- 1							
1							
2							
3							
4							
5							
6							
7							
8	UNITED STATES DIS						
9	FOR THE WESTERN DISTRIC AT SEATT						
10	HONG KONG LUTE TECHNOLOGY CO.,						
11	LTD. D/B/A MOMCOZY,	No.					
12	Plaintiff,	COMPLAINT FOR DECLARATORY JUDGMENT					
13	v.	JURY TRIAL DEMANDED					
14	THE ERGO BABY CARRIER, INC.,						
15	Defendant.						
16							
17	Plaintiff Hong Kong Lute Technology	Co., Ltd. d/b/a/ Momcozy ("Plaintiff" or					
18	"Momcozy") respectfully files this Complaint	seeking a declaratory judgment against					
19	Defendant The Ergo Baby Carrier, Inc., ("Defer	idant" or "Ergo Baby"), and alleges, upon					
20	information and belief, as follows:						
21	NATURE OF T	HE CASE					
22		ratory Judgment Act, 28 U.S.C. § 2201, et					
23	seq., and the United States Patent Act, 35 U.S.C.	· ·					
24	judgment that United States Patent No. 10,426,2						
25	infringed by Momcozy.	270 (she 270 reacht) (Exhibit 11) is not					
26	mininged by Monicozy.						
20							

PARTIES

- 2. Momcozy is organized under the laws of the People's Republic of China and has a place of business at Room 02, 21/F, Hip Kwan Commercial Building, 38 Pitt Street, Yau Ma Tei, Kowloon, Hong Kong.
- 3. Defendant Ergo Baby is, upon information and belief, a corporation organized and existing under the laws of the State of California and maintains a place of business at 19700 S. Vermont Ave., Suite 250, Torrance, CA 90502.

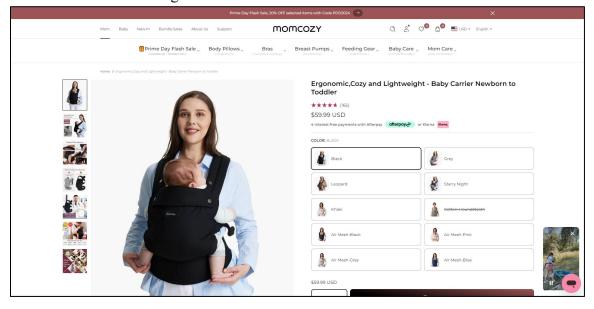
JURISDICTION AND VENUE

- 4. This action arises under the Patent Laws of the United States, 35 U.S.C. § 1, et. seq. and the Declaratory Judgment Act, 28 U.S.C. § 2201. This Court has subject matter jurisdiction over the federal law claims in action pursuant to 28 U.S.C. §§ 1331 and 1338(a).
- 5. This Court has personal jurisdiction over the Defendant in this action at least because Defendant commenced and continues to maintain enforcement proceedings regarding the '275 Patent in this judicial district. *See, e.g., Campbell Pet Co. v. Miale*, 542 F.3d 879, 884-86 (Fed. Cir. 2008) (specific personal jurisdiction satisfied by patentee's "extra-judicial patent enforcement" efforts in forum state). Specifically, Defendant sent a complaint of infringement of the '275 Patent to Amazon.com, Inc. ("Amazon") that has a place of business at 410 Terry Ave. N, Seattle, WA 98109. Each claim presented herein arises out of Defendant's actions directed at this forum, which gives rise to sufficient minimum contacts under Washington's Long-Arm statute. RCW 4.28.185.
- 6. This Court also has personal jurisdiction over Defendant because Defendant expressly "agree[d] to the jurisdiction and venue of the federal and state courts located in King County, Seattle, Washington" when it submitted its complaint to Amazon in this judicial district pursuant to the Amazon Patent Evaluation Express ("APEX") procedures. Exhibit B, Amazon Patent Evaluation Express Agreement at p. 1 (¶5).

7. For the same reasons, venue is proper in this District pursuant to 28 U.S.C. § 1391(b) because the events giving rise to this action took place within this District.

BACKGROUND

- 8. Momcozy is a top-selling mother and baby brand in North America, selling a variety of products including pumping bras, wearable breast pumps, and baby carriers. *See* https://momcozy.com/pages/our-story. Momcozy has sold products to over 3,000,000 customers. *See id*.
- 9. As particularly relevant to this dispute, Momcozy markets and sells the Ergonomic, Cozy and Lightweight Baby Carrier "Baby Carrier." Momcozy's "Baby Carrier" is available for sale through its own website as well as on Amazon.



Screengrab of Momcozy website. *See* https://momcozy.com/products/ergonomic-cozy-and-lightweight-baby-carrier-newborn-to-toddler?variant=43060852195526 (retrieved October 2, 2024).

10. The Ergonomic, Cozy and Lightweight Baby Carrier is a "3-in-1 Baby Carrier perfect for experiencing hands-free convenience in everyday use, outing or travel." *See* https://momcozy.com/products/ergonomic-cozy-and-lightweight-baby-carrier-newborn-to-toddler?variant=43060852195526 (retrieved October 2, 2024). The Baby Carrier has

"Ergonomic Waist EVA Support" which "offers crucial lumbar support but also minimizes strain on your back." *Id.* Momcozy's Baby Carrier is available in several fabrics and patterns. *Id.*

- 11. On or about September 20, 2024, Amazon sent notice to Momcozy ("the APEX Notice") of Defendant's complaint made pursuant to the APEX Program. *See* Exhibit C, September 20, 2024 e-mail from Amazon.com, Neutral Patent Evaluation Team. The APEX Notice accused several products listed on Amazon's marketplace of infringing at least one claim of the '275 Patent, including products having the following Amazon Standard Identification Numbers ("ASINs"): B0CPYD5C7H; B0CDQ2KVJD; B0CLGG2PV2; B0CLGCN5ZS; B0CDQ1ZLJ9; B0CLGCLYYF; B0CLGC1MTX; B0CPYFGFPS; and B0CDQ1XH6W.
- 12. The APEX Notice further indicated that "[i]f you do not either resolve your claim with the patent owner directly, or agree to participate in the neutral evaluation process, we will remove the listings at the end of this email from Amazon.com." *Id.* A copy of the Amazon Patent Evaluation Express Procedure included with the APEX Notice is attached at Exhibit D.
- 13. The APEX also provides that the filing of a declaratory judgment action for non-infringement will allow Momcozy to continue to sell its products as follows: "[I]f you file a lawsuit against the patent owner for declaratory judgment of non-infringement of the asserted patent, please provide us with a copy of the relevant complaint within the next three weeks, and you may continue selling the items listed at the end of the email which the lawsuit proceeds." Exhibit C.
- 14. The APEX Notice to Momcozy included a document entitled "Amazon Patent Evaluation Express Agreement" ("APEX Agreement"), which has been executed by Defendant. Exhibit B, Amazon Patent Evaluation Express Agreement. The APEX Agreement identifies claim 1 ("Asserted Claim") as the claim number for evaluation for the



'275 Patent. *Id.* at 2. The APEX Agreement identifies two additional allegedly infringing products having the ASIN numbers B0D97KQR1Z and B0D97H4W9Q. *Id.* at 2. The Momcozy Baby Carriers having the ASINs identified in the APEX Agreement are referred to herein as the "Accused Products."

15. The Accused Products having the ASINs identified in the APEX Agreement have the following fabrics and/or patterns:

ASIN Number	Fabric/Pattern		
B0CDQ2KVJD	Cotton/Black		
B0CLGG2PV2	Air Mesh/Pink		
B0D97KQR1Z	Polyester/Black		
B0CLGCN5ZS	Cotton/Khaki		
B0D97H4W9Q	Cotton/Houndstooth		
B0CDQ1ZLJ9	Cotton/Grey		
B0CLGCLYYF	Air Mesh/Blue		
B0CLGC1MTX	Cotton/Starry Night		
B0CPYFGFPS	Air Mesh/Grey		
B0CDQ1XH6W	Cotton/Leopard		
B0CPYD5C7H	Air Mesh/Black		

- 16. Amazon requires a response to the APEX complaint within three weeks (on about October 11, 2024), or the Accused Products will be removed from the Amazon website. Exhibit C. If Momcozy responds to the APEX complaint, an evaluator appointed by Amazon will review Ergo Baby's infringement allegations against Momcozy, and make a determination as to whether or not Momcozy's Accused Products infringe the '275 Patent. *Id.*
- 17. Ergo Baby has interfered with Momcozy's sales by accusing Momcozy of infringing the '275 Patent. And have the continued potential to result in a loss of sales for Momcozy.

THE PATENT IN SUIT

18. The face of the '275 Patent indicates that it was filed on October 28, 2016, as U.S. Patent Application No. 15/337,813, and issued on October 1, 2019. Exhibit A, '275

Patent at cover page 1. The '275 Patent claims priority to U.S. Provisional Patent Application No. 62/248,745, filed October 30, 2015. *Id*.

- 19. The '275 Patent identifies one inventor on its face, Rodney V. Telford, and is assigned on its face to The Ergo Baby Carrier, Inc., Los Angeles, CA. *Id*.
- 20. The '275 Patent purports to be directed to "An adjustable child carrier includes an adjustable bucket seat that can be adjusted to accommodate children of a wide range of sizes. The child carrier includes one or more adjustments that work alone or in cooperation to adjust the depth and width of the bucket seat area provided by the child carrier. The carrier is capable of supporting children of various sizes in an ergonomic position appropriate for the child's size." Exhibit A, '275 Patent at Abstract.
- 21. The '275 Patent recites twenty (20) claims, of which claims 1 and 18 are independent. Claim 1 (the Accused Claim) purports to be directed to "[a] child carrier" and is reproduced below:
 - 1. A child carrier comprising:

a waist belt adapted for securing about a wearer's hips;

a main body coupled to the waist belt, the main body adapted to form a child carrying area in cooperation with a wearer's torso, the main body comprising:

a torso support portion configured for supporting at least of the torso of a child; and

an adjustable bucket seat configurable in a plurality of bucket seat configurations, each of the plurality of bucket seat configurations having a different a) bucket seat depth and b) bucket seat width and adapted to support a child in a corresponding size range in a spread squat position, the adjustable bucket seat comprising:

a seat center portion coupled to the waist belt and torso support portion;

thigh supports disposed on either side of the seat center portion the thigh supports adapted to pass under and support a child's thighs and cooperate with the seat center portion to form the bucket seat; and;



a base width adjuster coupled to each thigh support wherein the base width adjusters are configured for selective coupling to the waist belt at multiple locations to adjust a width of the main body at the waist belt.

COUNT I: DECLARATORY JUDGMENT OF NON-INFRINGEMENT OF THE '275 PATENT

- 22. Plaintiff incorporates and re-alleges the allegations in the preceding paragraphs of the Complaint as if fully set forth herein.
- 23. Defendant purports to be the owner of the '275 Patent with all right, title, and interest thereto.
- 24. In its APEX request to Amazon, Defendant asserted that Momcozy's Accused Products infringe claim 1 of the '275 Patent. *See* Exhibit B at 2.
- 25. Momcozy's Accused Products do not meet, either literally or under the doctrine of equivalents, every element of the Asserted Claim of the '275 Patent.
- 26. In particular, Momcozy's Accused Products do not meet at least the following limitation recited in the Asserted Claim: "an adjustable bucket seat configurable in a plurality of bucket seat configurations, each of the plurality of bucket seat configurations having a different a) bucket seat depth and b) bucket seat width."
- 27. Accordingly, Momcozy has not and does not infringe the Asserted Claim of the '275 Patent.
- 28. An actual and justiciable controversy therefore exists between Momcozy and Defendant regarding whether Momcozy has infringed the Asserted Claim of the '275 Patent.
- 29. A judicial declaration is necessary to determine the parties' respective rights with respect to the '275 Patent.
- 30. Momcozy is entitled to a judgment declaring that it has not infringed and does not infringe at least the Asserted Claim of the '275 Patent.



1		PRA	AYER FOR RELIEF			
2	WHEREFORE, Plaintiff prays for judgment on the Complaint as follows:					
3	A.	A. A judgment that the Asserted Claim of the '275 Patent is not infringed by				
4	Plaintiff or P	laintiff's Accused Produ	cts;			
5	B.	An order requiring De	efendant to withdraw or retract its improper request to			
6	Amazon that	will result in the de-listi	ng of Plaintiff's Accused Products;			
7	C.	A declaration that thi	is case is exceptional under 35 U.S.C. § 285 and a			
8	concomitant	award of Plaintiff's reason	onable attorney's fees, costs, and any expenses incurred			
9	by Plaintiff is	n this action;				
10	D.	An order awarding Pla	uintiff its costs in filing and prosecuting this action; and			
11	E.	Any other relief this C	ourt deems just and proper under the circumstances.			
12						
13	DATED: C	October 10, 2024.	ARETE LAW GROUP PLLC			
14			By: <u>/s/ Jeremy E. Roller</u> Jeremy E. Roller, WSBA No. 32021			
15			600 University Street, Suite 2420 Seattle, WA 98101			
16			Phone: (206) 428-3250			
17			Fax: (206) 428-3251 jroller@aretelaw.com			
18						
19						
20						
21						
22						
23						
24						
25						
26						

1	LEYDIG, VOIT & MAYER, LTD.
2	Steven H. Sklar (pro hac vice application to
3	be filed) Pei Raymond Chen, Ph.D. (pro hac vice
4	application to be filed)
5	Keelin D. Bielski (<i>pro hac vice</i> application to be filed)
6	Two Prudential Plaza 180 North Stetson Avenue, Suite 4900
7	Chicago, IL 60601 Telephone: (312) 616-5600
8	ssklar@leydig.com
9	rchen@leydig.com kbielski@leydig.com
10	Attorneys for Hong Kong Lute Technology
11	Co., Ltd. d/b/a Momcozy
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
	I and the second

EXHIBIT A

US010426275B2

(12) United States Patent Telford

(10) Patent No.: US 10,426,275 B2

(45) **Date of Patent:**

Oct. 1, 2019

(54) ADJUSTABLE CHILD CARRIER

(71) Applicant: The ERGO Baby Carrier, Inc., Los

Angeles, CA (US)

(72) Inventor: Rodney V. Telford, Kula, HI (US)

(73) Assignee: The Ergo Baby Carrier, Inc., Los

Angeles, CA (US)

(*) Notice: Subject to any disclaimer, the term of this

patent is extended or adjusted under 35

U.S.C. 154(b) by 0 days.

(21) Appl. No.: 15/337,813

(22) Filed: Oct. 28, 2016

(65) Prior Publication Data

US 2017/0119173 A1 May 4, 2017

Related U.S. Application Data

- (60) Provisional application No. 62/248,745, filed on Oct. 30, 2015.
- (51) Int. Cl. *A47D 13/00* (2006.01) *A47D 13/02* (2006.01)
- (52) **U.S. CI.** CPC *A47D 13/025* (2013.01)

(56) References Cited

U.S. PATENT DOCUMENTS

268,932 A 12/1882 Poirier 576,292 A 2/1897 Vanderburgh

982,376	A	1/1911	MacFarlane
2,212,746	A	8/1940	Nelson
2,599,474	A	6/1952	Mills
2,994,300	A	8/1961	Grahling
3,097,773	A	7/1963	Cunningham
3,229,873	A	1/1966	Hershman
3,275,373	A	9/1966	Card
3,327,914	A	6/1967	Abram
3,481,517	A	12/1969	Aukerman
3,780,919	A	12/1973	Hansson
3,840,162	A	10/1974	Horenstein et al.
3,964,654	A	6/1976	Wittenberger
4,009,808	A	3/1977	Sharp
D247,199	S	2/1978	Carter
4,139,131	A	2/1979	Hathaway
		(Cont	tinued)

FOREIGN PATENT DOCUMENTS

CA	1332928	11/1994	
CA	2 240 015	1/2000	
	(Co	ntinued)	

OTHER PUBLICATIONS

Office Action for U.S. Appl. No. 15/177,114, dated May 31, 2017, 12 pgs.

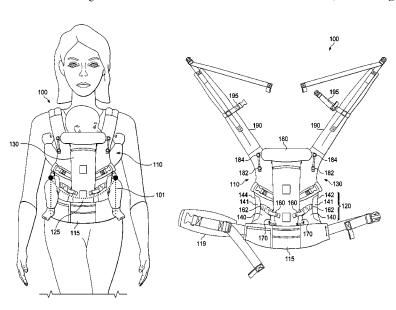
(Continued)

Primary Examiner — Peter N Helvey (74) Attorney, Agent, or Firm — Sprinkle IP Law Group

(57) ABSTRACT

An adjustable child carrier includes an adjustable bucket seat that can be adjusted to accommodate children of a wide range of sizes. The child carrier includes one or more adjustments that work alone or in cooperation to adjust the depth and width of the bucket seat area provided by the child carrier. The carrier is capable of supporting children of various sizes in an ergonomic position appropriate for the child's size.

