry pay numerous fees to avoid abandonment of his applications or other consequences that would be detrimental to his applications or any patents that may eventually be issued to him, including without limitation fees for extensions of time, for issue fees on applications that it did not issue, and for filing administrative appeals.
- 208) The USPTO collected fees from Mr. Perry for examination-related activities on four (4) patent applications No.:14/794,807; 15/382,598, No.:15/709,307, and No. 16/599,131 and for one appeal and retained those fees even after he paid for them by credit card and checks for Mr. Perry's applications in 2018, wiping out any results of the activities and appeals for which Mr. Perry had paid those fees.
- 209) The USPTO's assessment, acceptance, and retention of these fees without consideration and performance was arbitrary, capricious, an abuse of discretion, or otherwise not in accordance with law and also contrary to constitutional right, power, privilege, or immunity, and was inequitable.
- 210) When PERRY requested the USPTO for accounting of all the fees he paid, he was told by the USPTO that patent applicants are not entitled to such itemized list, and how it was applied, and that the USPTO don't have to give him accounting. However, this is a contrary assertion to the purpose of 37

CFR § 1.22(b):

"The Office attempts to notify applicants of deficiencies in their responses in a manner permitting a timely correction. However, the Office has no obligation to notify parties of deficiencies in their responses in a manner permitting a timely correction. In re Colombo, Inc., 33 USPQ2d 1530, 1532 (Comm'r Pat. 1994) The fact that the Office failed to mail a fee deficiency notice within 5 days window or timely reply does not shift the burden of timely and proper reply to the final Office action of July 6, 2017 from applicant."

- 211) The USPTO claimed it is not obliged to provide Mr. Perry any copies of lists of itemize payments Mr. Perry's he had paid. However, this is clearly contrary to the purpose stated under 37 CFR § 1.22(b):
 - "All fees paid to the United States Patent and Trademark Office <u>must</u> be itemized in each individual application, patent, or other proceeding in such a manner that it is clear for which purpose the fees are paid." 106
- 212) Hence, the USPTO must itemize all fees paid in each individual patent application in such a manner that it is clear for both Applicants and the USPTO which purpose of the fees were paid and how they were applied during a patent prosecution. So, when Mr. Perry timely requested accounting because the USPTO intended to confused him to over pay fees, it is as of right of a patent applicant to ask such itemized accounting notice, and a duty of the USPTO to provide such Mr. Perry accounting timely because 37 CFR § 1.22(b) requires them to do so, and because to avoid delays used the USPTO to charge Mr. Perry exuberant extension fees.
- 213) In <u>re Colombo, Inc.</u>, 33 USPQ2d 1530, 1532 (Comm'r Pat. 1994) is inapplicable to Mr. Perry's because it infra with regard to an error in name was the only party identified as the applicant in a post-publication amendments. While in Mr. Perry's case a notice of what fees are due is required <u>before</u> abandonment notice are issued. In general 263 <u>In re Kinsman</u>, 33 <u>U.S.P.Q.2d</u> 1057 (<u>Comm'r Pats</u>. 1993) applications was abandoned since the requirement for verification was statutory and cannot be waived). In <u>re Colombo Inc.</u>, 33 <u>U.S.P.Q.2d</u> 1530, 1531 (Comm'r Pats. 1994):

"In this case, there was no ambiguity in the Statement of Use; CMHC, Inc.,

³⁷ CFR 1.22(b): "All fees paid to the United States Patent and Trademark Office must be itemized in each individual application, patent, or other proceeding in such a manner that it is clear for which purpose the fees are paid. The Office may return fees that are not itemized as required by this paragraph. The provisions of § 1.5(a) do not apply to the resubmission of fees returned pursuant to this paragraph." [68 FR 48288, Aug. 13, 2003]

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was the only party identified as the applicant. The 'error' that occurred is more than a mere misidentification of the proper name of the applicant; it identifies another entity as the applicant. This cannot be corrected by amendment after expiration of the statutory filing period. The fact that the person who signed the Statement of Use on behalf of CMHC, Inc. is an Officer of the true owner, Colombo, Inc., is irrelevant where the Statement of Use was filed in the name of the wrong party."