20 Claims, 9 Drawing Sheets



US 10,426,275 B2 Page 2

(56)			Referen	ces Cited	D453,066			Norman	
		II S II	PATENT	DOCUMENTS	D455,546 6,364,186			Norman Gilmour et al.	
		0.5.	171111111	DOCOMENTS	6,409,060		6/2002	Donine	
	4,149,687			Nunemacher	6,415,969			Higuchi	
	D253,558		12/1979		6,443,339 6,499,165			Higuchi Morgillo	
	4,234,229 4,273,215		11/1980 6/1981		6,520,391		2/2003		
	4,318,502			Lowe et al.	6,609,642	B2	8/2003	Heinz et al.	
4	4,324,430	A	4/1982	Dimas, Jr.	D484,685			Kassai et al.	
	4,333,591		6/1982		6,681,973 D486,635			Crumrine Yagisawa et al.	
	D266,800 4,361,259		11/1982	Kula et al. Chanter	6,715,651		4/2004	Le Gal	
	1,402,440			Purtzer et al.	6,722,543			Fitzgerald et al.	
	1,434,920		3/1984		6,763,983 6,772,925		7/2004 8/2004	Norman O'hara	
	4,467,945 4,469,259			Schaapveld Krich et al.	D507,869			Liistro et al.	
	1,479,595		10/1984		D509,056			Shiraishi et al.	
	D276,478		11/1984		7,073,866			Berdahl	
	4,480,775			Stanford	7,168,600 7,204,462		1/2007 4/2007		
	4,492,326 D277,811		1/1985 3/1985		7,204,468			Kintzele	
	4,550,800		11/1985		7,255,620	B1	8/2007	Shepherd	
4	4,579,264	A	4/1986	Napolitano	7,322,498		1/2008		
	4,651,366			Lande et al.	7,494,031 D590,568			Kassai et al. Crutchfield	
	4,666,017 4,724,988		5/1987 2/1988	Zimmerman Tucker	D597,788	S	8/2009		
	4,746,044		5/1988		7,766,199			Caperon	
	4,765,279			Klickstein	7,878,587 7,886,946		2/2011		
	4,800,629		1/1989		8,028,871		2/2011 10/2011		
	4,867,464 4,946,119		9/1989 8/1990	Hellhake	8,042,869			McClintock et al.	
	4,986,458		1/1991		8,127,385			Goutevenier	
	5,071,047		12/1991	Cordisco	8,172,116			Lehan et al.	
	5,076,598 D324,607		12/1991		8,272,546 8,408,435			Leistensnider Refsum	
	5,114,059		3/1992 5/1992	Thatcher	8,453,894		6/2013	Jung et al.	
	D334,253			Balzarini	8,579,168			Zack et al.	
	5,205,450			DeRosier	8,590,757 8,636,181		11/2013	Frost Gunter et al.	
	5,205,451 5,224,637			Manzer Colombo	8,701,949			Lehan et al.	
	5,240,159			Gregory	9,022,260	B2	5/2015	Frost	
:	5,246,152	A		Dotseth	9,179,758			Calilung et al.	
	5,284,279			Sason et al.	9,185,993 9,357,852	B2 B2		Telford et al. Salazar et al.	
	5,325,818 D357,800		7/1994 5/1995	Roan et al.	9,380,887		7/2016		
	5,490,620			Bergqvist	9,380,888	B2		Telford et al.	
]	D370,996	S	6/1996	Shimura et al.	9,439,515 9,713,391		9/2016		
	5,522,528			Petricola	1,015,935			Telford et al. Telford	
	5,564,612 5,570,823		10/1996 11/1996		9,955,797			Telford et al.	
	D377,116			Shimura et al.	10,172,478		1/2019		
]	D385,105	S	10/1997		2002/0011503		1/2002		
:	5,673,828 5,678,739	A		Raedel et al. Darling et al.	2002/0175194 2003/0106916		11/2002 6/2003		
	5,690,258			Kataoka et al.	2004/0149790			Kassai et al.	
:	5,692,655	A		Fair et al.	2004/0155078			Hwang	
	5,699,555			Schunter	2004/0238579		12/2004		
	5,725,139 D395,161		3/1998 6/1998	Smith Fair et al.	2005/0045674			Rehbein	
	5,791,535			Roan et al.	2005/0067549 2005/0242136			Kintzele et al. Moriguchi et al.	
]	D397,867	S	9/1998	Fair et al.	2005/0155995		7/2005		
	5,799,851			Wulf et al.	2005/0184114			Hoff et al.	
	5,813,580 5,819,341		9/1998	Fair Simantob et al.	2005/0279785			Liistro et al.	
	5,848,576			Colaianni	2006/0011678			Kassai et al.	
:	5,848,741	A	12/1998		2006/0076373 2006/0130220			Labelle et al.	
	5,927,235		7/1999		2006/0130220			Morgan et al. Zambrzycki	
	5,934,528 D414,032		8/1999 9/1999	Higuchi Howell	2007/0029356			Moriguchi et al.	
	5,988,742		11/1999		2007/0057003	A1	3/2007	Keyes	
(5,055,686	A	5/2000	Knight	2008/0047987		2/2008		
	5,125,792		10/2000		2010/0025441 2010/0072236			Blaney Parness et al.	
	5,155,579 5,164,509			Eyman et al. Gausling et al.	2010/00/2236			Schachtner	
	5,179,175		1/2001		2010/0308088			Lindblom	
	D437,996			Fair et al.	2011/0062195			Jones	A47D 13/025
	5,182,873			Christopher et al.	2011/01010		# / * * · ·	D	224/161
(5,257,468	ВІ	7/2001	Yamazoe et al.	2011/0101051	Al	5/2011	Parness	

Page 3

				Pag	ge 3				
(56)	D	ofowon	ces Cited		KR	2003126950000	4/2003		
(56)	K	teleren	ices Chea		KR	2003120930000	6/2003		
	U.S. PA	TENT	DOCUMENTS		KR	2003182590000	6/2003		
					KR	2003201940000	7/2003		
2011/	0163136 A1*	7/2011	Billingham		KR	2003337880000	11/2003		
2011		2/2011	***	224/160	KR KR	10-2004-0064749 20-2010-0010120	7/2004 10/2010		
2011/	0290831 A1* 1	2/2011	Wang		KR	20-2011-0005263	5/2011		
2012/	0037284 A1	2/2012	Korbonski	224/160	KR	200459659 Y1	4/2012		
			Bergkvist	A47D 13/025	WO	WO 95/05952 A1	3/1995		
			Č	224/160	WO WO	WO 2001089978 WO 2010/123447	11/2001 10/2010		
			Schachtner		wo	WO 2010/123447 WO 2011071441	6/2011		
	0241487 A1 1	9/2012 1/2012	Zack et al.		WO	WO 2012079787	6/2012		
	0298702 A1 1 0167462 A1	6/2014	Lai et al.		WO	WO 2014033134 A1	3/2014		
		9/2014			WO	WO 2015/053696 A1	4/2015		
2015/			Lindblom						
			Schaarschmidt			OTHER PU	BLICATIONS		
			Salazar et al.		06	A -+! C I T C	15/603 744 1-4-1 4 9 2017 43		
			Salazar et al. Telford et al.			Action for U.S. Appl. No.	15/602,744, dated Aug. 8, 2017, 42		
		9/2016			pgs.	C 1D 4C E	A 11 / NI 147725964		
			Telford		_	_	opean Application No. 14773586.4,		
2017/			Telford et al.			Oct. 16, 2016, 9 pgs.	Written Opinion for International		
			Telford et al.				2016/059534, dated Jan. 3, 2017, 8		
			Telford		pgs.	Application 110: 1 e 17 e 62	2010/033334, dated 3aii. 3, 2017, 0		
2019/	0090657 A1	3/2019	Telford			Action Issued for Chinese Pa	atent Application No. 201480023993.		
	FORFIGN	PATE	NT DOCUMEN	PT 2T		d Jan. 11, 2017, 20 pages			
	TORLIGIT	17111	IVI DOCOMEN	15			cal Strain Due to Load Carrying."		
DE	2951953	30 U1	4/1996		Europe	ean Journal of Applied Ph	ysiology and Occupational Physi-		
DE	229129:		1/2000			Feb. 1990, 10 pages.			
DE	2011604		1/2002		BabyT	rekker Instruction Manual	, Pettersen Infant Products, Canada,		
DE DE	20 2010 011 90 2020121043		12/2010 11/2012			16 pages.			
DE	202014201000		6/2014		-		, Pettersen Infant Products, Canada,		
EP	00466′		3/1982		16 pag		7-1-1-04		
EP EP	043736 066229		7/1991 7/1995				Spinal Stress," << http://continuum-html>>, Jun. 16, 2002, 3 pages.		
EP	0 995 3		10/1998				ducts," Consumer Reports, Com-		
EP	105533		11/2000			Revised Seventh Edition			
EP	170703		10/2006				ty/index.cfm?fuseaction=Kids.		
EP EP	176512 281058		6/2011 12/2014		ShowF	roduct&type=carrier&ID	=12>>, Aug. 5, 2002, 1 page.		
ES	25170		10/1980		"Kwik	Sew," Pattern 1046, Kwil	k Sew Pattern Co., Inc., Minneapo-		
FR	1 545 82		9/1967		lis, MN, 8 pages.				
FR	2 524 28		10/1983		Declaration of Judy Pettersen regarding BabyTrekker, May 26,				
FR FR	2 794 0. 2 794 0.		5/1999 1/2000		2011, 18 pgs.				
FR	28062		9/2001		"The Baby Trekker Product Info," < http://www.babytrekker.co				
FR	28236		10/2002		product.htm>>, Jun. 10, 2012, 1 page. "The Baby Trekker Testimonials," << http://www.babytrekk				
FR	2 851 43		8/2004		testimonials.htm>>, Dec. 21, 2001, 4 pages.		•		
GB GB	202684 202863		8/1978 3/1980				s," << http://www.babytrekker.com/		
GB	231402		12/1987			onials.htm>>, Sep. 16, 20			
GB	2 260 68	87	10/1991		"Baby	Toddler Sling," < <http: <="" td=""><td>//www3.telus.net/public/a6a83106/</td></http:>	//www3.telus.net/public/a6a83106/		
GB TD	22606		4/1993			ling.html>>, Nov. 19, 20			
JP JP	53-1554 54-1081		12/1978 7/1979			-	< <http: <="" td="" www.first-journey.com=""></http:>		
JP	63-1879:		12/1988				nl>>, Dec. 14, 2002, 1 page.		
JP	1-721:	58	5/1989				<http: nl="" www.first-journey.com="">>, Dec. 14, 2002, 1 page.</http:>		
JP ID	09-09984		10/1995				<pre>// Dec. 14, 2002, 1 page. <<http: <="" pre="" www.first-journey.com=""></http:></pre>		
JP JP	09-17313 10-31392		8/1997 2/1998			tions/instructions>>, Dec			
JP	11-4693		2/1999		"First	Journey Photos & Quotes	," < <http: <="" td="" www.first-journey.com=""></http:>		
JP	1104693	38	2/1999		photos	andquotes/photos>>, Dec	. 14, 2002, 2 page.		
JP	U307370		9/2000				first-journey.com>>, 2002, 2 pages.		
JP JP	P2001-1041 2003-2251		4/2001 8/2003				une/sakara/english/about/index.		
JP	2005-13114		10/2003			>, Apr. 30, 2003, 2 pages.			
JP	2005-18542	26	12/2003			://koti.weino.com/skoivun 03, 2 pages.	e/sakara/english/index.html>>, Jun.		
JP	2004-0006		1/2004				vune/sakara/english/guide/index.		
JP JP	2004-15440 2005-31282		6/2004 11/2005			>, Jun. 28, 2003, 1 page.			
JP JP	2013-11890		12/2011				rune/sakara/english/guide/ohje2.		
JP	2012-1873:	52	10/2012			>, May 1, 2003, 1 page.			
KR	200050869000		10/1986				une/sakara/english/guide/ohje3.		
KR	102002000853	34	1/2002		numi>>	>, May 1, 2003, 1 page.			

Page 4

(56)References Cited

OTHER PUBLICATIONS

- <<http://koti.welho.com/skoivune/sakara/ohje/ohje4.html>>, May 2, 2003, 1 page.
- << http://koti.welho.com/skoivune/sakara/ohje/ohje6.html>>, May 29, 2003, 1 page.
- <>,May 9, 2003, 1 page.
- <http://koti.welho.com/skoivune/sakara/english/order/index.</p> html>>, Apr. 30, 2003, 1 page.
- <>, Jun. 20, 2003, 2 pages.
- <<http://koti.welho.com/skoivune/sakara/sakarat/index.html>>, Apr. 30, 2003, 2 pages.
- Weego Soft Baby Carrier, Instructions for Use, Weego Babytragesäcke, Berlin, DE, 4 pages.
- <>, Aug. 6, 2002, 2 pages.
- <>, Dec. 11, 2001, 1 page.
- <>, Nov. 2, 2001, 2 pages.
- <<<<<<<>, Aug. 6, 2002, 2 pages.
- <http://www.weego.com/acatalog/ool.html>>, Jun. 5, 2002, 3 pages.
- <>, Aug. 12, 2003, 1 page.
- <>, Apr. 23, 2004, 1 page.
- <>, Jun. 1, 2004, 1 page.
- <>, Mar. 24, 2004, 1
- <>, Jul. 3, 2004, 1
- <<http://www.weego.de/english/trageposition.htm>>, Apr. 23, 2004,
- "6 in One Rider," Infantino, LLC, San Diego, California, 2002, 1
- Assorted Photos, U.S. Appl. No. 60/501,396, filed Sep. 10, 2003, 3
- Wormleighton, A., "Baby Gifts: To Sew, Applique, Crochet and Knit," Copyrioht Marshall Cavendish Limited, 1998, 13 pages
- "Baby Pack Baby Carrier," << http://www.beginnings.org/shop/ buikrugdragers_babypack.htm>>, Feb. 4, 2002, 1 page.
- "Baby Trekker Instruction Sheet," 2 pages.
- Constance, S., "Backpacking the Baby" Sydney Morning Herald, Dec. 1, 1998, 3 pages.
- File History for U.S. Appl. No. 14/685,235, filed Apr. 13, 2015, 460
- "Chinese Baby Carrier," << http://portebebe.free.fr>>, Jun. 2002, 7
- Coff, H., "Cut Scheduling for Optimum Fabric Utilization in Apparel Production," Georgia Institute of Technology, Nov. 1976, 141 pages.
- "Baby Matey, Soft Baby Carriers," Copyright Kidpower Unlimited Inc., 4 pages.
- "Theodore Bean Infants & Toddlers Carriers & Accessories," Theodore Bean Adventure Company Inc., 2000, 16 pages.
- "Clinical Practice Guideline: Early Detection of Developmental Dysplasia of the Hip," American Academy of Pediatrics vol. 105, No. 4, Apr. 2000, 10 pages.
- File History for European Patent Application No. 04783725.7, filed Sep. 10, 2004, 693 pages.
- "First Journey Visite Guidée," Pettersen Infant Products, www.firstjourney.com, 2004, 2 pages.
- "First Journey Tour Guide," Pettersen Infant Products, www.firstjourney,com, 2002, 2 pages.
- "Graco Soft Carrier Owner's Manual, Model 5070 Series," Graco Children's Products, Inc., 1999, 7 pages
- Jones et al., "Guide to Baby Products," Consumer Reports Books, Fourth Edition, Dec. 1995, 10 pages.
- Harman et al., "The Effects of Backpack Weight on the Biomechanics of Load Carriage," Military Division, U.S. Army Research Institute of Environmental Medicine, May 3, 2000, 72 pages.
- "In & Out Carrier Instructions," Hauck Fun for Kids, 2003, 3 pages. "In & Out Carrier Instructions," Hauck Fun for Kids, Aug. 2003, 3 pages.

- "Wearing Your Baby," <>, 2014, 11 pages
- Longe, J., "How Products are Made: An Illustrated Guide to Product
- Manufacturing," 2001, vol. 6, 8 pages. "GVP Gear G4," <>>, Jun. 2, 2002, The com/g4.asp (asp >>>, Jun. 2, 2002, 3 pages.
- "Backpack Tips," << http://backpacking.net/gearpack-tips.html>>, Jun. 2, 2002, 6 pages
- "Make Your Own G4 Pack," <<http://www.gvpgear.com/make_ your_own.asp>, Jun. 2, 2002, 17 pages.
- King, F.H, "Farmers of Forty Centuries," Copyright 2002 Blackmask Online, www.blackmask.com, 118 pages.
- "Worauf Eltern beim Kauf von Tragehilfen fuer Sauglinge achten sollten"—Things parents shopping for infant carriers should look $out\ for, <<\!\!\text{http://www.continuum-concept.de/liedkir.htm}\!\!>>, Jul.\ 19,$ 2001, 4 pages.
- "Lifter Baby Carrier," <<http://www.beginnings.org/shop/ buikheuprugdragers_lifter.htm>>, Jun. 19, 2002, 2 pages.
- "Ultralight Pack," << http://www.backpacking.net/makegear/gvppack/>>, Mar. 1, 2003, 29 pages.
- Martin, J. and Hooper, R., "Military Load Carriage: A Novel Method of Interface Pressure Analysis," RTO HFM Specialists' Meeting on "Soldier Mobility: Innovations in Load Carriage System Design and Evaluation;" Jun. 27-29, 2000, 9 pages
- "Miguel Inspired Originals," <http://miguelinspired.com/about. html>>, Oct. 30, 2005, 2 pages.
- "Miguel Inspired Originals," << http://miguelinspired.com/gpage2. html>>, Oct. 30, 2005, 5 pages.
- "Miguel Inspired Originals," <http://miguelinspired.com/gpage. html>>, Oct. 30, 2005, 2 pages.
- "Miguel Inspired Originals," << http://miguelinspired.com/gpage3. html>>, Oct. 30, 2005, 1 page.
- "The Australian Women's Weekly," vol. 37, No. 8, Jul. 23, 1969, 80 pages
- "For Shane Gould Innes-Motherhood is a Mind," The Australian Women's Weekly, << National library of Australia—http://nla.gov. au/nla-news-page5623013>>, Oct. 11, 1978, 1 page.
 "... Blowing Experience," The Australian Women's Weekly,
- << National library of Australia—http://nla.gov.au/nla-newspage5623014>>, Oct. 11, 1978, 1 page.
- Cessnock. Eagle and South Mattland Recorder, vol. 32, No. 4162, Jun. 22, 1944, <<National library of Australia—http://nla.gov.au/ nla-news-page10625124>>, 1 page.
- File History for U.S. Appl. No. 10/937,193, filed Sep. 9, 2004, 135
- "Porte-bébé chinois," <<http://portebebe.free.fr/>>, Jun. 5, 2002, 6
- U.S. Appl. No. 60/501,396, filed Sep. 10, 2003, 9 pages.
- "Device for Worn Baby," Patent Translate Description of Russian Application No. RU12646, 3 pages.
- Santa Cruz Sentinel, <<https://www.newspapers.com/image/ 71319712>>, Jul. 26, 1987, 1 page.
- Krantz. L. and Ludman-Exley, S., "The Best of Everything for Your Baby," Copyright 2000 by Prentice Hall, Inc., 18 pages.
- "Home Watch," The Sydney Morning Herald, <https://www. newspapers.com/image/123957115>>, Jan. 10, 1993, 1 page.
- Chancellor, N., "It's a Shoulder Style," The Sydney Morning Herald, <https://www.newspapers.com/image/123869066">>, Jun. 24, 1947, 1 page.
- Constance, M., "Backpacking the Baby," The Sydney Morning Herald, << https://www.newspapers.com/image/120542968>>, Dec. 1, 1988, 1 page.
- Gebrauchsanweisung User's Manual), Weego Baby Carrier, 4 pages. "Why Choose the Wilkinet?," << http://www.wilkinet.co.uk/ WhyChoose.asp>>, Apr. 17, 2003, 2 pages.
- Wilkinet—FAQ, << http://www.wilkinet.co.uk/FAQs.asp>>, Feb. 17, 2003, 3 pages.
- Wilkinet—History of the Wilkinet Baby Carrier, <<http://www. wilkinet.co.uk/History.asp>>, Feb. 17, 2003, 3 pages.
- Wilkinet—Reviews and Testimonials, << http://www.wilkinet.co.uk/ ReviewsParents.asp>>, Feb. 18, 2003, 2 pages.
- Wilkinet—Reviews and Testimonials, <http://www.wilkinet.co.uk/ ReviewsPress.asp>>, Feb. 18, 2003, 2 pages.

Page 5

(56) References Cited

OTHER PUBLICATIONS

Wilkinet—Product Views, << http://www.wilkinet.co.uk/BabyCarriers.asp>>, Feb. 17, 2003, 2 pages.

Wilkinet—Instructional Videos, <>, Feb. 18, 2003, 2 pages.

Appendix A: Baby Matey Non-Patent Literature as Cited on the Face of U.S. Pat. No. 4,986,458 ("Baby Matey Literature") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 21 pgs.

Appendix BB: Consumer Reports Guide to Baby Products by Sandy Jones, published in 2001 ("Guide to Baby Products") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 11 pgs.

Appendix AAA: Japanese Pub. No. S54-108131 ("The '131 Patent") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 11 pgs.

Appendix B: Baby Matey System Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 22 pgs.

Appendix BB: U.S. Pat. No. 3,780,919 ("Hansson") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 4 pgs.

Appendix BBB: UK Patent App. No. GB 2026848 ("David") Invalidity Chart, *The Ergo Baby Carrier, Inc. v. BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 5 pgs.

Appendix C: Canadian Patent No. 1332928 ("Pettersen") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 18 pgs.

Appendix CC: "Physiological Strain Due to Load Carrying" by Michael Holewijn, published in European Journal of Applied Physiology and Occupational Physiology, Feb. 1990 ("Holewijn") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 12 pgs.

Appendix CCC: DIY Baby Sling System Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 11 pgs.

Appendix D: babyTrekker Instruction Manual copyright date stamped 1998 ("1998 babyTrekker Manual") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 19 pgs.

Appendix DD: Kelty Kangaroo Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016. 7 pgs.

Appendix DDD: Kozy System Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 16 pgs.

Appendix E: babyTrekker Instruction Manual ("babyTrekker Manual") Invalidity Chart, *The Ergo Baby Carrier, Inc. v. BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 21 pgs.

Appendix EE: Kirkiliones Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 9 pgs.

Appendix EEE: Packababy System Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 17 pgs.

Appendix F: babyTrekker System Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 16 pgs.

Appendix FF: "Physicological, Biomechanical and Medical Aspects of Soldier Load Carriage" by Joseph Knapik, Presented in Jun. 2000 ("Knapik") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 11 pgs.

Appendix FFF: Sakara System Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 17 pgs.

Appendix G: First Journey System Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016. 21 pgs.

Appendix GG: U.S. Pat. No. 4,434,920 ("Moore") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 6 pgs.

Appendix GGG: Sutemi System Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 12 pgs.

Appendix H: French Patent Pub. No. 2795010 ("Ducruet") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 8 pgs.

Appendix HH: "A Static Biomechanical Load Carriage Model" by R.P. Pelot et al., Presented in Jun. 2000 ("Pelot") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 13 pgs.

Appendix HHH: Casses Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 5 pgs.

Appendix I: U.S. Pat. No. 4,986,458 ("Linday") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 16 pgs.

Appendix II: Pony Ride Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 7 pgs.

Appendix III: U.S. Pat. No. 6,182,873 ("Christopher") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 2 pgs.

Appendix J. U.S. Pat. No. 4,469,259 ("Krich") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 8 pgs.

Appendix JJ: U.S. Pat. No. 5,114,059 ("Thatcher") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 4 pgs.

Appendix JJJ: U.S. Pat. No. 6,155,579 ("Eyman") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 2 pgs.

Appendix K: "A Blue-Jean Person Pack," by E.A. Byrnes as published on p. 164 of the May/Jun. 1982 issue of The Mother Earth News ("Byrnes") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 21 pgs. Appendix KK: Weego System Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 20 pgs.

Page 6

(56) References Cited

OTHER PUBLICATIONS

Appendix KKK: U.S. Pat. No. 5,848,741 ("Fair") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 2 pgs.

Appendix L: EP Patent No. 0437365 ("Gunderman") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 14 pgs.

Appendix LL: U.S. Pat. No. 6,257,468 ("Yamazoe") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 4 pgs.

Appendix LLL: Consumer Reports Guide to Baby Products by Sandy Jones, published in 2001 ("Guide to Baby Products") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 8 pgs.

Appendix M: Kwik Sew Pattern No. 1046 ("Kwik Sew") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 18 pgs.

Appendix MM: Baby Matey Literature as Cited on the Face of U.S. Pat. No. 4,986,458 ("Baby Matey Literature") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 20 pgs.

Appendix MMM: U.S. Pat. No. 3,780,919 ("Hansson") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 2 pgs.

Appendix N: Japanese Pub. No. S53-155443 ("The '443 Patent") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 12 pgs.

Appendix NN: Baby Matey System Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 22 pgs.

Appendix NNN: "Physiological Strain Due to Load Carrying" by Michael Holewijn, published in European Journal of Applied Physiology and Occupational Physiology, Feb. 1990 ("Holewijn") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. BOBA Inc., Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 11 pgs.

Appendix O: Japanese Patent Pub. No. S54-108131 ("The '131 Patent") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 13 pgs.

Appendix OO: Canadian Patent No. 1332928 ("Pettersen") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 16 pgs.

Appendix OOO: Kelty Kangaroo Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 4 pgs.

Appendix P: U.S. Pat. No. 4,009,808 ("Sharp") Invalidity Chart, *The Ergo Baby Carrier; Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 5 pgs.

Appendix PP: babyTrekker Instruction Manual copyright date stamped 1998 ("1998 babyTrekker Manual") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 16 pgs.

Appendix PPP: Kirkiliones Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 9 pgs.

Appendix Q: UK Patent App. No. GB 2026848 ("David") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 8 pgs.

Appendix. QQ: babyTrekker Instruction Manual ("babyTrekker Manual") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 17 pgs.

Appendix QQQ: "Physiological, Biomechanical and Medical Aspects of Soldier Load Carriage" by Joseph Knapik, presented in Jun. 2000 ("Knapik") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 10 pgs.

Appendix R: DIY Baby Sling System Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 13 pgs.

Appendix RR: babyTrekker System Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 14 pgs.

Appendix RRR: U.S. Pat. No. 4,434,920 ("Moore") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 2 pgs.

Appendix S: Kozy System Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 18 pgs.

Appendix SS: First Journey System Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 18 pgs.

Appendix SSS: "A Static Biomechanical Load Carriage Model" by R.P. Pelot et al., Presented in Jun. 2000 ("Pelot") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 11 pgs.

Appendix T: Packababy System Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 19 pgs.

Appendix TT: French Patent Pub. No. 2794010 ("Ducruet") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 5 pgs.

Appendix TTT: Pony Ride Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 4 pgs.

Appendix U: Sakara System Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 18 pgs.

Appendix UU: U.S. Pat. No. 4,986,458 ("Linday") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 15 pgs.

Appendix UUU: U.S. Pat. No. 5,114,059 ("Thatcher") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 3 pgs.

Appendix V: Sutemi System Invalidity Chart, *The Ergo Baby Carrier*; *Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016. 14 pgs.

Appendix VV: U.S. Pat. No. 4,469,259 ("Krich") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 5 pgs.

Page 7

(56) References Cited

OTHER PUBLICATIONS

Appendix VVV: Weego System Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 18 pgs.

Appendix W: Casses Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 6 pgs. Appendix WW: "A Blue-Jean Person Pack" by E.A. Byrnes as published on p. 164 of the May/Jun. 1982 issue of The Mother Earth News ("Byrnes") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 17 pgs. Appendix WWW: U.S. Pat. No. 6,257,468 ("Yamazoe") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 2 pgs.

Appendix X: U.S. Pat. No. 6,182,873 ("Christopher") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 4 pgs.

Appendix XX: EP Patent No. 0437365 ("Gunderman") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 14 pgs.

Appendix Y: U.S. Pat. No. 6,155,579 ("Eyman") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 4 pgs.

Appendix YY: Kwik Sew Pattern No. 1046 ("Kwik Sew") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 16 pgs.

Appendix Z: U.S. Pat. No. 5,848,741 ("Fair") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 4 pgs.

Appendix ZZ: Japanese Patent Publication No. S53-155443 ("The '443 Patent") Invalidity Chart, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 10 pgs.

Tentative Ruling on Claim Construction, U.S. Pat. No. 8,590,757 and U.S. Pat. No. 9,022,260, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Dec. 1, 2016, 11 pgs.

File History for U.S. Trademark Application No. 75/457,187, filed Mar. 25, 1998, 56 pages.

"Kinderpack Wearing Instructions for Infant Size," <<https://mykinderpack.com/pages/instructions>>, Copyright 2017 Kindercarry, 5 pages.

"Kinderpack Wearing Instructions for Toddler Size," <https://mykinderpack.com/pages/instructions>>, Copyright 2017 Kindercarry, 5 pages.

SSC Instructions, <http://www.isara.ro/en/content/7-instructiuni-ssc>, Copyright 2016 ISARA, 12 pages.

Wrapping instructions Baby Carriers, <http://www.kokadi.de/en/instruction:_:162.html, Copyright 2016 ISARA 28 pages.

"The Five Hidden Features of the Yemaya Baby Carrier," << http://http://blog.cybex-online.com/blog/safety/the-five-hidden-features-of-the-yemaya-baby-carrier/>>, Oct. 13, 2016 ISARA, 7 pages. Notice of Allowance issued for U.S. Appl. No. 15/170,629, dated Oct. 28, 2016, 3 pages.

Notice of Allowance issued for U.S. Appl. No. 15/170,629, dated Feb. 1, 2017, 5 pages.

Preliminary Invalidity Contentions, *The Ergo Baby Carrier, Inc.* v. *BOBA Inc.*, Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, Jul. 15, 2016, 18 pgs. BOBA, Inc.'s First Amended Counterclaims for Declaration of Unenforceability, Invalidity, and Monopolization, *The Ergo Baby*

Carrier, Inc. v. BOBA Inc., Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, May 23, 2016, 73 pgs.

Joint Motion to Terminate for Inter Partes Review of U.S. Pat. No. 9,022,260 (IPR2016-01870) and U.S. Pat. No. 8,590,757 (IPR2016-01866), 3 pgs.

Office Action Issued for Japanese Patent Application No. 2016-502118, dated Apr. 7, 2017, 9 pages.

Office Action for U.S. Appl. No. 10/937,193, dated Aug. 14, 2007, 9 pgs.

Office Action for U.S. Appl. No. 11/949,324, dated Oct. 6, 2009, 9 pgs.

Office Action for U.S. Appl. No. 11/949,324, dated Apr. 28, 2010, 9 pgs.

International Search Report and Written Opinion for PCT Application No. PCT/US2004/029614, completed on Feb. 11, 2005, dated Mar. 3, 2005, 9 pgs.

International Preliminary Report on Patentability for PCT/US2004/029614, dated Mar. 13, 2006, 7 pgs.

Examination Report for European Application No. 04 783 725.7, dated Sep. 21, 2007, 3 pgs.

Examination Report for European Application No. 04 783 725.7, dated Sep. 9, 2008, 4 pgs.

Examination Report for European Application No. 04 783 725.7, dated Sep. 10, 2009, 3 pgs.

Examination Report for European Application No. 04 783 725.7, dated Dec. 21, 2009, 5 pgs.

Examination Report for European Application No. 04 783 725.7, dated Jun. 1, 2010, 6 pgs.

Office Action for U.S. Appl. No. 11/949,324, dated Oct. 4, 2010, 10 pgs.

Office Action for U.S. Appl. No. 11/949,324, dated Jul. 18, 2011, 14

Notice of Opposition filed on Mar. 13, 2012, against European Patent No. EP1765123 B1, 213 pgs.

Declaration of Judy Petterson regarding BabyTrekker with enclosures 1 and 2, dated May 26, 2011, 18 pgs.

International Search Report and Written Opinion for International Application No. PCT/US2014/026378, dated Jul. 21, 2014, 10 pgs. Office Action for U.S. Appl. No. 14/685,235, dated May 22, 2015, 8 pgs.

International Preliminary Report on Patentability (Ch. I) for International Patent Application No. PCT/US2014/026378, dated Sep. 15, 2015, 6 pgs.

Office Action for U.S. Appl. No. 14/862,933, dated Oct. 30, 2015, 5 pgs.

Office Action for U.S. Appl. No. 14/685,235, dated Nov. 27, 2015, 8 pgs.

International Search Report and Written Opinion for International Patent Application No. PCT/US2016/026626, dated Jun. 30, 2016, 7 pgs.

Notice of Allowance for U.S. Appl. No. 15/170,629, dated Jul. 18, 2016, 2 pgs.

Office Action for U.S. Appl. No. 15/177,114, dated Aug. 24, 2016, 10 pgs

Petition for Inter Partes Review of U.S. Pat. No. 9,022,260, 94 pgs. Petition for Inter Partes Review of U.S. Pat. No. 8,590,757, 100 pgs. Baby Matey Soft Baby Carriers Literature, Kidpower Unlimited Inc., Toronto, ON, CA, 10 pgs.

BabyTrekker Instruction Manual, Petterson Infant Products, Flin Flon, MB, CA, 1998, 16 pgs.

Declaration of Richard N. Hinrichs, Ph.D and Appendix A thereto for Petition for Inter Partes Review of U.S. Pat. No. 9,022,260, 158 pgs.

Declaration of Richard N. Hinrichs, Ph.D and Appendix A thereto for Petition for Inter Partes Review of U.S. Pat. No. 8,590,757, 155 pgs.

Declaration of Shari Hall White and Appendix A thereto, Jun. 29, 2016, 12 pgs.

Declaration of Judy Pettersen and Exhibits thereto, Aug. 14, 2016, 50 pgs.

Page 8

(56)References Cited

OTHER PUBLICATIONS

Nov. 24, 1998 Letter from U.S. ITC regarding U.S. tariff classification of babyTrekker, 2 pgs., retrieved from http://www.faqs.org/ rulings/rulings1998NYD83381.html).

Feb. 2002 forum post from "USA", 2 pgs., retrieved from http:// windsorpeak.com/vbulletin/showthread.php?185543-baby-bjornand-large-husband).

U.S. Trademark Serial No. 75/057,147 Documents, U.S. Patent and Trademark Office, 44 pgs.

Complaint, The Ergo Baby Carrier, Inc. v. BOBA Inc., Case No. 2:15-cv-08946, In the United States District Court for the Central District of California, filed Nov. 17, 2015, 7 pgs.

Blaffer Hardy, S., Family Planning Primate Style, Mother Nature—A History of Mothers, Infants and Natural Selection, 2000, pp. 197-204.

Jones, Sandy, Back Packs and Soft Carriers, Guide to Baby Products, Consumers Digest, 1998, Ch. 4, pp. 33-40.

Longe, Jaqueline L., Baby Carrier, How Products are Made: An Illustrated Guide to Product Manufacturing, 2001, vol. 6, pp. 22-26. Pelot, Ron P., et al., "Background Document for an Advanced Personal Load Carriage System for the Canadian Forces", Ergonomics Research Group, Queen's University, Kingston, ON, CA, Mar. 29, 1995, 148 pgs.

Brewer, Gail S., A Quick Guide for Starting Right, Baby Carriers, Right from the Start: Meeting the Challenges of Mothering Your Unborn and Newborn Baby, 1981, pp. 159-160.

Salter, R.B., "Etiology, Pathogenesis and Possible Prevention of Congenital Dislocation of the Hip", The Canadian Medical Association Journal, vol. 98, No. 20, May 18, 1968.

Jones, Sandy, Getting Around, Guide to Baby Products, Consumers Digest, 2001, pp. 41, 55-60, 157-160, 199-201.

Gilligan, Shannon, Best for Baby: A Selective Consumer's Guide to Products and Services from Infancy to Preschool, 1988, pp. 41-46. O'Donohue, Rosaleen, Baby Rides the Asian Way, The Australian Women's Weekly, Jul. 23, 1969 at p. 9.

Doan, Marlyn, Children's Gear, Starting Small in the Wilderness, The Sierra Club Outdoors Guide for Families, 1979, at pp. 161-167. Guide to the Ann Moore Innovative Lives Presentation, 1999. Archives Center, National Museum of American History, Smithsonian Institute, Aug. 2010, 12 pgs., retrieved from http://amhistory.si.edu/ archives/AC0706.pdf).

Warren, A.J., "The Mom Who Invented the Snugli", CBS News, Mar. 6, 2001, 4 pgs., retrieved from http://www.cbsnews.com/news/ the-mom-who-invented-the- snugli/.

Bach, John, "Practical Inventor Influenced American Culture", University of Cincinnati UC Magazine, Aug. 2010, 6 pgs

"Eager Market for Baby Carrier" The Gazette, Montreal May 15, 1984: C-19 (accessed at https://news.google.com/newspapers?id= zA0vAAAAIBAJ&sjid=mqUFAAAAIBAJ&pg=1454% 2C2468510).

Roseman, E., et al., Baby Carriers, The Canadian Parents' Sourcebook, 1986, at pp. 149-153.

Rafelman, Rachel, The Portable Baby, Baby Gear for the First Year, 1997, pp. 40-41.

Laury, Jean Ray, Baby Carrier, A Treasury of Needlecraft Gifts for the New Baby, 1976, pp. 90-93.

Byrnes, E.A., "A Blue-Jean 'Person Pack': Toting the Tot on the Trail", The Mother Earth News, No. 75, May/Jun. 1982, p. 164. The Kozy Family, 16 pgs., retrieved from Web Archives of http:// www.kozycarrier.homestead.com/.

Packababy, 17 pgs., retrieved from Web Archives of http://www. packababy.com/.

Welcome to Sutemigear, 10 pgs., retrieved from Web Archives of http://sutemigear.com/.

"The Pick of the Extended Trek Packs" Backpacker, Oct. 1997, vol. 23, pp. 58-69

Jones, Sandy, Back Packs and Soft Carriers, Guide to Baby Products, Consumers Reports, 1991, pp. 9-15.

Baby Trekker-Advantages, 2 pgs., retrieved from https://web. archive.org/web/20000708141511/http://www.babytrekker.com/

Evenflo Soft Carriers, 2 pgs., retrieved from https://web.archive. org/web/20010331081113/http://www.evenflo.com/ep/furniture/ softcarrier.phtml.

Newspaper ad for Napsak Soft Baby Carrier by Evenflow. The Pittsburgh Press (Pittsburgh, Pennsylvania), Thursday, Dec. 12, 1991, p. 57.

Pelot, R.P. et al., "A Static Biomechanical Load Carriage Model", RTO HFM Specialist Meeting on Soldier Mobility Innovation in Load Carriage System Design and Evaluation, Kingston, CA, Jun. 27-29, 2000, 13 pgs.