- 214) In <u>Taylor v. United States PTO</u>, 339 F. App'x. 995 (Fed. Cir. 2009), the patentee erroneously paid \$10 less than was owed in maintenance fees. Id. at 996. The USPTO cashed his check and then deemed his patent expired for failure to pay the additional \$10 owed, never notifying the patentee of the deficiency in payment. Id. at 996. When the expiration error was noticed by the patentee at the time he tried to pay his next maintenance fee, The USPTO required him to submit a petition (with \$200 fee), but dismissed his petition without considering the merits because he could not afford, and thus did not pay, the \$200 petition fee. Id.
- 215) The patentee was told his patent could not be reinstated. Id. The Federal Circuit strongly disagreed, finding that "the Office's course of action in accepting Mr. Taylor's deficient payment on the one hand, while on the other hand expiring his patent without notifying him under MPEP § 2531 that his payment was inadequate, was arbitrary and capricious." (Id. at 998). Although the USPTO decided to refund the patentee's original maintenance fee payment and invited the patentee to submit a petition to reinstate his patent (with the \$200 petition fee), the Federal Circuit "perceive[d] no need for Mr. Taylor to submit further petitions." (Id. at 999). In a resoundingly just decree, the court held that:

"[i]n this case, equity would counsel that the PTO should reinstate Mr. Taylor's patent upon receipt of his payment for all outstanding maintenance fees. This relief will remedy, to this court's best estimation, the PTO's arbitrary and capricious actions."....This court determines that the appropriate relief in this case is equitable. A district court's equity jurisdiction provides broad and flexible powers to deliver justice in unique factual circumstances. "The essence of a court's equity power lies in its inherent capacity to adjust remedies in a feasible and practical way to eliminate the conditions or redress the injuries caused by unlawful action. Equitable remedies must be flexible if these underlying principles are to be enforced with fairness and precision." Freeman v. Pitts, 503 U.S. 467, 487 (1992). In this case, equity would counsel that the PTO should reinstate Mr. Taylor's patent upon receipt of his payment for all outstanding maintenance fees. This relief will remedy, to this court's best estimation, the PTO's arbitrary and capricious actions." Id.

216) Mr. Perry realized that the USPTO sorted excuses was a display of hostility and

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adversarial of him as a Pro Se Applicant, the USPTO exercised and practiced a scheme to trick and confuse Pro Se and then deny them itemized accounting to lead or cause abandonment of Patent Applications.

- 217) When Mr. Perry called the USPTO to confirm the fees he paid and to ask the representatives for accounting of all fees he paid, he was not late at that point prosecuting his patent application. The USPTO representative then gave Mr. Perry false promises that they will give him accounting, was promised by the USPTO Finance Office, and on three occasions the USPTO Ombudsman Dale Shaw.
- 218) So, while Mr. Perry was waited for accounting the USPTO use the delays in refusing to provide accounting, they accrued extension fees. Meantime, USPTO representatives would then refer Mr. Perry to the USPTO Ombudsman Dale who instructed him to file petitions with the USPTO Director to remove the extension fees, and while waiting for the petitions for three months more extension fees would accrue. The USPTO petition to the Director would be denied arbitrarily, claiming that filing the petition did not stay the period to respond (37 CFR § 1.181(f)). Meanwhile extension fees were accrued and owed, and if not paid the application would be abandoned. Mr. Perry has audio recorded the deception by the USPTO representative and will present in Court.
- 219) So, Mr. Perry called the USPTO to confirm why the USPTO say he only paid \$150, the USPTO representative asked him to pay more fees. By understated the amount of the fees that Mr. Perry paid, the USPTO made him (as well as to other Pro Se Applicants) to ask for accounting of all fees he paid. At that point Mr. Perry was not late in prosecuting his patent application. The USPTO representatives would then refer Mr. Perry to the USPTO Ombudsman Dale Shaw who would instruct him to file petitions with the USPTO Director and the petitions months after would be arbitrarily denied.
- 220) But when Mr. Perry called the USPTO representative who gave him false promises that they will give him accounting of all the fees he paid, the USPTO would delays for months and did not send the accounting as promised. So, Mr. Perry asked for accounting of the fees he paid and he received nothing. Mr. Perry asked and was promised by the USPTO Finance Office delivery of an itemized accounting.
- 221) Over period extending over 6 and 7 months on three occasions from June 2015 to December 2017 the USPTO Finance Office and the USPTO Ombudsman Office had refused to provide Mr. Perry the itemized accounting of all his payments on the three patent applications No.:14/794,807; No.,15/382,598; No.:15/709,307. PERRY had audio recorded the deception by the USPTO representative and will present the recording in Court.