Knapik, J., "Physiological, Biomechanical and Medical Aspects of Soldier Load Carriage", RTO HFM Specialist Meeting on Soldier Mobility Innovation in Load Carriage System Design and Evaluation, Kingston, CA, Jun. 27-29, 2000, 20 pgs.

Holewijn, M., "Physiological Strain Due to Load Carrying", European Journal of Applied Physiology, 1990, 61:237-245.

Frame Carriers, 1 pg., retrieved from https://web.archive.org/web/ 20000526184535/http://www.evenflo.com/ep/furniture/framecarrier.

Aronson, D.D. et al., "Developmental dysplasia of the hip", Pediatrics, Aug. 1994, vol. 94(2), 202, 11 pgs

Hodgson, A.R., "Congenital Dislocation of the Hip", British Medi-

cal Journal, Sep. 7, 1961, p. 647. Leveau, Barney F., et al., "Developmental biomechanics," Physical Therapy, 64.12, 1984:1878.

The Age, Mar. 5, 1970 at p. 14.

Certified Translation of "What parents should watch out for when buying babywearing carriers" by Kirkiliones, retrieved from http:// web.archive.org/web/20010719033113/http://www.continuu m-concept. de/liedkir.htm).

Kirkilionis, E., Das Tragen des Säuglings im Hüftsitz—eine spezielle Anpassung des menschlichen Traglings. Zoologische Jahrbücher, 1992, 96 (3), 395-415.

Kirkilionis, E., Worauf Eltern beim Kauf von Tragehilfen für Säuglinge achten sollten, 1994.

Kirkilionis, E., Die Grundbedürfnisse des Säuglings und deren medizinische Aspekte-dargestellt und charakterisiert am Jungentypus Tragling. notabene medici, 1997, 27 (2), 61-66, 27 (3), 117-121. Kirkilionis, E., Ein Baby will gatragen sein, 1999, 171 pgs.

"The Beginning" Ergo Baby Blog, 13 pgs., retrieved from https:// blog.ergobaby.com/2011/02/the-beginning/).

REI-Kelty Kangaroo Child Carrier, 2 pgs., retrieved from https:// web.archive.org/web/19970222133805/http://rei.com/shopping/store3/ CAMPING/BABY_CARRIERS/BABY_CARRIERS/b ud/617589. html.

Tough Traveler, Kidsystems, 3 pgs., retrieved from http://web. archive.org/web/20011106132550/http://www.toughtraveler.com/ cat7.html.

Mackie, H.W. et al, "The effect of simulated school load carriage configurations on shoulder strap tension forces and shoulder interface pressure", Applied Ergonomics, 36, 2005, pp. 199-206.

Hinrichs, et al., "An Investigation of the Inertial Properties of Backpacks Loaded in Various Configurations", United States Army Natick, Research and Development Laboratories, Natick, MA, 1982, 75 pgs.

Nelson, et al., "Effects of Gender, Load, and Backpack on Easy Standing and Vertical Jump Performance vol. II", United States Army Natick, Research and Development Laboratories, Natick, MA, Mar. 1982, 77 pgs.

Martin, et al., "Effects of Gender, Load, and Backpack on the Temporal and Kinematic Characteristics of Walking Gait vol. III", United States Army Natick, Research and Development Laboratories, Natick, MA, Apr. 1982, 77 pgs.

Martin, et al., "A Mathematical Model of the Inertial Properties of a Carrier-Backpack System vol. IV", United States Army Natick, Research and Development Laboratories, Natick, MA, May 1982,

Definition of "flexed", Random House Webster's Unabridged Dictionary, Oct. 1999, Second Edition, p. 733.

Page 9

(56) References Cited

OTHER PUBLICATIONS

Rose, Marion, Baby Carriers—Cultural History, Aware Parenting, Dec. 8, 2006, 11 pgs., retrieved from http://awareparenting.blogspot.com/2006/12/baby-carriers-cultural-history.html.

Office Action Issued for U.S. Appl. No. 15/177,114, dated Oct. 3, 2017, 5 pages.

Office Action issued for European Patent Application No. 14773586. 4, dated Oct. 12, 2017, 5 pages.

Office Action issued for Chinese Patent Application No. 201480023993. 2, dated Sep. 26, 2017, 5 pages.

Office Action issued for U.S. Appl. No. 15/177,114, dated Nov. 3, 2017, 12 pages.

Notice of Allowance issued for U.S. Appl. No. 15/602,744, dated Dec. 8, 2017, 2 pages.

Notice of Allowance for Korean Patent Application No. KR 10-2015-7028949, dated Dec. 13, 2017, 5 pages.

Office Action for U.S. Appl. No. 15/177,114, dated Feb. 21, 2018, 13 pages.

Notice of Allowance for Chinese Patent Application No. CN-201480023993.2, dated Mar. 5, 2018, 4 pages.

Office Action Issued for Korean Patent Application No. 10-2015-7028949, dated Jul. 20, 2017, 20 pages.

Office Action for U.S. Appl. No. 15/916,990, dated May 15, 2018, 5 pgs.

Office Action for U.S. Appl. No. 15/337,813, dated May 22, 2018, 6 pgs.

Office Action for U.S. Appl. No. 15/177,114, dated May 30, 2018, 5 pgs.

International Preliminary Report on Patentability and Written Opinion for PCT/US2016/59534, dated May 1, 2018, 6 pgs.

Office Action for U.S. Appl. No. 15/094,515, dated Jun. 28, 2018, 15 pgs.

Notice of Allowance for U.S. Appl. No. 15/177,114, dated Aug. 14, 2018, 4 pgs.

Notice of Allowance for U.S. Appl. No. 15/916,990, dated Aug. 15, 2018, 5 pgs.

European Search Report for European Patent Application No. 16777348.0, dated Oct. 4, 2018, 10 pgs.

Notice of Allowance for U.S. Appl. No. 15/916,990, dated Nov. 9, 2018. 2 pgs.

International Preliminary Report on Patentability (IPRP) for International Patent Application No. PCT/US2017/058820, dated May 9, 2019, 11 pgs.

Office Action for U.S. Appl. No. 16/204,581, dated Jan. 25, 2019, 5 pgs.

International Search Report and Written Opinion for International Patent Application No. PCT/US17/58820, dated Jan. 5, 2018, 12 pgs.

Office Action for U.S. Appl. No. 15/796,422, dated Nov. 26, 2018, 18 pgs.

Office Action for U.S. Appl. No. 15/094,515, dated Feb. 19, 2019, 15 pgs.

Corrected Notice of Allowability for U.S. Appl. No. 15/796,422, dated May 30, 2019, 6 pgs.

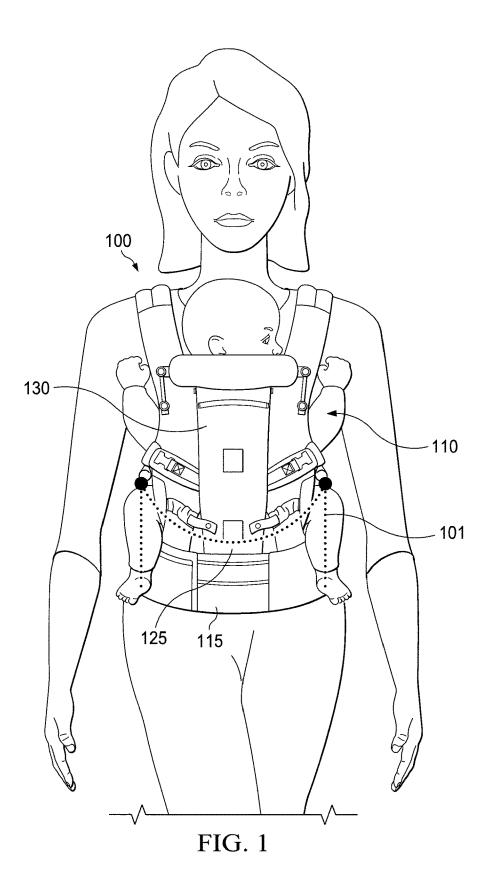
Notice of Allowance for U.S. Appl. No. 15/796,422, dated Mar. 27, 2019, 7 pgs.

Office Action for Japanese Patent Application No. 2017-552901 (with English translation), dated Feb. 19, 2019, 9 pgs.

Extended European Search Report for European Patent Application No. 16860977.4, dated Jun. 5, 2019, 7 pgs.

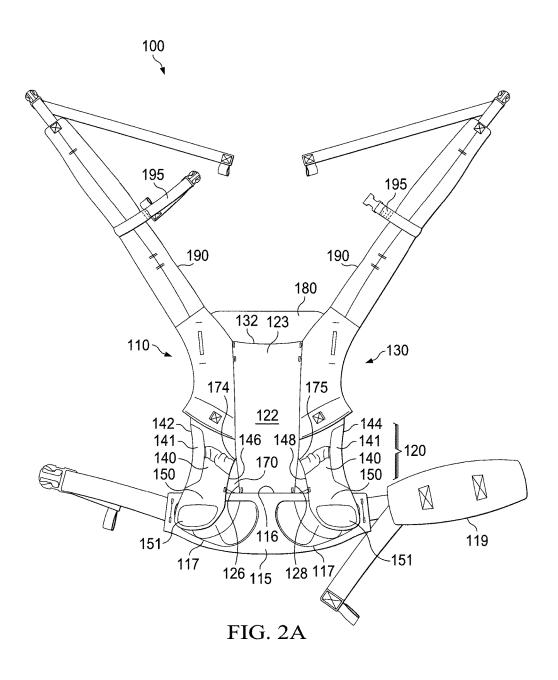
* cited by examiner

U.S. Patent Oct. 1, 2019 Sheet 1 of 9 US 10,426,275 B2



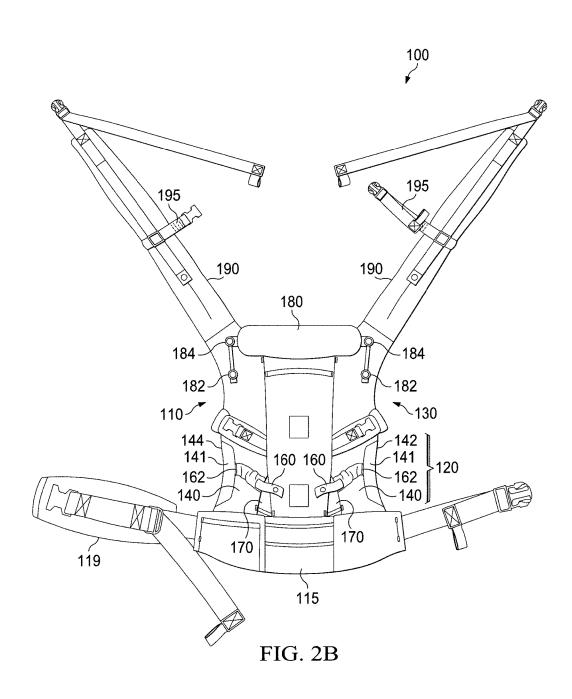
Oct. 1, 2019

Sheet 2 of 9



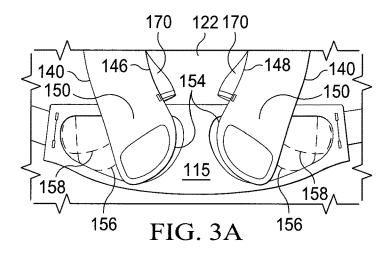
Oct. 1, 2019

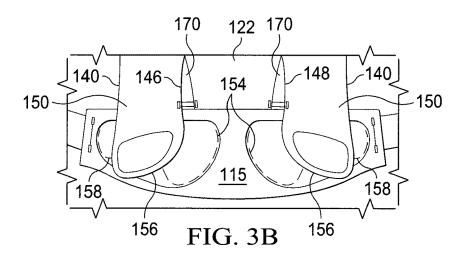
Sheet 3 of 9

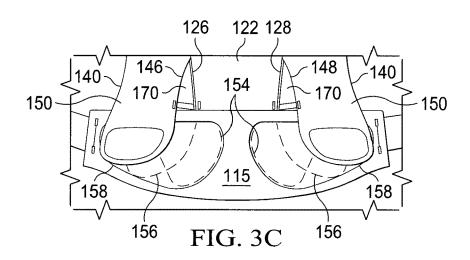


Oct. 1, 2019

Sheet 4 of 9







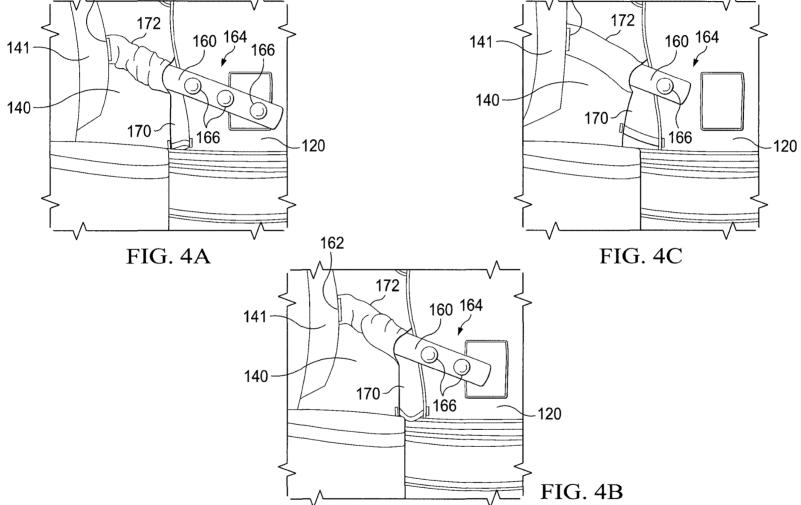
162

U.S. Patent

Oct. 1, 2019

Sheet 5 of 9

US 10,426,275 B2



162

U.S. Patent Oct. 1, 2019

Sheet 6 of 9

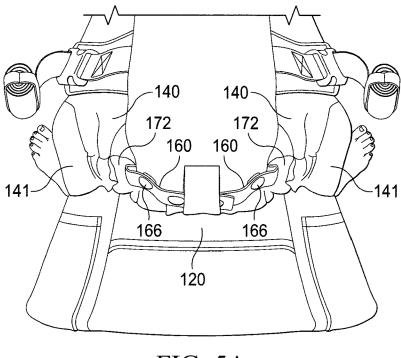


FIG. 5A

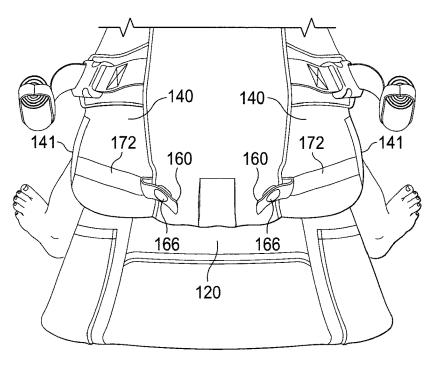
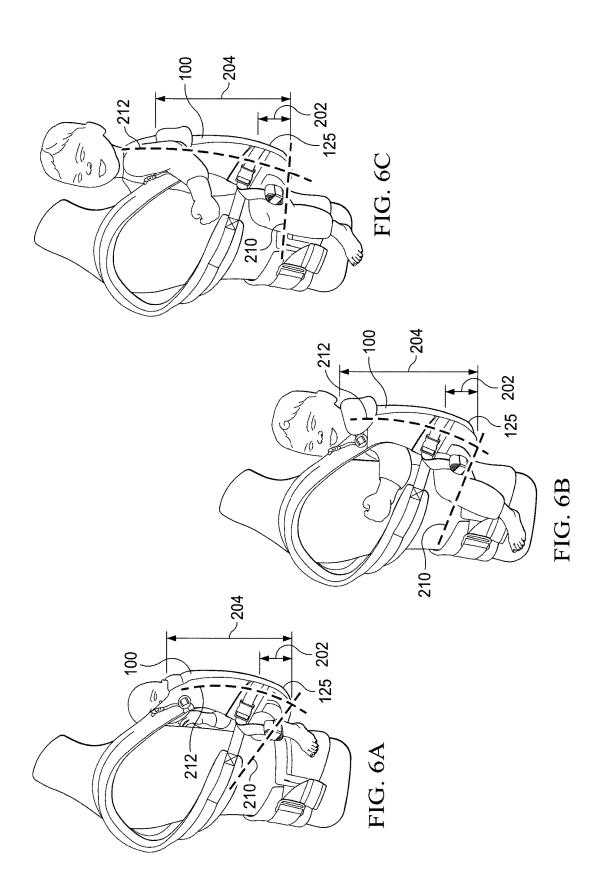


FIG. 5B

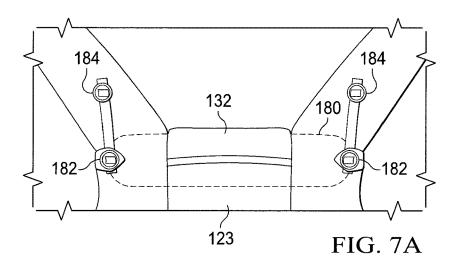
Oct. 1, 2019

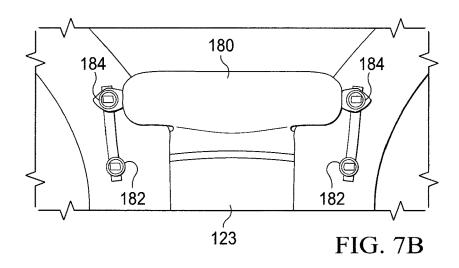
Sheet 7 of 9

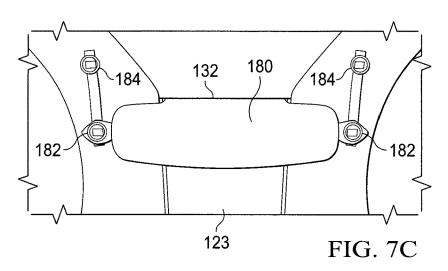


Oct. 1, 2019

Sheet 8 of 9

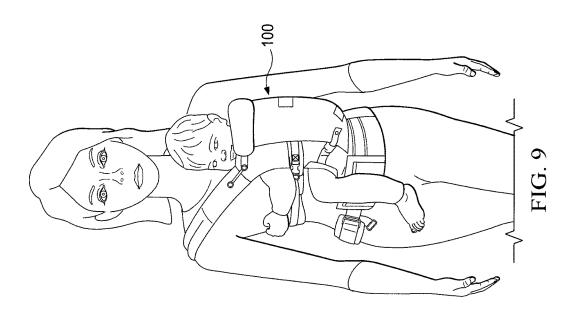


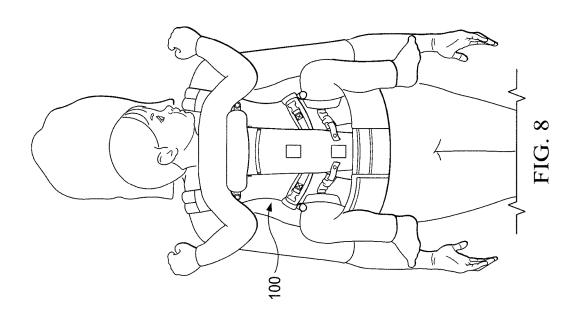




U.S. Patent Oct. 1, 2019

Sheet 9 of 9





1 ADJUSTABLE CHILD CARRIER

RELATED APPLICATIONS

This application claims the benefit of priority under 35⁻⁵ USC § 119(e) to U.S. Provisional Patent Application No. 62/248,745 by Rodney Telford, filed Oct. 30, 2015 and entitled "Baby Carrier," of which the entire contents are incorporated herein by reference.