- While Mr. Perry was waiting for accounting the USPTO use the delays they created in refusing to provide accounting to ask for extension fees. When Mr. Perry in Pro Se was confused, the USPTO abandoned his Patent Application on August 23, 2018, and immediately thereafter on August 27, 2018 posted Mr. Perry's Patent Application and specification on the USPTO's "PublicPair" Online portal.
- 223) In spite of Plaintiff protests not to publish on the USPTO "PublicPair" Portal his patent applications pursuant to 37 CFR 1.213. This spiteful publication ignored plaintiff's Application Data Sheet No.:14/794,807 under 37 CFR 1.76 "REQUEST NOT TO PUBLISH" under CFR § 1.29. Yet, the USPTO Ombudsman Dale Shaw stated that Mr. Perry the patent application and specification would nevertheless be published. (Id. Exhibit # 441).
- The APA authorizes this Court to hear and decide claims against federal agencies seeking "relief other than money damages." 5 U.S.C. § 702. Specific relief is such a remedy. See generally Bowen v. Massachusetts, 487 U.S. 879 (1988).
- 225) Mr. Perry is therefore also entitled to patent on patent application No.,15/382,598, and specific performance and consideration on the fees he already paid on patent applications No.:14/794,807; No.,15/382,598; No.:15/709,307 and No. 16/599,131.
- 226) Plaintiff is entitled to reasonable compensatory, punitive damages if appropriate, for pain and suffering, for mental anguish, attorneys' fees (if obtained), and costs of suit.

NINTH CAUSE OF ACTION

[Injunctive Relief Under The All Writs Act, 28 U.S.C. § 1651) On Patent Applications No.:14/794,807; No.,15/382,598; No.:15/709,307 And No. 16/599,131]

- 227) The Plaintiff incorporates by reference as if fully set forth herein the allegations contained in paragraphs 1 above through 265 inclusive, as though fully set forth herein.
- As stated in paragraphs 153-227, as a direct, legal and proximate result of the harm, by defendants' unlawful actions were intentional, willful, malicious actions was done with total disregard to Plaintiff' right for equal protection of the laws and to be free from discrimination of application of federal laws based on national origin, Plaintiff had sustained economic, compensatory damages from all the defendants and that he will continue to sustain, to be proven at trial.

https://portal.uspto.gov/pair/PublicPair.

- 229) The USPTO has unlawfully withheld or unreasonably delayed timely, fair, and impartial examination of the No.:14/794,807 and unlawfully withheld and unreasonably delayed allowance of patent and Mr. Perry appeal in patent applications No.:14/794/,807 and illegally generated extension fees to abandon patent applications No.:14/794/,807; 15/382,598, and No.:15/709,307 and No. 16/599,131 after all fees were paid was in violation of 5 U.S.C. §§ 702, 706(1).
- 230) The Patent Act, USPTO regulations, and the Due Process Clause of the Fifth Amendment to the United States Constitution entitle Mr. Perry to fair and impartial examination of each of his applications to determine whether he is entitled to a patent under the law, to allowance of allowable subject matter, to timely decisions on his petitions, to issuance of patent claims on such subject matter as a patent following payment of the issue fee, and to final agency action from the Appeal Board on examiner rejections. See 35 U.S.C. §§ 102(a), 131, 151, 6, 134(a).
- Defendants have a duty to fairly, impartially, and timely examine Mr. Perry's applications in accordance with the requirements of the Patent Act, USPTO regulations, and the Due Process Clause; to allow patentable subject matter; to issue patent applications claiming such patentable subject matter as patents upon payment of the issue fee; to decide Mr. PERRY's petitions; and to permit Mr. PERRY to obtain final agency action from the Appeal Board on examiner rejections.
- 232) Defendants have unlawfully withheld and unreasonably delayed agency action on Mr. Perry's patent applications, instead miring them in administrative purgatory and preventing Mr. PERRY from obtaining fair and impartial examination of his applications in accordance with law and ultimately from receiving patents to which he is entitled.
- 233) Mr. Perry is therefore entitled to an injunction enjoining Defendants from treating his applications in bad faith, capriciously, and contrary to law and compelling them expeditiously to conduct a fair, impartial, and timely examination of his applications in accordance with law, to allow patentable subject matter, to issue patents claiming such patentable such subject matter upon payment of the issue fee, to provide timely action on Mr. Perry's petitions, and to permit him to obtain a patent after the final agency action on Kristine Clarette Matter (examiner) rejections.
- 234) Mr. Perry is entitled to an injunction compelling Defendants to allow the No.:14/794/,807 Application and, upon payment of the issue fee, to issue a patent for the invention claimed in patent applications No.:14/794,807 and No.,15/382,598, and examination prosecution of patent applications; No.:15/709,307 And No. 16/599,131.