TECHNICAL FIELD

The present disclosure relates to child carriers. Even more particularly, the present disclosure relates to a child carrier that is adaptable to ergonomically carry a child as the child 15 grows.

BACKGROUND

Various child carriers are currently available for trans- 20 porting a child by a parent or other individual. Child carriers have become popular for carrying infants and toddlers because they afford the wearer freedom of hand and arm movement while carrying a child. In pursuit of child safety, some of these devices have become overly complex involv- 25 ing, among other things, rigid seats and frames which considerably increase the weight of the carrier and cannot accommodate for the growth of the child. These complex carriers are relatively heavy and place an undue strain upon the wearer, particularly in the lumbar region. In addition, 30 because of the size of many of the present day carriers, they can only be worn on the back thus denying the child the comfort and security of a position where a child and its mother may be in a face-to-face relationship.

Soft structured carriers have become increasingly popular 35 because they are lighter, less cumbersome and more comfortable to wear. These carriers incorporate padding, stitching and fabrics, rather than a rigid frame, to provide the structure. However, some soft-structured carriers hold a child in an upright position with the child's legs hanging 40 down and the base of the child's spine supporting the child's bodyweight. This position may not be optimal for infant and other young children. While an adult spine has four curves, a young child's spine only has two curves. A majority of a young child's spine will form a C-shape (so-called total 45 kyphosis). Positioning a young child, particularly an infant, in an upright position may unduly limit curvature of the spine and puts stress on the infant's sacrum. This can cause the infant's pelvis to tilt backward limiting leg and hip movement, which may impede healthy development of the 50 infant's pelvis.

Moreover, conventional soft structured carriers are usually designed for a very limited age, weight and size of child and make compromises regarding the shape of the carrier to accommodate a range of ages. Even if a carrier supports 55 ergonomic positioning of the child at one age/weight/size, positioning a child in an ergonomic position through the range of ages while utilizing the same carrier poses a problem as different children develop at different rates and the anatomy and physiology of children changes dramati- 60 cally between infancy and toddlerhood.

A carrier designed for infants or younger babies may not accommodate a child as the child grows into toddlerhood because the seat and back support portions of the carrier will become too small. In an attempt to make carriers more 65 adaptable, some carriers provide additional panels that can be unfolded and added to the seat to widen the seat and/or

2

back panels that can expand (e.g., by unfolding additional back panel material or attaching new panels) to accommodate the child's growth. However, simply widening the seat or lengthening the carrier does not adequately address proper ergonomics.

On the other hand, a carrier designed for older children may not properly support an infant. One solution to this problem is the use of a specially designed "infant insert." In general, an infant insert is an accessory that incorporates 10 additional padding and structure and makes it possible to carry a small infant in a carrier that would not otherwise properly support the infant. However, not all carriers support the use of infant inserts. Moreover, depending on design, infant inserts may be cumbersome, non-intuitive, and easily lost. In particular, the use of a separate infant insert may require that parents keep track of two separate devices and may significantly increase the difficulty of configuring the carrier for a wearer, the wearing of the carrier, or the ingress and egress of a child to the carrier.

Due to the foregoing issues, parents often opt for changing carriers as the child ages.

SUMMARY

Embodiments described herein provide a wearable child carrier that can be adapted to a baby's size and provide ergonomic positioning of the child throughout the range of the carrier adjustability.

According to one embodiment, a child carrier includes a waist belt adapted for securing about a wearer's hips and a main body coupled to the waist belt, where the main body adapted to form a child carrying area in cooperation with a wearer's torso. The main body can include a torso support portion configured for supporting at least the torso of a child; and an adjustable bucket seat configurable in a plurality of bucket seat configurations, each of the plurality of bucket seat configurations having a different bucket seat depth and bucket seat width and adapted to support a child in a corresponding size range in a spread squat position. In one embodiment, the plurality of bucket seat configurations comprises a configuration adapted to support an infant in a spread squat position without an infant insert. The plurality of bucket seat configurations may include a configuration adapted to support a toddler in a spread squat position.

The child carrier can include one or more adjustment areas adapted to adjust the bucket seat depth and the bucket seat width. In one embodiment, the child carrier has a minimum wearable height that is dependent on the bucket seat depth.

In accordance with one aspect, the plurality of bucket seat configurations comprises a first configuration adapted to support a child in a first size range in a first spread squat position; and a second configuration adapted to support a child in a second size range in a second spread squat position. The first configuration may have a first bucket seat width and first bucket seat depth and the second configuration may have a second bucket seat width and a second bucket seat depth, wherein the first bucket seat width is less than the second bucket seat width and the first bucket seat depth is greater than the second seat bucket depth.

In one embodiment, the main body further comprises a seat center portion coupled to the waist belt and torso support portion and thigh supports disposed on either side of the seat center portion. The thigh supports can be adapted to pass under and support a child's thighs and cooperate with the seat center portion to form the bucket seat. The carrier can further include a base width adjuster coupled to each

3

thigh support. The base width adjusters can be configured for selective coupling to the waist belt in multiple locations to adjust a width of the main body at the waist belt. The base width adjusters can also be configured for selective coupling to the waist belt in multiple locations to adjust the bucket 5 seat depth.

The child carrier may include one or more fabric shaping members adapted to control a bulge of the bucket seat. As one example, the fabric shaping members may comprise darts disposed between the thigh supports and the seat center 10 portion, where the darts are adapted to open or close responsive to adjustment of the base width adjusters. The base width adjusters may be configurable in a first setting corresponding to a maximum bucket seat depth and a second setting corresponding to a minimum bucket seat depth, 15 wherein the darts or other fabric shaping members have a first shape corresponding to the first setting and a second shape corresponding to the second setting.

In accordance with one embodiment, the seat center portion comprises laterally outer edges and the thigh supports comprise laterally inner edges. The fabric shaping members may be disposed between the laterally outer edges and the laterally inner edges. The base width adjusters can be adjustable through rotation to rotate the laterally inner edges relative to the laterally outer edges to open or close the 25 fabric shaping members. Furthermore, adjusting the base width adjusters can increase or decrease the bucket depth/shape.

The carrier may further include thigh width adjusters comprising thigh width adapters coupled to the thigh supports where the thigh width adapters can adjust the width of the bucket seat.

The carrier may also include a neck support configurable in an inside folded down position in which the neck support is positioned in the child carrying area to support a child's 35 neck. The neck support may also be configured in an extended position to provide additional carrier length and support for a larger child, or additional neck support and coverage for a sleeping baby. The neck support may also be configurable in an outside folded down position.

According to one embodiment, a method of configuring a child carrier can comprise: for a child to be carried, adjusting a bucket seat of the child carrier to a child's size and positioning the child in a child carrying area of the child carrier such that the child is supported in an ergonomic 45 spread squat position. Adjusting the bucket seat to the child's size can include configuring a depth of the bucket seat by coupling base width adjusters of the child carrier to a waist belt of the child carrier at positions for a base width setting corresponding to the child's size and adjusting thigh 50 width adapters to adjust a width of the bucket seat. Configuring the depth the bucket seat may further comprise rotating the base width adjusters to open or close darts. The method may further include configuring an adjustable neck support to fill a portion of the child carrying area and support 55 a child's neck.

Embodiments described herein provide an advantage over prior carriers because the ergonomic bucket seat gradually adjusts to a growing baby from newborn to toddler, to ensure baby is seated in an ergonomic spread-squat, natural "M 60 shape" position at multiple stages.

As an additional advantage, embodiments described herein can provide an adjustable seat shape that does not require adding to or removing structure from the carrier to change the seat shape. For example, some embodiments can 65 accommodate infants and larger children without requiring an infant insert for an infant.

4

Embodiments described herein can provide another advantage by allowing for easy adjustment of the carrier seat shape without adding or removing panels from the seat.

Embodiments described herein can provide another advantage by providing a carrier with a wearable length that can be adjusted without requiring complicated mechanisms to extend the overall length of the carrier.

BRIEF DESCRIPTION OF THE DRAWINGS

For a better understanding of these and other objects of the invention, reference will be made to the following detailed description of the invention which is to be read in association with the accompanying drawings, wherein:

FIG. 1 is a diagrammatic representation of an adult wearer carrying a child in an adjustable carrier;

FIG. 2A is a diagrammatic representation of an inside view of one embodiment of an adjustable baby carrier;

FIG. 2B is a diagrammatic representation of an outside view of one embodiment of adjustable carrier;

FIG. 3A is a diagrammatic representation of one embodiment of a base width adjuster in a first base width adjuster configuration;

FIG. 3B is a diagrammatic representation of one embodiment of a base width adjuster in a second base width adjuster configuration;

FIG. 3C is a diagrammatic representation of one embodiment of a base width adjuster in a third base width adjuster configuration:

FIG. 4A is a diagrammatic representation of one embodiment of a carrier with thigh width adjusters in a first thigh width adjuster configuration;

FIG. 4B is a diagrammatic representation of one embodiment of a carrier with thigh width adjusters in a second thigh width adjuster configuration;

FIG. 4C is a diagrammatic representation of one embodiment of a carrier with thigh width adjusters in a third thigh width adjuster configuration;

FIG. 5A is a diagrammatic representation of another view one embodiment of a carrier with thigh width adjusters in the first thigh width adjuster configuration;

FIG. **5**B is a diagrammatic representation of another view of one embodiment of a carrier with thigh width adjusters in the third thigh width adjuster configuration;

FIG. 6A is a diagrammatic representation of one embodiment of a carrier with bucket seat in a first seat configuration:

FIG. 6B is a diagrammatic representation of one embodiment of a carrier with bucket seat in a second seat configuration;

FIG. 6C is a diagrammatic representation of one embodiment of a carrier with bucket seat in a third seat configuration;

FIG. 7A is a diagrammatic representation of one embodiment of a carrier with a neck support in a first neck support configuration;

FIG. 7B is a diagrammatic representation of one embodiment of a carrier with a neck support in a second neck support configuration;

FIG. 7C is a diagrammatic representation of one embodiment of a carrier with a neck support in a third neck support configuration;

FIG. 8 is a diagrammatic representation of one embodiment of a wearer wearing a carrier in a back carry position;

FIG. 9 is a diagrammatic representation of one embodiment of a wearer wearing a carrier in a side carry configuration.

5

DETAILED DESCRIPTION

Child carriers and related methods and the various features and advantageous details thereof are explained more fully with reference to the nonlimiting embodiments that are illustrated in the accompanying drawings and detailed in the 10 following description. Descriptions of well-known starting materials, processing techniques, components and equipment are omitted so as not to unnecessarily obscure the invention in detail. It should be understood, however, that the detailed description and the specific examples, while 15 indicating preferred embodiments of the invention, are given by way of illustration only and not by way of limitation. Various substitutions, modifications, additions and/or rearrangements within the spirit and/or scope of the underlying inventive concept will become apparent to those skilled in 20 adjust the baby carrier. For example, the thigh width adjustthe art from this disclosure.

The present disclosure relates to child carriers that allow a child, including an infant, to be carried in a manner that supports the child and maintains the child's pelvis and thighs in a preferred ergonomic position through a range of ages. In 25 particular, embodiments described herein provide carriers that support the child's bottom, pelvis and thighs in a desired position. Embodiments described herein also allow a child to be carried on the front or back or to the side of the person carrying the child. The carrier can be worn by a user in front 30 of, in back of or to the side of the wearer with the child's weight carried near the wearer's center of gravity and close to the wearer's front, back or side in a front, back or side position, respectively.

The adjustable child carrier can be configured to accommodate children of a wide range of sizes in a front, rear or side carrying position while supporting the child's hips, pelvis, bottom and both upper thighs when the child is being carried in various orientations. For example, embodiments of a child carrier as disclosed herein may provide an 40 adjustable child carrier usable with a newborn children (infant) (e.g., around 7 pounds) and additionally with children all the way to up to around 45 pounds or more.

In accordance with one aspect of the present disclosure, a carrier includes a bucket seat for a child and one or more 45 adjustment areas that when adjusted serve to adjust a depth of the seat bucket and a height of the child carrier. When adjusted to a newborn setting, the carrier is configured such that the depth of the seat bucket may be at a maximum. Conversely, when adjusted to its maximum, or largest size, 50 setting (e.g., a setting for the largest child the carrier is designed to accommodate) the depth of the seat bucket may be at a minimum. When the depth of the bucket seat is at a maximum the thighs may be supported such that the angle of the thighs of the child relative to the coronal plane may 55 be greatest and when the depth of the bucket seat is at a minimum the thighs may be supported such that the angle of the thighs of the child relative to the coronal plane may be the smallest. Similarly, then, the bucket seat is at a maximum, the carrier may be configured such that the carrier 60 maintains a child carried therein with relatively more curve in their spine than when the bucket seat is at a minimum

A child carrier may include one or more adjustment points that work alone or in cooperation to adjust the shape of the 65 bucket seat area provided by the child carrier. These adjustment points may include base width adjusters adapted to

adjust the width of the main panel of the baby carrier at a point where the main panel is coupled to the waistband of the carrier. The adjustment of the width of the base of the main panel may serve to provide maximum shape for the bucket area and thus maximum depth of the bucket seat area when adjusted to the narrowest setting for newborn babies and the minimal depth of the bucket seat area for the largest

6

children when adjusted to the widest setting. Another adjustment point provided by embodiments may be thigh width adjusters. These thigh width adjusters are configured to adjust the width of the main panel of the baby carrier at a point in the main panel configured to accommodate the thighs of a child. The thigh width of the main panel may be smallest at the tightest or smallest setting of the thigh width adjusters for newborn babies and widest at its largest or loosest setting (which may be fully released or not engaged) for the largest children (e.g., that the carrier is designed to accommodate).

These adjustment points may also work in cooperation to ers may also serve as more granular adjustment for the bucket area within the range of gross adjustment provided by the base width adjuster.

The carrier of certain embodiments may also be configured to adjust in height. In certain embodiments, the length of the physical carrier from the top edge of the waist belt at the center to the top edge of carrier at the center remains consistent, however, the wearable height changes depending on the setting of the bucket seat size. With the base width at its smallest/narrowest setting the bucket seat is deeper consuming more of the carrier length measurement, thus leaving less measurement for the wearable height while with the base width at is largest/widest setting the bucket seat is shallow consuming less of the carrier length measurement, thus leaving more measurement for the wearable height.

Embodiments of such carriers may also include an adjustable neck support. Such a neck support or collar that may be positioned according to the direction the child is facing, the size of the child, or other criteria. The adjustable neck support may be rotatable relative to the main panel such that the neck support may be extended increasing the center height of the carrier giving additional back or neck support for a child (depending on the size of the child). The neck support may also be folded back away from the wearer to reduce the height of the carrier (e.g., for non-infant children). The neck support may also be folded down into the carrier toward the wearer such that it may reside inside the child carrying area to give an infant or other child additional head or neck support.

Embodiments as disclosed herein may therefore provide an adjustable child carrier configured to accommodate children of a wide range of sizes in a front, rear or side carrying position. Embodiments may thus be sized appropriately to carry an infant without the use of an additional infant insert. Configured according to such a setting, the carrier may be adapted for placement of a child in a child carrying area of the child carrier with the infant's knees raised. In one embodiment, when adjusted to accommodate an infant the carrier is adapted to support the infant in a position with the infant's femur at an angle of 90-120 degrees from the coronal plane. Additionally, the carrier can be adapted to support the infant in a position with the infant's knees at 45-60 degrees from the median plane. In particular embodiments, the carrier can be adapted to promote a spread-squatposition.

The carrier can be ergonomic for the wearer as well. A padded waist belt may provide lumbar support and may

cooperate with shoulder straps (that may attach to the same or opposite sides of the carrier) that can form a configurable harness that can position the carrier in a front, side or back carry position while distributing the weight evenly to the wearer. The carrier may be adjusted such that the child is 5 positioned close to the wearer's center of gravity which distributes the child's weight evenly. In some embodiments, the harness may be adjusted so that a majority of the child's

weight is transferred to the wearer's hips.

FIG. 1 is a diagrammatic representation of an adult wearer 10 carrying a child in an adjustable carrier 100. Adjustable

carrying a child in an adjustable carrier 100. Adjustable carrier comprises a main body 110 coupled to a waist belt 115. Main body 110 includes a torso support portion 130 and a bucket seat 125. The torso support portion 130 is configured for supporting the upper body of the child while in the 15 carrier 100. The seat 125 is configured for supporting the legs, hips and posterior of the child in an ergonomic position. As discussed in more detail below, embodiments of adjustable carrier 100 can include various adjustments such that carrier 100 can be adjusted as the child grows to support 20 the child in an ergonomic spread squat position appropriate for the weight or size of the child with the child's pelvis, bottom and thighs all being supported. In an ergonomic spread squat position (also known as the "frog leg", "frog", 'squat spread" or "M" position) (indicated by line 101) the 25 flexion at the hip joint is at least 90° and in some cases is 110° to 120° from the coronal plane, and the spreading angle can average at approximately 45-55° from the median plane. As carrier 100 is adjustable, the angle of the hips and spread can depend on the settings of the carrier 100 and develop- 30 mental stage of the child.

In one embodiment, the carrier can be adapted to support the child in a position with the child's femur approximately 90° to 120° (or other elevated position) from the coronal plane and to position the child's knees with an amount of 35 spreading. The amount of spreading may depend on the developmental stage of the child and orientation with a newborn having less than 30°, then approximately 30°, then approximately 35°-40° and so on so, such that the final spread is approximately 40°-45°, though other amounts of 40 spreading may be achieved including (e.g., for example approximately 55°). In one embodiment, the spreading may be at least 20° degrees from the median plane. The child's weight can be distributed across the child's bottom, thighs and back so that the sacrum does not bear too much weight 45 and the child can rest with a more naturally curved "C" spine in a spread squat position that is believed to be better for pelvic development. It can be noted, however, that the child can be positioned in any comfortable position, preferably emphasizing a supportive posture rather than a posture 50 where the child is primarily sitting on his or her sacrum.

FIG. 2A is a diagrammatic representation of an inside view of one embodiment of an adjustable baby carrier 100 and FIG. 2B is a diagrammatic representation of an outside view of one embodiment of adjustable carrier 100 (FIGS. 2A 55 and 2B are referred to collectively herein as FIG. 2). Carrier 100 comprises a main body 110 coupled to a waist belt 115. Main body 110 includes an upper torso support portion 130, a seat portion 120 and thigh support areas 140. Carrier 100 may also include shoulder straps 190 and a chest strap 195. 60 A child can be supported in a child carrying area created by the main body 110 in cooperation with the wearer's torso. Torso support portion 130 is configured to support upper body of the child while in the carrier while seat portion 120 cooperates with adjustable thigh support areas 140 to form 65 an adjustable bucket seat 125 (FIG. 1) adapted to ergonomically position the child's legs and hips. Waist belt 115 and

shoulder straps 190 provide a harness that distributes the child's weight to the wearer. Chest strap 195 can be used to secure left and right shoulder straps together.

8

Bucket seat portion 120 and thigh support areas 140 are adapted to pass from the outer side of the child carrying area (the side away from the wearer's torso) to inner side to form supportive and adjustable bucket seat 125. Inner end portions of thigh support areas 140 can be selectively coupled to waist belt 115 by base width adjusters 150 that are configurable for adjusting the width and depth of the bucket seat 125. Thigh width adjusters 160 can also be provided to provide additional width adjustment. Thus, the bucket seat 120 can be adjusted to accommodate a range of ages/sizes/weights.

The supportive and adjustable bucket seat 125 can have a generally concave (e.g., "C" shape) inner profile from the inward side to the outward side and from right to left. Seat side edges 142, 144 (formed by the edges of thigh support areas 140) can be higher than the center of the seat and can be spaced such that the side edges pass under and around the child's thighs at a distance from the child's hips such that the child's legs (e.g., above the knee) do not dangle down. In some embodiments, thigh support areas 140 may provide additional support. In particular, in certain embodiments the thigh support areas 140 may include gathers, elastic material or another type of biasing material.

Bucket seat portion 120 comprises a seat center portion 122 that is coupled to waist belt 115 or other portion of carrier 100 at one end and to upper torso support portion 130 at the other end. Seat center portion 122 may be formed from a single piece of material, or may be formed from multiple pieces of material, multiple layers of materials, or multiple materials. The junction between upper torso support portion 130 and seat center portion 122 may be a substantially seamless transition. For example, in one embodiment, a center panel 123 may form seat center portion 122 and an upper torso center panel such that seat center portion 122 and the upper torso center panel comprise a unitary construction of one or more layers of material. In other embodiments, the junction may include seams, edges or other features delineating between upper torso support portion 130 and seat center portion 122.

Thigh support areas 140 are disposed to the left and right of seat center portion 122. Thigh support areas 140 may be selectively coupled to waist belt 115 by base width adjusters 150 such that thigh support areas 140 pass under and around the child's thighs at a distance from the child's hips where the portion of the thigh support areas 140 that pass under and around the child's thighs is higher than the child's bottom so that the child's knees are lifted. The thigh support areas 140 can have sufficient stiffness such that the child's thighs may be encouraged to spread by the thigh support areas 140 or wearer's torso. In one embodiment, thigh support areas 140 provide areas of thigh padding 141 to support the child's thighs.

Base width adjusters 150 may be coupled to respective thigh support areas 140. In one embodiment, base width adjusters 150 may comprise flaps or tabs coupled to thigh support areas 140. In the illustrated embodiment, base width adjusters 150 are coupled to a respective thigh support areas 140 by virtue of being part of the same thigh support straps. However, other configurations may also be used. In any event, base width adjusters 150 can be selectively coupled to waist belt 115 to couple thigh support areas 140 of main body 110 to waist belt 115.

Base width adjusters 150 can be used to adjust the width of the base of main body 110 where it connects to waist belt

9

115. A fastening mechanism 151 of base width adjusters 150, such as a hook and loop material, buttons, snaps, zipper, etc., can cooperate with a corresponding fastening mechanism 117 on waist belt 115 to couple thigh support areas 140 to waist belt 115. The fastening mechanisms 117, 151 are 5 configured such that the base width adjusters 150 may be coupled to the waist belt 115 in multiple positions or throughout a range of positions.

The width of bucket seat 125 proximate to waist belt 115 can be adjusted by changing the position at which base width adjusters 150 are secured to waist belt 115. For example, moving the bottom ends of base width adjusters 150 laterally inboard (rotating base width adjusters 150 inward) decreases the width of main body 110 at the point main body 110 meets waist band 115 and may serve to decrease the width of the 15 bucket seat where thigh support areas 140 pass under the child's thighs. Moving the ends of base width adjusters 150 more laterally outboard (rotating base width adjusters 150 laterally outward) increases the width of the main body 110 where it is coupled to the waist belt 115 and may increase the 20 bucket seat width where the thigh support areas 140 pass under the child's thighs.

Base width adjusters 150 can be used to control the depth of the bucket seat 125. In a minimum (or narrowest) base width setting the base width adjusters 150 may be fastened 25 to the waist belt 115 such that they are maximally proximate one another toward the center axis of the waist belt 115 (given the range or number of positions possible). In this minimum base width setting carrier 100 is configured such that the depth of the seat bucket 125 may be at a maximum. 30 In a maximum (or widest) base width setting, the base width adjusters 150 may be fastened to the waist belt 115 such that they are maximally distal one another away from the center axis of the waist belt 115 (given the range or number of positions possible). In this maximum (or widest) base width 35 setting, carrier 100 is configured such that the depth of the bucket seat 125 may be at a minimum.

Bucket seat portion 120 may include one or more shaping members to facilitate shaping the bucket seat. In one embodiment, bucket seat portion 120 includes gusset por- 40 tions 170 that span the gap between the respective inner edges 146, 148 of thigh support areas 140 and the laterally outer edges 126, 128 of seat center portion 122. Gusset portions 170 may be fastened to seat center portion 122 at or proximate to laterally outer edges 126, 128 and to thigh 45 support areas at or proximate to laterally inner edges 146, 148 to form a first dart having a dart apex 174 generally pointing toward the bottom of the bucket seat 125 and dart legs defined by the connections at or proximate to edges 126, 146 and a second dart having a dart apex 175 and dart legs 50 defined by the connections at or proximate to edges 128,148. The dart legs can be closed or opened to gather or release the darts. In particular, by adjusting base width adjusters 150 to decrease the angle between seat center portion 122 and thigh support areas 140, the dart legs can be closed and darts 55 deepened. Consequently, bucket seat 125 can bulge further and take on a deeper curve. Conversely, adjusting base width adjusters 150 to increase the angle between seat center portion 122 and thigh support areas 140 opens the dart legs and makes the darts formed by gusset portions 170 shal- 60 lower. Consequently, the bucket seat 125 formed by carrier 100 will be shallower. While, in the above embodiment, the shaping members are darts, other shaping mechanisms can be used to control the fullness of bucket seat 125 including, but not limited to pleats, gathers or tucks.

Referring briefly to FIGS. 3A, 3B and 3C (collectively FIG. 3), FIG. 3 illustrates the operation of one embodiment

10

of base width adjusters 150. In FIG. 3, the base width adjusters 150 can be secured to waist belt 115 to either side of the lateral centerline of main body 110 to adjust the width of carrier 100 at thigh support areas 140. In the embodiment illustrated, hook and loop material is used to secure the base width adjusters 150 to waist belt 115 on the side of waist belt 115 sandwiched between waist belt 115 and the wearer. This can increase the hold of the hook and loop material when in use because of the pressure against the base width adjusters 150.

In the embodiment of FIG. 3, each base width adjuster 150 is secured to waist belt 115 in one of three positions 154, 156, 158. These positions may correspond to particular size ranges of children. In FIG. 3A, base width adjusters 150 are secured at positions 154 corresponding to a minimum (or narrowest) base width setting. In FIG. 3B, base width adjusters 150 are secured at positions 156 corresponding to a moderate base width setting. In FIG. 3C, base width adjusters 150 are secured at positions 158 corresponding to a maximum (or widest) base width setting.

It can be noted that base width adjusters 150 as illustrated essentially rotate from a pivot point as they are adjusted. Thus, not only does the lateral position of the attachment position change, the vertical position does as well (e.g., positions 154, 156 and 158 for a base width adjuster 150 are both laterally and vertically displaced from each other). The use of a rotational motion like this provides a greater change in bucket depth for a given lateral change. Other embodiments, however, could use a more linear motion (e.g., in which the attachment positions are horizontally aligned). Furthermore, positions 154, 156 and 158 are provided by way of example. In the embodiment illustrated, base width adjusters 150 can be coupled to fastening mechanism 117 in a continuous range of positions. Other embodiments may provide discrete attachment points.

Referring to FIG. 3A, base width adjusters 150 are fastened to the waist belt 115 such that they are maximally proximate one another toward the center axis of the waist belt 115 (given the range or number of positions possible). However, because laterally inner edges 146, 148 of thigh support areas 140 are drawn close to laterally outer edges 126, 128 (hidden by gusset portion 170) of seat center support part 122, gusset portions 170 form deeply curved darts. Put another way, by fastening base width adjusters 150 to waist belt 115 such that they are maximally proximate one another, the laterally outer edges of gusset portions 170 may be drawn toward the laterally inner edges of gusset portions 170, creating a corresponding greater curve or dart shapes in gusset portions 170. This serves to shape bucket seat portion 120 to increase the depth of the bucket seat portion.

Referring to FIG. 3C, the base width adjusters 150 are secured at positions 158 corresponding to a maximum (or widest) base width setting. In this configuration, the laterally inner edges 146, 148 are rotated away from the respective laterally outer edges 126, 148 of FIG. 3C. In other words, the dart legs are opened to release the darts and create less shape (curve) at the end of the gusset portions 170. By fastening base width adjusters 150 to waist belt 115 such that they are maximally distal one another (again, given the range of possible setting for coupling base width adjusters 150 to waist belt 115), tension may be maintained on outer edges of gusset portions 170 such that gusset portions 170 remain relatively flat. As such, bucket seat portion 120 may be maintained in a relatively flat or less shaped configuration, serving to minimize the depth of the bucket seat 125.

Base width adjusters 150 primarily adjust the width of the seat proximate to waist belt 115. However, moving away

11 12

from waist belt 115, the seat (edges 142, 144) may flare out. With reference to FIG. 2, thigh width adjusters 160 may be provided to adjust the width of the seat away from waist belt 115. In particular, thigh width adjusters 160 may be adapted to adjust the width of the bucket seat where edges 142, 144 5 of thigh supports 140 pass under the child's thighs. Thigh width adjusters 160 can be used to pull in thigh support areas 140 so that thigh support areas 140 do not extend past the child's knee and thus prevent thigh support areas 140 from straightening the child's legs or overspreading the child's 10 legs.

In certain embodiments, thigh width adjusters 160 may be coupled to respective thigh support areas 140 and are configured to adjust the width of the carrier at the level of thigh support areas 140. In accordance with one embodinent, each thigh width adjuster 160 may be a piece of material(s) (webbing or other material) that is coupled at a first end 162 to the respective thigh support area 140 proximate to support portions 141 and includes a second end that can be selectively coupled to main body 110 (e.g., to 20 seat center portion 122 or elsewhere). The thigh width adjusters 160 can act as a drawstring system, one on each side, to adjust the width of carrier 100 at thigh level by pulling the thigh support areas 140 laterally inward and thereby further adjusting the width of carrier 100 at the 25 child's thighs.

Referring briefly to FIGS. 4A, 4B and 4C (collectively FIG. 4) and FIGS. 5A and 5B (collectively FIG. 5), the operation of one embodiment of thigh width adjusters 160 is illustrated. Thigh width adjusters 160 can be selectively 30 coupled to bucket seat portion 120 to pull thigh support areas 140 laterally inward a desired amount. FIG. 4, for example, illustrates the thigh width adjuster 160 secured in three positions corresponding to a narrowest (tightest) setting (FIG. 4A, FIG. 5A), a moderate setting (FIG. 4B) and a 35 widest (loosest) setting (FIG. 4C, FIG. 5B).

In the embodiment illustrated, each thigh width adjuster 160 includes a strip of material that is fastened at first end 162 to the outside of a respective thigh support area 140 proximate to the thigh padding 141 (e.g., near the respective 40 outer edge 142, 144). The thigh width adjuster 160 runs laterally inboard through a fabric tunnel 172 to a distal portion 164 that includes a plurality of spaced thigh width adjuster fasteners 166 (e.g., snaps, buttons, hook and loop, etc.) that can be selectively fastened to a corresponding 45 fastener on bucket seat portion 120 of main body 110.

In FIG. 4A, the thigh width adjuster fastener 166 that is closest to the respective thigh support area 140 is fastened to the corresponding fastener on the bucket seat portion 120. This position corresponds to a narrowest thigh width 50 adjuster setting and, as illustrated in FIG. 5A, thigh support area 140 is gathered inward to decrease the width of carrier 100 at the child's thighs. Conversely, fastening the fastener 166 that is farthest from the respective thigh support area 140 to bucket seat portion 120, as shown in FIG. 4C, may 55 achieve the widest setting of the thigh width adjusters 160. When the thigh width adjusters 160 are in the widest setting position of FIG. 4C, the outer edges of the thigh support areas 140 can spread out as illustrated in FIG. 5B, thus widening carrier 100 at the child's thighs.

As can be understood from the foregoing, the base width adjusters 150 and the thigh width adjusters 160 may work in cooperation to adjust the carrier 100. In accordance with one embodiment, base width adjusters 150 can be used for adjustment of seat depth and provide a gross adjustment of 65 seat width, while thigh width adjusters 160 may serve as granular adjustments for width within the range of gross

adjustment provided by the base width adjusters 150. For example, at a particular setting of the base width adjusters 150 of the carrier 100, the width of the carrier 100 at thigh support areas 140 may be narrowest with the thigh width adjusters 160 at their smallest or narrowest (tightest) setting and largest with the thigh width adjusters 160 at their widest (loosest) setting.

Carrier 100 may also adjust in height based on other settings of carrier 100. In particular, adjusting base width adjusters 150 adjusts the wearable back height (length from bottom of bucket seat 125 to top edge 132). This occurs because the length of the physical carrier material from the top edge 116 of the waist belt 115 at center to the top edge 132 of main body 110 at center remains consistent such that the wearable back height changes depending on the setting of the bucket seat size. A deeper bucket consumes more length of material between edges 116 and 132, thus leaving less measurement for the wearable height On the other hand, a shallower bucket consumes less length of material between edges 116 and 132, thus leaving more measurement for the wearable height.

Thus, adjusted to a smallest child mode (e.g., an infant mode) (base width at its smallest/narrowest setting) the bucket seat 125 may be deeper consuming more of the carrier length measurement, thus leaving less measurement for the wearable height (length from bottom of bucket seat 125 to top edge of carrier center panel 123 at center is at its shortest height). Adjusted to a largest child mode (e.g., a toddler mode) (base width at its largest/widest setting) the bucket seat 125 is shallow consuming less of the carrier length measurement, thus leaving more measurement for the wearable height (length from bottom of bucket seat 125 to top edge of carrier center panel 123 at center at its longest height). The carrier thus adjusts to the height of the child based on adjustment to the bucket seat.

Carrier 100 may be adjusted to provide ergonomic support for the child regardless of the size of the child through a supported range. In accordance with one embodiment, carrier 100 can be set for an infant with base width adjusters 150 and thigh width adjusters 160 set at their narrowest settings. In this configuration, the bucket seat will be at its deepest with higher walls at the thigh support areas 140 lifting the child's thighs and knees to a greater angle and into a spread squat position appropriate for that size child. Similarly, carrier 100 can be set for the largest child with the base width adjusters 150 and the thigh width adjusters 160 at their widest settings. In this configuration, the bucket seat may be at its shallowest depth with lower walls at the thigh support areas 140 lifting the child's thighs and knees to a lesser angle and into a spread squat position appropriate for a larger sized child.

Thus, the adjustable bucket seat is configurable in a plurality of configurations having different seat bucket depths and seat bucket widths. The different configurations can be adapted to support a child in a corresponding size range in a spread squat position. For example, in one embodiment, bucket seat can have a first configuration adapted to ergonomically carry a child of 20-24 inches (generally corresponding to an infant of 0-3 months and over 7 pounds) in a spread squat position appropriate for the infant without requiring an infant insert. Furthermore, the carrier can have a second configuration adapted to ergonomically carry a child of 24-28 inches (generally corresponding to an older baby of 3-9 months) in a spread squat position appropriate for that child's size. In addition, the carrier, in this example, can have a third configuration adapted to ergonomically carry a child of 28 inches or

greater (generally corresponding to an older baby or toddler of 9-48 months (up to the carrying capacity of the carrier or the wearer)). The first configuration can correspond to the base width being at the narrowest setting (deepest bucket seat) (an infant mode), the second configuration can corre-

spond to the base width being at a moderate setting and the third configuration can correspond to the base width being at a widest setting (shallowest bucket seat) (a toddler mode). It can be noted that the ranges provided above are provided by way of example and not limitation. Furthermore, the seat 10

13

may have other configurations.

The user can thus adjust the bucket seat 125 to support the child in an ergonomic spread squat position appropriate for the weight or size of the child with the child's pelvis, bottom and thighs all being supported. The child's weight can be 15 supported so that the child is squatting in the seat rather than sitting with the child's weight primarily on the sacrum. The child can be supported with the knees higher than the bottom, in some cases higher than 90 degrees. The bucket seat 125 can be adjusted to form a sling or pouch that is 20 wider than the child's hips in which the child's bottom is supported. The thigh support areas 140 can be adjusted pass under and around the child's thighs at a distance from the child's hips such that the portions of thigh support areas 140 that pass under and around the child's thighs are higher than 25 the child's bottom to lift the child's knees. The thigh support areas 140 can have sufficient stiffness to encourage the child's thighs to spread by the thigh support straps or wearer's torso.

FIGS. 6A, 6B and 6C (collectively FIG. 6) are diagram- 30 matic representations of one embodiment of carrier 100 adjusted to accommodate various sized children. FIG. 6A corresponds to the minimum base width setting of FIG. 3A, FIG. 6B corresponds to a moderate base width setting of FIG. 3B and FIG. 6C corresponds to the maximum base 35 width setting of FIG. 3C. Through adjustment of base width adjusters 150 and thigh width adjusters 160, the width of bucket seat 125 and the depth of bucket seat 125 (indicated by depth 202) can be configured. Furthermore, because the length of material of carrier 100 available to support the 40 back depends on the depth of bucket seat 125, adjusting base width adjusters 150 also adjusts the minimum wearable height 204 of carrier 100. As illustrated in FIG. 6, the wearable height 204 of carrier 100 increases with decreasing bucket depth.