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TENTH CAUSE OF ACTION

[Writ of Mandamus Under 28 U.S.C. § 1361 To Compel Action On Mr. Perry's Four (4) Patent Applications No.:14/794,807; No.,15/382,598; No.:15/709,307 And No. 16/599,131, in Accordance With Law Remedy For Such Situations By Enabling Reviewing Courts To Compel Agency Actions for Unlawfully Withholding Or Unreasonably Delaying Without Adequate Reason Or Justification. 5 U.S.C. §§ 702, 706(1)]

- 235) The Plaintiff incorporates by reference as if fully set forth herein the allegations contained in paragraphs 1 above through 265 inclusive, as though fully set forth herein.
- As stated in paragraphs 153-200, as a direct, legal and proximate result of the harm, by defendants' unlawful actions were intentional, willful, malicious actions was done with total disregard to Plaintiff' right for equal protection of the laws and to be free from discrimination of application of federal laws based on national origin, Plaintiff had sustained economic, compensatory damages from all the defendants and that he will continue to sustain, to be proven at trial.
- 237) The USPTO had intentionally delayed Mr. Perry's patent applications after all payments were made for the purpose of further delaying review deprived Mr. Perry his appeal rights in the Patent Trial and Appeal Board ("PTAB") in order to abandon his patent application No.:14/794,807, leading him to file the lawsuit in the District Court and in the Federal Claims Court, which was not yet was heard on the merits. In fact, the USPTO intentionally delayed petitions they asked Mr. Perry to file, the latest petition still in waiting for over six months (patent application No. 16/599,131), after they told him to file the petitions, as was done in his other patent applications: No.:14/794,807 15/382,598, No.:15/709,307. Patent application No.:14/794,807 was prosecuted by was not heard after Mr. Perry paid his appeal fees in the Patent Trial and Appeal Board ("PTAB").
- 238) Mr. Perry seeks a petition for a writ of mandamus on the basis of unreasonable, malicious delays because the USPTO had never recommenced any examination of Mr. Perry's four patent applications by the time Mr. Perry reached for the Federal Claims Court or the District court for relief. See Hyatt, 146 F. Supp. 3d at 785–86.

The Patent Act, USPTO regulations, and the due process and equal protection clause of the Fourteenth Amendment entitle Mr. Perry to fair and impartial examination of each of his applications to determine whether he is entitled to a patent under the law, to allowance of allowable subject matter, to decisions on his petitions, to issuance of patent claims on such subject matter as a patent following payment of the issue fee, and to final agency action from the Appeal Board on examiner rejections. See 35 U.S.C. §§ 102(a), 131, 151, 6, 134(a).

- 239) The USPTO defendants have a duty to be fair, impartial, and timely examine Mr. Perry's applications in accordance with the requirements of the Patent Act, USPTO regulations, and the due process clause; to allow patentable subject matter; to reverse decisions on Mr. Perry's petitions; to issue patent applications claiming such patentable subject matter as patents upon payment of the issue fee on application No.:14/794,807; and to permit Mr. Perry to obtain final agency action from the Appeal Board on examiner rejections on applications No.: 15/382,598; No.:15/709,307 and No. 16/599,131.
- 240) Given the USPTO's bad-faith treatment of Mr. Perry's applications and that Mr. Perry has no adequate remedy is available in dealing with the USPTO discrimination.
- 241) Mr. Perry is therefore entitled to a writ of mandamus compelling Defendants expeditiously to conduct a fair, impartial, and timely examination of his applications in accordance with law, to allow patentable subject matter, to issue patents claiming such patentable such subject matter upon payment of the issue fee, to provide timely action on Mr. Perry's petitions, and to permit him to obtain timely final agency action on rejections from the Appeal Board and fair examination of applications No.:14/794,807; 15/382,598, and No.:15/709,307 and No. 16/599,131.
- 242) Mr. Perry is entitled to a writ of mandamus compelling Defendants to allow the No.:14/794,807 Application and, upon payment of the issue fee, to issue a patent for the invention claimed in the No.:14/794,807 Application, and to fairly and honestly determined patentability of applications
- 243) If a federal official, however, goes far beyond "any rational exercise of discretion," mandamus may lie even when the action is within the statutory authority granted. The significance of this statute as a separate source of federal jurisdiction has faded with the abolition of the amount in controversy requirement for federal question jurisdiction and with the elimination of the sovereign immunity defense to suits against federal agencies, officers, and employees for injunctive relief.
- 244) Mr. Perry is entitled to allowance and, upon payment of the issue fee, to issuance his patent application No.:14/794,807.
- 245) Defendants have a duty to allow and, upon payment of the issue fee, to issue a patent on the No.:14/794,807 Application.
- 246) The USPTO has no discretion to deny allowance and issuance of a patent on an application that satisfies the statutory criteria for patentability.
- 247) Given the USPTO's bad-faith treatment of Mr. PERRY's applications, no other adequate remedy is available.