With all settings set for a small baby, the seat center portion 122, gusset portions 170 and thigh support areas 140 cooperate to form a deep bucket seat 125 as illustrated in FIG. 6A. The deep bucket seat 125 with higher walls at the thigh (under the knee) tends to lift the child's knees (indi- 50 cated by line 210) to the appropriate spread squat position and promotes rounding of the back into a c-shape (indicated by line 212). Moreover, a deeper bucket seat 125 shortens the wearable height **204**. Thus, the configuration of FIG. **6**A may be suitable for infants. As the child grows, the child's 55 spine should naturally straighten and the child will require less knee support. Base width adjusters 150 and thigh width adjusters can be adjusted to widen bucket seat 125 and provide additional back support length to support the child's lengthening spine. As shown in FIG. 6B and FIG. 6C, for 60 example, carrier 100 the bucket seat 125 may be adjusted to provide less knee lift, but enough to maintain an appropriate spread squat position (e.g., for an older baby in FIG. 6B and for a toddler in FIG. 6C) and allow the child to rest with a straighter back.

Returning to FIG. 2, carrier 100 may also include an adjustable neck support 180. Adjustable neck support 180

14

may be extended to increase the center height of carrier 100, giving additional back or neck support for a child (depending on the size of the child). The neck support 180 may also be folded back away from the wearer to reduce the height of the carrier (e.g., for non-infant children). The neck support 180 may also be folded down toward the wearer such that it may reside inside the child carrying area to give an infant or other child additional head or neck support. The size, shape and position of neck support 180 can be selected so that neck support 180 will fit behind and support the average infant's neck when neck support 180 is folded into the carrier.

Complementary extended position securing mechanisms and complementary non-extended position securing mechanisms such as, but not limited to, buttons, snaps, d-rings and clips or hooks, patches of hook and loop material or other securing mechanism, can be provided so that adjustable neck support 180 can be secured in an extended position or folded back and secured in a non-extended position.

FIGS. 7A, 7B and 7C illustrate one embodiment of adjustable neck support 180 in an inside folded down configuration, an extended configuration and an outside folded down configuration respectively. In the inside folded down position of FIG. 7A, adjustable neck support 180 can be adapted to partially fill the inside of the carrying area of carrier 100 to give infants with insufficient head control more head and neck support (see also FIG. 6A). Adjustable neck support 180 can also be configured in the outside folded down configuration of FIG. 7B to provide additional volume in the carrier as the child grows (see also FIGS. 6B and 6C). Neck support 180 can be configured in the extended mode (flipped up) as illustrated in FIG. 7C to increase the center back length, giving additional back support for toddlers or head and neck support for non-infant babies. Neck support 180 may be positioned according to the size of the child, or other criteria.

According to one embodiment, adjustable neck support 180 may be joined to main body 110 proximate to top edge 132. The coupling may form a generally horizontal hinge that allows adjustable neck support 180 to flip over edge 132 from the inside folded down configuration to the outside folded down configuration. In the embodiment illustrated, adjustable neck support 180 may be secured in the inside folded down configuration using first set of neck support fasteners 182 and may be secured in the extended configuration using a second set of neck support fasteners 184 located above the first set of neck support fasteners 182. Preferably, but not necessarily, the neck support fasteners are located on the outside of main body 110.

With reference again to FIG. 2, shoulder straps 190 can be configured to form a loop and attach on either side of the lateral centerline of carrier 100. In other embodiments, shoulder straps may be worn in an "x" configuration. Each shoulder strap 190 may connect to upper torso support portion 130 at one or more locations to pull upper torso support portion 130 toward the wearer. A shoulder strap may also couple to main body 110 of carrier 100 above thigh support areas 140 or other portion of carrier 100 on the same side, or an opposite side, of the centerline where the shoulder strap 190 is coupled to the upper torso support portion 130. Shoulder straps 190 may be adjustable and, in some cases, can be re-configured to support multiple carrier positions, such as a front carry, side carry position (hip carry) or back carry position.

Waist belt 115 may have a lumbar support portion 119 and be configured to rest on the wearer's hips. Preferably, the harness is configured so that the child's weight is evenly

15
hins and shoulders and even more are true

distributed to the wearer's hips and shoulders and even more preferably such that the child's weight is distributed evenly to the wearer's hips and shoulders and in some cases primarily to the wearer's hips rather than shoulders. In some cases, 70 percent or more of the child's weight can be 5 distributed to the wearer's hips through waist belt 115, thereby promoting wearer comfort and diminishing wearer fatigue.

In accordance with one aspect of the present disclosure, carrier 100 can be a soft structured carrier that incorporates padding, stitching and fabrics to provide structure. Main body 110, including upper torso support portion 130, bucket seat portion 120, thigh supports 140 and thigh width adjusters 150 can be flexible and can be formed primarily of natural or synthetic fibers without a rigid frame. As would be 15 understood by a person of ordinary skill in the art, however, some components, such as buckles, fasteners, etc. of a soft structured carrier may be formed of hard plastics, metals and the like.

Carrier 100 may include one or more panels formed from 20 a single piece of material or multiple pieces of material, multiple layers of materials, or multiple materials. For example, in some embodiments, upper torso support portion 130 may be formed with an inner layer selected for comfort against a child's skin and an outer layer selected for breath- 25 ability, fashion, stain resistance, etc. Upper torso support portion 130 may have straight edges, tapered edges for an area of increased width or decreased width, or otherwise configured for comfort or security of a child or a user. Similarly, bucket seat portion 120 may include one or more 30 panels formed from a single piece of material, or may be formed from multiple pieces of material, multiple layers of materials, or multiple materials. The junction between upper torso support portion 130 and bucket seat portion 120 may be a substantially seamless transition. In one embodiment, 35 the center of upper torso support portion 130 and center of bucket seat portion 120 may be formed from a unitary center panel 123 (of one or more layers) attached to side panels that form the laterally outer portions of upper torso support portion 130 and thigh support areas 140. Inner layers may be 40 selected for comfort against a child's skin and outer layers selected for breathability, fashion, stain resistance, etc. In some embodiments, the center portion may be selected for comfort and lateral portions selected for breathability, security, etc.

It can be noted that carrier 100 may support a number of carrying positions. FIG. 1, for example, is a diagrammatic representation of one embodiment of an adult wearer carrying a child in an inward facing front carry position. FIG. 8 is a diagrammatic representation of one embodiment of an adult wearer carrying a child in carrier 100 in an inward facing back wearing configuration. FIG. 9 is a diagrammatic representation of one embodiment of an adult wearer carrying a child in carrier 100 in a side (hip) wearing configuration.

As used herein, the terms "comprises," "comprising," "includes," "including," "has," "having" or any other variation thereof, are intended to cover a non-exclusive inclusion. For example, a process, article, or apparatus that comprises a list of elements is not necessarily limited to only those 60 elements but may include other elements not expressly listed or inherent to such process, article, or apparatus. Further, unless expressly stated to the contrary, "or" refers to an inclusive or and not to an exclusive or. For example, a condition A or B is satisfied by any one of the following: A 65 is true (or present) and B is false (or not present), A is false (or not present) and B is true (or present), and both A and B

are true (or present). As used herein, a term preceded by "a" or "an" (and "the" when antecedent basis is "a" or "an") includes both singular and plural of such term, unless clearly indicated otherwise (i.e., that the reference "a" or "an" clearly indicates only the singular or only the plural). Also, as used in the description herein and throughout the meaning of "in" includes "in" and "on" unless the context clearly dictates otherwise.

16

Additionally, any examples or illustrations given herein are not to be regarded in any way as restrictions on, limits to, or express definitions of, any term or terms with which they are utilized. Instead, these examples or illustrations are to be regarded as being described with respect to one particular embodiment and as illustrative only. Those of ordinary skill in the art will appreciate that any term or terms with which these examples or illustrations are utilized will encompass other embodiments which may or may not be given therewith or elsewhere in the specification and all such embodiments are intended to be included within the scope of that term or terms. Language designating such nonlimiting examples and illustrations include, but is not limited to: "for example," "for instance," "e.g.," "in one embodiment."

Reference throughout this specification to "one embodiment", "an embodiment", or "a specific embodiment" or similar terminology means that a particular feature, structure, or characteristic described in connection with the embodiment is included in at least one embodiment and may not necessarily be present in all embodiments. Thus, respective appearances of the phrases "in one embodiment", "in an embodiment", or "in a specific embodiment" or similar terminology in various places throughout this specification are not necessarily referring to the same embodiment. Furthermore, the particular features, structures, or characteristics of any particular embodiment may be combined in any suitable manner with one or more other embodiments. It is to be understood that other variations and modifications of the embodiments described and illustrated herein are possible in light of the teachings herein and are to be considered as part of the spirit and scope of the invention.

In the description herein, numerous specific details are provided, such as examples of components and/or methods, to provide a thorough understanding of embodiments of the invention. One skilled in the relevant art will recognize, however, that an embodiment may be able to be practiced without one or more of the specific details, or with other apparatus, systems, assemblies, methods, components, materials, parts, and/or the like. In other instances, wellknown structures, components, systems, materials, or operations are not specifically shown or described in detail to avoid obscuring aspects of embodiments of the invention. While the invention may be illustrated by using a particular embodiment, this is not and does not limit the invention to any particular embodiment and a person of ordinary skill in the art will recognize that additional embodiments are readily understandable and are a part of this invention.

It will also be appreciated that one or more of the elements depicted in the drawings/figures can also be implemented in a more separated or integrated manner, or even removed or rendered as inoperable in certain cases, as is useful in accordance with a particular application. Additionally, any signal arrows in the drawings/Figures should be considered only as exemplary, and not limiting, unless otherwise specifically noted.

The representative embodiments, which have been described in detail herein, have been presented by way of example and not by way of limitation. It will be understood by those skilled in the art that various changes may be made

US 10,426,275 B2

17

in the form and details of the described embodiments resulting in equivalent embodiments that remain within the scope of the appended claims.

What is claimed is:

- 1. A child carrier comprising:
- a waist belt adapted for securing about a wearer's hips;
- a main body coupled to the waist belt, the main body adapted to form a child carrying area in cooperation with a wearer's torso, the main body comprising:
 - a torso support portion configured for supporting at 10 least of the torso of a child; and
 - an adjustable bucket seat configurable in a plurality of bucket seat configurations, each of the plurality of bucket seat configurations having a different a) bucket seat depth and b) bucket seat width and 15 adapted to support a child in a corresponding size range in a spread squat position, the adjustable bucket seat comprising:
 - a seat center portion coupled to the waist belt and torso support portion;
 - thigh supports disposed on either side of the seat center portion the thigh supports adapted to pass under and support a child's thighs and cooperate with the seat center portion to form the bucket seat; and
 - a base width adjuster coupled to each thigh support, wherein the base width adjusters are configured for selective coupling to the waist belt at multiple locations to adjust a width of the main body at the waist belt.
- 2. The child carrier of claim 1, wherein the plurality of bucket seat configurations comprises:
 - a first configuration adapted to support a child in a first size range in a first spread squat position; and
 - a second configuration adapted to support a child in a 35 second size range in a second spread squat position,
 - the first configuration having a first bucket seat width and first bucket seat depth and the second configuration having a second bucket seat width and a second bucket seat depth, wherein the first bucket seat width is less 40 than the second bucket seat width and the first bucket seat depth is greater than the second bucket seat depth.
- 3. The child carrier of claim 1, further comprising one or more adjustment areas adapted to adjust the bucket seat depth and the bucket seat width.
- 4. The child carrier of claim 1, wherein the child carrier comprises a set of thigh width adjusters, wherein the base width adjusters are adapted to provide gross bucket seat width control and the set of thigh width adjusters are adapted to provide more granular bucket seat width control.
- 5. The child carrier of claim 1, wherein the child carrier has a minimum wearable height that is dependent on the bucket seat depth.
- **6**. The child carrier of claim **1**, wherein the base width adjusters are configured for selective coupling to the waist 55 belt in multiple locations to adjust the bucket seat depth.
- 7. The child carrier of claim 6, further comprising one or more fabric shaping members adapted to control a bulge of the bucket seat.
- 8. The child carrier of claim 7, wherein the fabric shaping 60 members comprise darts disposed between the thigh supports and the seat center portion, the darts adapted to open or close responsive to adjustment of the base width adjusters.
- **9**. The child carrier of claim **8**, wherein the base width 65 adjusters are configurable in a first setting corresponding to a maximum bucket seat depth and a second setting corre-

18

sponding to a minimum bucket seat depth, wherein the darts have a first shape corresponding to the first setting and a second shape corresponding to the second setting.

- 10. The child carrier of claim 8, wherein the seat center portion comprises laterally outer edges and the thigh supports comprise laterally inner edges, wherein the darts are disposed between the laterally outer edges and the laterally inner edges.
- 11. The child carrier of claim 10, wherein the base width adjusters are adjustable through rotation to rotate the laterally inner edges relative to the laterally outer edges to open or close the darts.
- 12. The child carrier of claim 6, wherein adjustment of the bucket seat depth adjusts a minimum wearable height of the child carrier.
- 13. The child carrier of claim 6, further comprising thigh width adapters coupled to the thigh supports, the thigh width adapters configured to adjust the width of the bucket seat.
- 14. The child carrier of claim 1, further comprising a neck support configurable in an inside folded down position in which the neck support is positioned in the child carrying area to support a child's neck.
- 15. The child carrier of claim 14, wherein the neck support is further configurable in an extended folded up position and an outside folded down position.
- 16. The child carrier of claim 1, wherein the plurality of bucket seat configurations comprises a configuration adapted to support an infant in a spread squat position without an infant insert.
- 17. The child carrier of claim 16, wherein the plurality of bucket seat configurations comprises a configuration adapted to support a toddler in a spread squat position.
 - 18. A child carrier comprising:
 - a waist belt adapted for securing about a wearers hips;
 - a main body coupled to the waist belt, the main body adapted to form a child carrying area in cooperation with a wearer's torso, the main body comprising:
 - a torso support portion configured for supporting at least of the torso of a child;
 - an adjustable bucket seat configurable in a plurality of bucket seat configurations, each of the plurality of bucket seat configurations having a different a) bucket seat depth and b) bucket seat width and adapted to support a child in a corresponding size range in a spread squat position; and
 - a set of base width adjusters and a set of thigh width adjusters, wherein the set of base width adjusters are adapted to provide gross bucket seat width control and the set of thigh width adjusters are adapted to provide more granular bucket seat width control.
- 19. The child carrier of claim 18, further comprising thigh supports disposed on either side of a seat center portion, the thigh supports adapted to pass under and support a child's thighs and cooperate with the seat center portion to form the bucket seat; and
 - wherein each thigh width adjuster in the set of thigh width adjusters comprises a thigh width adjuster strap having first end coupled to a respective thigh support and a second end adapted to selectively couple to the main body at multiple positions to adjust width of the bucket seat at the child's thighs.
- 20. The child carrier of claim 19, wherein the set of base width adjusters comprises a base width adjuster coupled to each thigh support, the set of base width adjusters adapted to selectively couple to the waist belt to adjust a width of the main body at the waist belt.

* * * * *

EXHIBIT B

Amazon Patent Evaluation Express Agreement

This Amazon Patent Evaluation Express (APEX) Agreement ("Agreement") is between the Patent Owner (or Patent Owner's authorized representative) listed in Exhibit 1 and the Seller or Sellers (or their authorized representative(s)) listed in Exhibit 2 (collectively, "Participants").

Amazon.com, Inc. ("Amazon") has developed the APEX Procedure ("Procedure") for owners of United States utility patents to obtain an evaluation of their patent infringement claims against products offered by third-party sellers on amazon.com ("Evaluation"). By executing this agreement, the Patent Owner represents and warrants that it owns or has the right to enforce the patent identified in Exhibit 1 ("Patent"), and asserts that listings identified by the Amazon Standard Identification Numbers ("ASINs") in Exhibit 1 ("Products") infringe the patent claim identified in Exhibit 1.

By respectively executing Exhibits 1 and 2, Patent Owner and Seller agree as follows:

- 1. Following the Evaluation Procedure. Patent Owner and Seller have reviewed and agree to comply with the Procedure, which is incorporated herein by reference. Patent Owner agrees to accurately complete Exhibit 1, and Seller agrees to accurately complete Exhibit 2. Both Exhibits 1 and 2 are incorporated herein by reference.
- 2. Confidentiality; No Discovery. Participants agree not to disclose to third parties information or documents learned from other Participants, Amazon, or Evaluator in the Evaluation, except to their respective affiliates, legal counsel or as required by law; provided, however, that the fact that an Accused Product and ASIN (identified in Exhibit 1) was either removed or not as a result of an Evaluation, and the identity of the patent claim in that Evaluation, shall not be considered confidential. Participants agree that receipt or disclosure of any information relating to patents in APEX may not be used in court or any agency proceeding to establish notice of patent infringement, knowledge of any patent, or to establish damages. Participants agree not to seek discovery from other Participants, Amazon, or Evaluator relating to the Evaluation in any litigation, arbitration, or agency proceeding.
- **3. Waiver of Claims.** Participants acknowledge and agree that neither Amazon nor Evaluator shall be liable for any claims arising out of the Procedure or Evaluation, and Participants hereby waive any claims (including claims that are unknown or are based on activities that have not yet occurred) against Amazon or Evaluator relating to the Procedure or Evaluation; provided that, the foregoing shall not be deemed to waive any rights or claims of a Participant to receive a refund of amounts paid by a Participant that should be returned to a Participant pursuant to the rules of the Procedure. Participants agree that Amazon's liability to any Participant relating to the Evaluation is limited to any payment made by that Participant to the Evaluator. Participants agree not to sue Amazon or its affiliates for infringement of the Patent with respect to the ASINs listed on Exhibit 1 or materially identical products. Nothing in this Agreement shall limit a Participant's ability to sue any Seller or other third party for infringement of the Patent.
- **4. Updating Participant's Information.** Contact during the Evaluation will occur through the email addresses listed in Exhibits 1 and 2. It is each Participant's responsibility to ensure that its email address and other information in Exhibits 1 and 2 remain accurate and current.
- **5. General Matters.** This Agreement shall be governed by the laws of the state of Washington, USA, and Participants agree to the jurisdiction and venue of the federal and state courts located in King County, Seattle, Washington. Any dispute regarding this Agreement may be submitted to the Evaluator, and if not resolved by the Evaluator may only be resolved by Amazon, in its sole discretion. Participants may not assign their rights or obligations under this Agreement. This Agreement does not create any partnership or any fiduciary relationship between or among the Participants, the Evaluator and Amazon. No third party is intended to be a beneficiary of this Agreement, except that the parties agree and acknowledge that the Evaluator and Amazon are third- party beneficiaries of Sections 2 and 3 of this Agreement. This Agreement and the APEX Procedure are the entire agreement for the Evaluation and supersede any prior agreements related to the Evaluation.