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ELEVENTH CAUSE OF ACTION

[Cause of Action Under 42 U.S.C. § 1983 For Declaratory Relief Under Declaratory Judgment Act, 28 U.S.C. § 2201 (§§ 2201–02)]

- 248) The Plaintiff incorporates by reference as if fully set forth herein the allegations contained in paragraphs 1 above through 265 inclusive, as though fully set forth herein.
- As stated in paragraphs 153-200, as a direct, legal and proximate result of the harm, by defendants' unlawful actions were intentional, willful, malicious actions was done with total disregard to Plaintiff' right for equal protection of the laws and to be free from discrimination of application of federal laws based on national origin, Plaintiff had sustained economic, compensatory damages from all the defendants and that he will continue to sustain, to be proven at trial.
- 250) The Patent Act entitles Mr. Perry, upon payment of the issue fee, to receive a patent on the No.:14/794,807 Application.
- 251) An actual controversy exists between Mr. Perry and the Defendants regarding his entitlement to receive a patent on applications: No.:14/794,807; 15/382,598, No.:15/709,307 and No. 16/599,131.
- 252) Mr. PERRY is entitled to a declaration of rights that he is entitled to allowance of the No.:14/794,807 Application and, upon payment of the issue fee, to receive a patent on application the No.:14/794,807 and 15/382,598, No.:15/709,307 and No. 16/599,131.

TWELFTH CAUSE OF ACTION

[Cause of Action Under Cause of Action Under 42 U.S.C. § 1983 Combined With The Administrative Procedure Act ("APA") Offers A Remedy By Enabling Reviewing Courts To Compel Agency Actions Unlawfully Withheld Or Unreasonably Delayed Without Adequate Reason Or Justification. 5 U.S.C. §§ 702, 706(1) On Plaintiff's Patent Applications No.:14/794/,807; 15/382,598, and No.:15/709,307 and No. 16/599,131]

- 253) Plaintiff incorporates by reference as if fully set forth herein the allegations contained in paragraphs 1 above through 265 inclusive, as though fully set forth herein.
- As stated in paragraphs 153-200, as a direct, legal and proximate result of the harm, by defendants' unlawful actions were intentional, willful, malicious actions was done with total disregard to Plaintiff' right for equal protection of the laws and to be free from discrimination of application of federal laws based on national origin, Plaintiff had sustained economic, compensatory damages from all the defendants and that he will continue to sustain, to be proven at trial.

- 255) The Act eliminates the defense of sovereign immunity in cases seeking relief other than money damages and claiming that a federal agency, officer, or employee acted or failed to act in an official capacity or under color of legal authority.
- 256) Where federal question jurisdiction under § 1331 is typically available for claims under the APA. The Administrative Procedure Act creates a cause of action against agencies of the federal government acting under federal law. The Act authorizes judicial review, establishes the form and venue of judicial review proceedings, states what agency actions are reviewable, and describes the scope of review of such actions.
- 257) Plaintiff is entitled to compel agency actions unlawfully withheld or unreasonably delayed without adequate reason or justification. 5 U.S.C. §§ 702, 706(1) On Plaintiff's Patent Applications No.:14/794/,807; 15/382,598, and No.:15/709,307 and No. 16/599,131] and for reasonable compensatory, punitive damages if appropriate, for pain and suffering, for mental anguish, attorneys' fees (if obtained), and costs of suit.

THIRTEENTH CAUSE OF ACTION

[Cause of Action Under 42 U.S.C. § 1983 For Request for Equitable Relief Upon waiver of sovereign immunity for such claims Under 5 U.S.C. § 702 Administrative Procedure Act ("APA")]

- 258) Plaintiff incorporates by reference as if fully set forth herein the allegations contained in paragraphs 1 above through 265 inclusive, as though fully set forth herein.
- 259) In <u>Ministerio Roca Solida v. McKelvey</u>, 13-16808 (9th Cir, 4 May 2016) (Published) concluded "[B]ivens v. Six Unknown Named Agents of the Federal Bureau of Narcotics, 403 U.S. 388 (1971):

"The unique, judicially-created <u>Bivens</u> remedy provides plaintiffs an avenue for damages against constitutional violations by federal officers." In a <u>Bivens</u> action one may seek <u>equitable relief</u> against the federal government, because the Administrative Procedure Act waives sovereign immunity for such claims. 5 U.S.C. § 702 ("An action in a court of the United States seeking relief other than money damages and stating a claim that an agency or an officer or employee thereof acted or failed to act in an official capacity or under color of legal authority shall not be dismissed nor relief therein be denied on the ground that it is against the United States....").

("Citations")

260) Plaintiff is entitled to equitable relief against the USPTO and its agents, where equitable relief is available upon waiver of sovereign immunity federal question jurisdiction under § 1331 is typically available for claims under the APA.

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261) Plaintiff is entitled to compel agency actions unlawfully withheld or unreasonably delayed without adequate reason or justification. 5 U.S.C. §§ 702, 706(1) On Plaintiff's Patent Applications No.:14/794/,807; 15/382,598, and No.:15/709,307 and No. 16/599,131] and for reasonable compensatory, punitive damages if appropriate, for pain and suffering, for mental anguish, attorneys' fees (if obtained), and costs of suit.

X. PRAYER FOR RELIEF

- 262) Plaintiff, Mr. Perry respectfully requests that this court enter judgment against Defendants and grant the following relief for patent applications No.:14/794,807; No.: 15/382,598; No.:15/709,307 and No. 16/599,131:
 - (1) For orders finding that the USPTO improperly accepted and retained Mr. PERRY's fees for the abandoned Applications;
 - (2) For orders finding that Mr. Perry is entitled to specific relief, in the form of granting the four patents, and/or to obtain timely final agency action from the Appeal Board on examiner rejections, when the USPTO is retaining PERRY's application patent fees.
 - (3) For orders finding the USPTO ignored Mr. Perry's request for itemized accounting of all the fees he paid to the USPTO was rebuffed, and using that time the USPTO intentionally delayed accounting until July 18, 2018. In the meantime, the USPTO had accrued extension fees against Mr. Perry, and without sufficient notice or justification, they abandoned all his patent applications, while the USPTO retained the patent fees, they did not provide Mr. Perry return in consideration;
 - (4) For orders directing the USPTO to provide Mr. PERRY with specific relief, in the form of granting Mr. Perry's patents, and/or to obtain timely final agency action from the Appeal Board on examiner rejections, when the USPTO is retaining Mr. Perry's application patent fees, in reviewing Mr. Perry's four patent applications: No.:14/794,807; for abandoning application No.: 15/382,598; No.:15/709,307 and No. 16/599,131; No.:15/709,307 for not paying extension fees; and for posting the non-publish patent application No.: 15/382,598.
 - (5) For orders finding defendants had failed to respond to plaintiff's timely presented administrative claims to the USPTO pursuant to 28 U.S.C. § 2675(a). Thus, the USPTO failure to response makes the Government's ("USPTO") sovereign immunity as waived, and any objection to compensatory relief in the form of monetary damages is also waived, is a competent evidence of liability as to the

- amount of damages sought by Mr. Perry request for compensatory damages in the amount of \$150,000,000 as to his non-publish patent application No.:15/382,598.
- (6) For orders an award of just compensation for this taking of Mr. Perry's property, finding that the USPTO took Mr. Perry's property with respect to his involuntarily abandoned patent applications, without providing just compensation;
- (7) For orders finding that the USPTO improperly and inequitably accepted and retained Mr. Perry's fees for his patent applications which they late abandoned without justification;
- (8) For orders finding that Mr. Perry is entitled to specific relief, in the form of granting his four (4) patents which after the USPTO retained those fees, they did not examined to final agency decision besides patent application No.:14/794,807 which after Mr. Perry paid all of prosecution fees to the USPTO, and after appeal fees to the USPTO who abandoned all the applications No.:14/794,807; No.,15/382,598; No.:15/709,307 And No. 16/599,131 without valid justification.
- (9) For orders directing the USPTO to provide Mr. Perry with specific relief, in the form of granting the three patents, which the USPTO retained those fees;
- (10) For orders setting aside the USPTO's actions adopting unlawful policies for the treatment of Mr. PERRY's applications;
- (11) For orders an injunction enjoining Defendants from treating in bad faith Mr. Perry's patent applications: No.:14/794,807, No., 15/382,598; No.:15/709,307 and No. 16/599,131), capriciously, and contrary to law and compelling them expeditiously to conduct a fair, impartial, and timely examination of his applications in accordance with law, to allow patentable subject matter, to issue patents.
- (12) For orders that such patentable such subject matter on payments made or upon payment of the issue fee, to provide timely action on Mr. Perry's petitions, and to waive the resulting accrued extension fees on the three patent applications, to grant Mr. Perry the patent in Application No.: from the untimely final agency action, after Perry paid his appeal fees to the Appeal Board on examiner Kristin Matter's rejections;
- (13) For a writ of mandamus compelling Defendants to expeditiously to conduct a fair, impartial, and timely examination of his three applications in accordance with law, to allow fair examination and allow patentable subject matter, to issue patents claiming such patentable, and such subject matter after the payment of the issue fee, to provide action relief from the petitions timely on Mr. Perry's, and to permit him to obtain the patents and if necessary be heard by the Appeal Board on

examiner rejections and allow patent application No.:14/794,807 and, upon said payment of the issue fee, to issue a patent for the invention claimed in the No.:14/794,807, and reinstatement of Mr. Perry's patent applications No., 15/382,598, No.:15/709,307 and No. 16/599,131;

- (14) For an injunction and a declaration compelling defendants for that the No.:14/794/,807 Application satisfies the statutory conditions for patentability and that Mr. Perry is entitled to reinstatement and allowance of the No.:14/794,807 Application, and, upon payment of the issue fee, to issue a patent for the invention claimed in patent applications No.:14/794,807 and No., 15/382,598, and reinstatement and examination prosecution of patent applications; No.:15/709,307 And No. 16/599,131.
- (15) Retain jurisdiction in order to ensure compliance with the Court's orders and writs on Mr. Perry's patent applications No., 15/382,598, No.:15/709,307 and No. 16/599,131, and issue a STAY OF EXECUTION of any further actions by the USPTO pending this litigation; and
- (16) All other relief to which the Court and Plaintiff may show himself to be entitled.

REQUEST FOR A STAY OF ALL USPTO ACTIONS PENDING RESOLUTION OF THIS CASE.

263) Plaintiff PERRY respectfully for a stay of all the USPTO action until all matters and proceedings pending/ending in the District Court and Appellate Court/s on Mr. PERRY's Patent Application: No.:14/794,807; No., 15/382,598; No.:15/709,307 and No. 16/599,131.

JURY DEMAND ON THE HACKING, FRAUD TORT AND UNDER 42 U.S.C. § 1983.

264) Plaintiff PERRY respectfully demands a trial by jury of all issues triable by a jury in his Complaint.

DECLARATION OF AVRAM MOSHE PERRY

I declare under penalty of perjury that I have read the foregoing above, that it is true and correct to the best of my information and belief.

DATED: September 21, 2022

By: Moshe Avram Porry

CERTIFICATE OF SERVICE

I hereby certify that, on this 21th day of September, 2022, a true and complete copy of the foregoing: Verified Complaint With Subject Matter Jurisdiction Invested In the United States District Court As Asserted By The Court of Appeal For the Federal Circuit, Pursuant To 28 U.S.C. § 1338(a); federal question exception under 28 U.S.C. § 1331; Violation of Plaintiff's Civil Rights Under Bivens v. Six Unknown Fed. Narcotics Agents, 403 U. S. 388; RICO 18 U.S.C. § 1961 By Means of 42 U.S.C. §1983; 28 U.S.C. § 1332 - Diversity of Citizenship; Under The Administrative Procedure Act ("APA") 5 U.S.C. § 701-§ 706; With Civil Remedies Under 18 U.S.C. § 1964 Against The USPTO Director; Examiners And All Other Defendants For Fraud, Concealment, Conspiracy In Violation of 18 U.S.C. § 1001 (As Referenced); Tortious Harassment; Retaliation; Intentionally Deceiving Plaintiff Entitlement For Patent; Obstruction of Justice; Violation of The Federal Tort Claims Act ("FTCA") FTCA, 28 U.S.C. §§ 2671-2680 And 28 U.S.C. § 1346(b)(1);; Theft of Plaintiff's Intellectual Properties, Patent Ideas For Publication of Non- Publish Patent Applications And Posting Them Online For Sale In The USPTO "Public Pair" Portal In Violation of 18 U.S.C. § 1957; Unfair Competition Affecting Commerce ; Discrimination Practices In Depriving Plaintiff's Right To Appeal To The PTAB after He already Paid His Appeal Fees, USPTO Accrued Frivolous Extension Fees In Order To Abandon Plaintiff's Four (4) Patent Applications In Violation of Plaintiff's Constitutional Rights; Refusing To Grant Patents In Violation of 28 U.S.C. § 1337; USPTO And Agents Illegally Hacked Into Plaintiff's Gmail And Personal Computer And Erased Emails HE Received From The USPTO; In Violation of 18 U.S.C. § 1030 Which Directly Prohibits Criminal Activity Using Computers; 5 CFR Part § 2635 Standards of Ethics; Request For Criminal/Non-Criminal Referral To The United Stated Attorney General - U.S. Justice Dep. (18 U.S.C. § 1957(e) And 18 U.S.C. § 3333) For A Civil Investigative Demand Report Under 18 U.S. Code § 1968 Investigation of Such Alleged Racketeering Violation. Request For Relief To Obtain A Patent Pursuant To 35 U.S.C. § 145 From The USPTO; Request For Declaratory Judgment Under 28 U.S.C. § 2201; Injunctive Relief Under 15 U.S.C. § 1116, And Mandamus Under 28 U.S.C. § 1361; For Damages For Injuries Under Federal Laws (28 U.S.C. § 1357); Request For A Stay All of USPTO's Actions; Demand For A Bifurcated Jury Trial On Certain Issues Covered Under A Jury; Preserving Issues Deprived After They Were Brought To Appeal Before The Patent And Trademark Office Under Fed. R. Civ. P. 50. (Plaintiff had presented timely administrative claims to the USPTO Pursuant to 28 U.S.C. § 2675(a), The USPTO failed to response and waived the Government's sovereign immunity. This Related Sealed case was originally filed in the United States Central District Court 1:19cv-00637(RGK-JCx) on January 28, 2019 and was administratively closed on February 1, 2019. The case was then was heard in the United State Court of Federal Claims in case No. 1:19-cv-01797(MHS), who dismissed for lack of jurisdiction. The CAFC in case No. 20-2084, affirmed that jurisdiction must be in the district court.) On December 28, 2021 Mr. Perry attempted to reopen the 1:19-cv-00637(RGK-JCx) was denied on January 20, 2022. Mr. Perry appealed the order to the CAFC Docket No. 22-1720 who on August 9, 2022 issued an Order terminating and dismissing Mr. Perry's appeal, has been duly served upon all parties of record in the lower state proceedings, to-wit: By placing the original a true copy thereof enclosed in a sealed envelope Addressed as follows:

Patent and Trademark Office Mail Stop: Commissioner for Patents P.O. Box 480 Ben Franklin Station Washington D.C. 20044	27	Patent Director of the United States	United States Department of Justice	
26 P.O. Box 1450 Washington D.C. 20044	25	Patent and Trademark Office		
26 P.O. Box 1450 Washington D.C. 20044		Mail Stop: Commissioner for Patents	Ben Franklin Station	
Al	26	P.O. Box 1450	Washington D.C. 20044	
27 Alexandria, VA, 22313-1450	27	Alexandria, VA, 22313-1450	-	

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6	Executed	Executed on September 21, 2022 at Tarzana, California				
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NAME, ADDRESS, AND TELEPHONE NUMBER OF ATTORNEY(S) OR OF PARTY APPEARING IN PRO PER

MOSHE A. PERRY 23705 VANOWEN ST. # 262 WEST HILLS, CA, 91307 (747) 224-9515 CLEAR FORM

ORIGINAL

ATTORNEY(S) FOR:

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF VIRGINIA

MOSHE A. PERRY

Plaintiff(s),

v.

CASE NUMBER:

CASE NUMBER:

CASE NUMBER:

CASE NUMBER:

CASE NUMBER:

CASE NUMBER:

CERTIFICATION AND NOTICE
OF INTERESTED PARTIES

OFFICE ("USPTO"); ANDREI IANCU. et. al.

Defendant(s)

TO: THE COURT AND ALL PARTIES OF RECORD:

The undersigned, counsel of record for MOSHE A. PERRY

or party appearing in pro per, certifies that the following listed party (or parties) may have a pecuniary interest in the outcome of this case. These representations are made to enable the Court to evaluate possible disqualification or recusal.

(List the names of all such parties and identify their connection and interest. Use additional sheet if necessary.)

PARTY		CONNECTION / INTEREST				
1. MOSHE A. PERRY		PLAINTIFF				
2. United States Patent And Tradema	rk Office ("USPTO")	DEFENDANT				
Andrei Iancu,						
3. Wendy Garber, Director Patent Te	chnology Center 3600	DEFENDANT				
(No. 3649)						
4. Darnell Jayne, Director (No. 3649)		DEFENDANT				
5. Dale Shaw (The Deputy Director Stakeholder Outreach DEFENDANT						
And Patents Ombudsman United States Patent And						
Trademark Office)						
6. Kristine Clarette Matter, (Examine		DEFENDANT				
7. Katherine Matecki, (Group Director, Technology Center DEFENDANT						
No. 3600-3649)						
8. Laura Martin (Examiner)	DEFENDANT					
9. Shirene Willis Brantley, (Attorney	DEFENDANT					
USPTO Petition Department)						
10. Charles Steven Brantley, (Attorney Advisor At The						
USPTO Petition Department		//				
09/21/2022	Musle	l History's				
Date	Signature					
	Attorney of record for	or (or name of party appearing in pro per):				
	Attorney of record it	of thank of party appearing in pro per).				

MOSHE A. PERRY