Exhibit 1: Patent Owner-Supplied Information

Patent Owner name: The Ergo Baby Carrier, Inc.

Patent Owner physical address: The Ergo Baby Carrier, Inc., 19700 S. Vermont Ave., Suite 250, Torrance, CA 90502

Names of any corporate parents, subsidiaries, or other entities related to Patent Owner: Ergo Baby Intermediate Holding Corporation, which is a wholly owned subsidiary of Ergo Baby Holding Corporation, which is a wholly owned subsidiary of EBP Lifestyle Brands Holdings, Inc. Compass Group Diversified Holdings, LLC owns 10% of more of the stock of Ergo Baby Carrier, Inc.

Name of individual contact for Patent Owner or Patent Owner's authorized representative: Jennifer Bailey

Is Patent Owner registered in Amazon's Brand Registry? If yes, please identify the brand(s) registered in Brand Registry: Yes, ErgoBaby

Email Address for contact (this email address will be used by the Evaluator and Amazon for communications related to the Evaluation): apexhandling@eriseip.com

United States utility patent number ("Asserted Patent") for Evaluation: 10,426,275

Patent Claim number for Evaluation: 1

Amazon Standard Identification Numbers (ASINs) of Accused Products:

B0CDQ2KVJD	B0D97H4W9Q	B0CPYFGFPS	
B0CLGG2PV2	B0CDQ1ZLJ9	B0CPYD5C7H	
B0D97KQR1Z	B0CLGCLYYF	B0CDQ1XH6W	
B0CLGCN5ZS	B0CLGC1MTX		

Signature:	Gennefee C. Bailey
Name:	Jennifer Bailey
Title:	Patent Owner's Representative
Date:	9/13/2024

Exhibit 2: Seller-Supplied Information

Seller name	:								
Seller physical address:									
Names of any corporate parents, subsidiaries, or other entities related to Seller:									
Name of individual contact for Seller or Seller's authorized representative:									
Email Address for contact (this email address will be used by the Evaluator and Amazon for communications related to the Evaluation):									
Amazon Standard Identification Numbers (ASINs) of Accused Products for which Seller will participate in the Evaluation:									
Signature:					<u>.</u>				
Name:									
Title:									
Dato									

EXHIBIT C

发件人: patent-evaluation@amazon.com <patent-evaluation@amazon.com>

发送时间: 2024年9月20日15:37

收件人: sichuangtiancheng_us@outlook.com < sichuangtiancheng_us@outlook.com >

主题: RE:[CASE 16044206971] Notice: Amazon Patent Evaluation Express Program - Action Required

Hello,

We received a report from a patent owner who believes the items listed at the end of this email infringe their U.S. Patent No. 10,426,275.

If you wish to continue selling the items listed at the end of the email, you have two choices.

First, you can choose to resolve your claim with the patent owner directly within the next three weeks. If we receive a retraction from the patent owner within the next three weeks, we will allow you to continue selling the items listed at the end of this email. The patent owner's contact information is as follows:

The Ergo Baby Carrier, Inc.

APEXhandling@eriseip.com

The Ergo Baby Carrier, Inc., 19700 S. Vermont Ave., Suite 250, Torrance, CA 90502

If the patent owner agrees to retract their complaint, they must send the retraction directly to us at patent-evaluation@amazon.com. Forwarded retractions will not be accepted. Another option is to resolve the claim with the patent owner in a federal district court case. If you are already engaged in a patent lawsuit with the patent owner, or if you file a lawsuit against the patent owner for declaratory judgment of non-infringement of the asserted patent, please provide us with a copy of the relevant complaint within the next three weeks, and you may continue selling the items listed at the end of the email while the lawsuit proceeds.

Second, you can choose to participate in neutral evaluation of the patent owner's claim. Amazon's neutral evaluation procedure is described in the attached document titled "Amazon Patent Evaluation Express Procedure." Please read this document carefully and note that payment of a deposit is required. If you choose to participate in the neutral evaluation, you must agree to the attached Amazon Patent Evaluation Express Agreement, complete Exhibit 2 of the Agreement, "Seller-Supplied Information," and return the completed Agreement to patent-evaluation@amazon.com within three weeks.

Please note that participation in the evaluation process does not guarantee that you will be able to continue to sell the items listed at the end of this email following the evaluation. If the evaluator decides that the items likely infringe, we intend to remove them from Amazon.com. If, however, the evaluator decides the items likely do not infringe, we will not remove your listings from

Case 2:24-cv-01649 Document 1 Filed 10/10/24 Page 45 of 51

Amazon.com, and your deposit may be refunded in part or in full.

If you do not either resolve your claim with the patent owner directly, or agree to participate in the neutral evaluation process, we will remove the listings at the end of this email from Amazon.com.

To learn more about this policy, search for "Intellectual Property Violations" in Seller Central Help.

ASIN: B0CPYD5C7H

B0CDQ2KVJD

B0CLGG2PV2

B0CLGCN5ZS

B0CDQ1ZLJ9

BOCLGCLYYF

B0CLGC1MTX

BOCPYFGFPS

B0CDQ1XH6W

Infringement type: Patent Patent Number: 10,426,275

Case ID: 16044206971

You can learn more about your account health in the Performance section of Seller Central (https://jpn01.safelinks.protection.outlook.com/?url=https%3A%2F%2Fsellercentral.amazon.com% 2Fgp%2Fseller-rating%2Fpages%2Fperformance-summary.html&data=05%7C02%7C%7C1728db4fc5024ca655c608dcd98a1903%7C84df9e7fe9f640 afb435aaaaaaaaaa%7C1%7C0%7C638624434827692344%7CUnknown%7CTWFpbGZsb3d8eyJW ljoiMC4wLjAwMDAiLCJQljoiV2luMzliLCJBTil6lk1haWwiLCJXVCl6Mn0%3D%7C0%7C%7C%7C&sdat a=Yo761euw52N6ehcPKL6RABYs3fVkd5Al5YFHqZjSNF8%3D&reserved=0).

Neutral Patent Evaluation Team Amazon.com

__

EXHIBIT D

Amazon Patent Evaluation Express Procedure

To efficiently resolve claims that third-party product listings infringe utility patents, Amazon offers a simple, low-cost procedure called Amazon Patent Evaluation Express (APEX). APEX is voluntary, confidential, and allows owners of U.S. utility patents or their authorized representatives, such as attorneys or exclusive licensees ("Patent Owner") to obtain a fast evaluation of patent infringement claims against products ("Accused Products"), identified by Amazon Standard Identification Number, listed by third-party sellers ("Sellers") on amazon.com ("Evaluation"). In APEX, a neutral evaluator reviews a patent infringement claim against third-party product listings on amazon.com. The evaluator will make a yes/no decision about whether the patent covers the product listings. The evaluator may decide that the patent does not cover the product listings because: they do not infringe (i.e., include all elements of the asserted claim); a court has found the patent invalid or unenforceable; or the accused products (or physically identical products) were on sale more than one year before the earliest effective filing date of the patent. Amazon will comply with the evaluator's decision pending any litigation or settlement between the patent owner and Sellers, or other legal proceedings that may impact the patent. If there is litigation pending on a patent subject to a proposed or pending Evaluation, Amazon may decide not to initiate or suspend an Evaluation until the completion of that litigation.

If an evaluator concludes that an Accused Product is covered by a patent, Amazon will remove that Accused Product from www.amazon.com. The cost of the Evaluation is \$4,000 and covers the Evaluator's expenses; Amazon will not retain any portion of the cost. The cost will be paid by the participant(s) losing the Evaluation in the event an Evaluation actually occurs.

- 1. Starting an Evaluation. To request an Evaluation, a Patent Owner submits an APEX Agreement ("Agreement") to Amazon, with all information requested in its Exhibit 1. Amazon then sends that Agreement to each Seller listing Accused Products and gives each the option of: (i) executing and returning the Agreement within three weeks, with all information requested in its Exhibit 2; or (ii) having their listings on Accused Products removed from www.amazon.com. If a Seller does not participate in the Evaluation or does not comply with the Agreement, Amazon intends to remove its listings of Accused Products. After receiving a completed Agreement from one or more Sellers, Amazon will use the information in Exhibits 1 and 2 of the Agreement to select a neutral individual from a list of attorneys experienced in U.S. patent disputes ("Evaluator"). It is critical that each of Exhibits 1 and 2 be filled out completely, including with the full corporate names of each Participant, as well as all of their related companies, so that Amazon can select a neutral evaluator.
- **2. Payment and Schedule.** Once an Evaluator is selected, Amazon will contact the Patent Owner and each Seller with instructions to wire \$4,000 to the Evaluator. When wiring the \$4,000, a party should identify in the wire transmittal the evaluation number and the name of the Participant in the evaluation for which the payment is being made. Each Participant should also email the Evaluator with the confirmation number for the wire once it has been sent. If the Patent Owner does not submit \$4,000 to the Evaluator within one week, no Evaluation will occur and any money submitted by Sellers will be returned. If a Seller does not submit \$4,000 within one week, the Evaluator will notify Amazon, who will then remove that Seller's listings of Accused Products. If no Seller submits \$4,000, Amazon will remove all Sellers' listings of the Accused Products and the Evaluator will return the Patent Owner's payment.
- **3. Submission of Written Arguments**. After the Patent Owner and a Seller have timely submitted \$4,000, the Evaluator will set a schedule for submission of written arguments ("Schedule"). In general, the Schedule will provide: (i) the Patent Owner with 14 days for its initial arguments; (ii) Sellers with 14 days to respond; and (iii)

the Patent Owner with 7 days to reply. Modifications to the schedule can be requested for good cause only. The Patent Owner may use a total of 20 double-spaced 8.5 x 11" pages between its two submissions. Each Seller may use 15 double-spaced pages in its response. Claim charts and exhibits are not counted against page limits. Each submission must be in English and emailed to the Evaluator and to the opposing Participants in the same email; physical exhibits cannot be submitted. Failure to timely make a submission by a Participant will generally result in a finding by the Evaluator against that Participant and forfeiture of its payment, except that the Patent Owner may waive reply. In appropriate circumstances, the Evaluator may request that the Participants answer discrete questions that will aid the Evaluator in the Evaluator's determination, and the Evaluator may extend the schedule and briefing limits modestly to enable the Participants to answer such questions.

4. Evaluation is a Limited Procedure. To make the Evaluation fast, efficient, and relatively low-cost, it is limited to one claim from one unexpired U.S. utility patent. Design, non-U.S., and expired patents are not eligible. The Patent Owner may include up to 20 ASINs in an Evaluation. If a Patent Owner lists more than 20 ASINs in Exhibit 1 to the Agreement, Amazon may in its sole discretion reduce the Patent Owner's list to 20 ASINs.

Amazon or the Evaluator may exclude ASINs of Accused Products not physically identical for purposes of the Evaluation. When excluding ASINs, the Evaluator will notify Amazon as well as the Patent Owner and implicated Sellers of the excluded ASINs, while also giving the Patent Owner and the implicated Sellers a time deadline and parameters for submitting their respective arguments for and against inclusion of the excluded ASINs. Each of the Patent Owner and Sellers have the option, in their sole discretion, whether or not to submit their respective arguments. The Evaluator will consider submitted arguments, if any, in deciding finally on excluding the ASINs. The Evaluator will communicate to the Participants whether any ASINs have been excluded and if so, which ones.

If Evaluator's exclusion of ASINs results in any of the Sellers having no remaining products in the Evaluation, the Evaluator will return those Sellers' \$4000 payments, those Sellers will no longer be Participants in the Evaluation, and the Evaluator will not consider those Sellers' respective responsive arguments, if any.

The Evaluation will address only Accused Products sold solely by third-party sellers on www.amazon.com. The Evaluator will consider whether a Product likely infringes. The process is intended to evaluate the Accused Products in the listings on the date ("Complaint Date") that the Patent Owner executed the Evaluation Agreement. Any manipulation of the Accused Products, or any manipulation of the listings on amazon.com for Accused Products, after the Complaint Date by a participating Seller will be grounds for the Evaluator to find for the Patent Owner and/or for Amazon to remove the listing of that Seller. Any Seller making such a change may lose all (or part, if there is more than one losing Seller) of its \$4,000 in accordance with Section 6, below.

Amazon reserves the right to terminate an Evaluation at any time, if Amazon determines that a participant has acted improperly in connection with that Evaluation. Such conduct may include: failure to follow this Procedure; failure to abide by the terms of the Agreement; incorrectly filling out an Agreement; providing false information to Amazon or the Evaluator; or any other action that Amazon determines is fraudulent or a misuse of the Evaluation procedure. In addition to terminating an Evaluation for such conduct, Amazon may take down ASINs, prohibit future sales of products, prohibit future filings of Evaluations, and encourage an Evaluator to enter a decision against that participant.

If more than four Sellers opt in and make the required \$4,000 deposit in a single Evaluation case, the Evaluator will give the Patent Owner the option of: (i) limiting the Evaluation so that it applies to no more than four Sellers; or (ii) making one or more additional payments to the Evaluator so that multiple concurrent Evaluations can take v230202

place, each with no more than four Sellers. If the Patent Owner decides to limit the Evaluation to four Sellers and not to proceed in concurrent evaluations against the remaining sellers that opted in and made timely deposits, the Evaluator will return the \$4,000 payments to those Sellers who have not been chosen by the Patent Owner to continue in the Evaluation. The Evaluation will then proceed with four sellers. At the completion of the Evaluation, the Patent Owner may institute one or more additional Evaluations against the other Sellers that opted in, which Amazon intends to have proceed with the same Evaluator as the first Evaluation to minimize the chances of inconsistent results. If the Patent Owner decides to conduct Evaluations against more than four Sellers, the Patent Owner must pay to the Evaluator \$4,000 for each additional Evaluation that will be conducted. Once those payments have been made, the Evaluations will be conducted generally in parallel, with the Evaluator setting a schedule for each and limiting communications for each Evaluation to the Patent Owner and the Sellers involved in that Evaluation. If the Patent Owner does not make an election within one week of notice from the Evaluator that more than four sellers have opted in of whether to proceed against only four Sellers or to conduct multiple Evaluations, no Evaluation will proceed and the Evaluator will return the payments of all parties.

Only two defenses other than non-infringement based on failure to meet one or more claim limitations will be considered by the Evaluator. First, Sellers can defend on the basis of invalidity and/or unenforceability of the asserted patent claim by providing a finding by a court of competent jurisdiction, or by the U.S. Patent Office or U.S. International Trade Commission ("ITC"), that the asserted patent claim is invalid or unenforceable. Arguments regarding, for example, invalidating prior art will not be accepted; the only way Sellers can show invalidity/unenforceability is by presenting a court, Patent Office, or ITC order finding an asserted patent claim invalid or unenforceable. Second, Sellers may show that the Accused Products (or physically identical products) were on sale one year or more before the asserted patent's earliest effective filing date, only by using credible evidence that the Evaluator can independently observe (such as a date of sale on amazon.com, or on the Wayback Machine). The Evaluator will not accept affidavits, declarations, or mere arguments about the date of a sale; the Seller must come forward with independently verifiable objective evidence that the Evaluator can confirm.

The Evaluator will consider infringement under the doctrine of equivalents only if the Patent Owner argues for infringement under the doctrine in its opening brief. Doctrine of equivalents arguments made for the first time in a reply brief will not be considered by the Evaluator.

No discovery (e.g., depositions, document requests, etc.) will occur in the Evaluation, nor will there be a trial or hearing. The Patent Owner and Sellers may not contact the Evaluator, unless by email in response to an inquiry from the Evaluator, while copying the other parties. The Evaluator may consider any information submitted, giving any weight to that information the Evaluator believes appropriate.

5. Decision. Within 14 days of the reply date, the Evaluator will announce a decision, choosing between: (i) the Patent Owner is likely to prove that the Accused Product infringes the asserted claim; or (ii) the Patent Owner is not likely to prove that the Accused Product infringes the asserted claim. The Evaluator will not provide reasoning if the Evaluator decides that the Patent Owner is likely to prove that the Accused Product infringes the asserted claim, although the Evaluator may provide a brief explanation of how certain claim terms were construed where appropriate. If the Evaluator decides that Patent Owner is not likely to prove that the Accused Product infringes, the Evaluator shall provide a brief explanation of why the Patent Owner is unlikely to prove infringement. The Participants will not contact or question the Evaluator regarding his or her decision. There is no process for

reconsideration of the Evaluator's decision, though either side may appeal to Amazon by providing a court order that conflicts with the Evaluator's decision concerning invalidity or infringement.

6. Disposition of Payments Following Evaluation. If the Evaluator decides the Patent Owner is likely to prove that all Accused Products infringe, the Evaluator will return the Patent Owner's \$4,000 and retain a total of \$4,000 divided evenly among the participating Sellers. If more than one Seller has participated and is found to infringe, the Evaluator will give any amount of money deposited from losing Sellers in excess of \$4,000 to an Internal Revenue Code Section 501(c)(3) charitable organization chosen by the Patent Owner. If the Evaluator decides the Patent Owner is not likely to prove that any Accused Product infringes, the Evaluator will return participating Sellers' payments and retain the Patent Owner's \$4,000. If the Evaluator decides the Patent Owner is likely to prove that some Accused Products infringe and not likely to prove that other Accused Products infringe, the Evaluator will: (i) retain \$2,000 from the Patent Owner's payment and return the remainder; (ii) return in full payments of participating Sellers whose Accused Products were found not to infringe; and (iii) retain \$2,000, divided evenly among participating Sellers of Accused Products found to infringe. Any excess funds from Sellers of Accused Products found to infringe shall be given to a 501(c)(3) organization chosen by the Patent Owner. In no case may the Evaluator retain more than \$4,000 after making a merits decision in an Evaluation.

If the Patent Owner and a Seller reach a settlement after Seller's listings have been taken down in connection with an Evaluation, and Patent Owner has agreed to reinstatement of the listings, the Participants may contact Amazon at patent-evaluation@amazon.com for information about the reinstatement process

- 7. Settlement or Patent Owner Retraction. If the Patent Owner and a Seller notify the Evaluator they have settled their dispute prior to the date of Patent Owner's reply, the Evaluator will terminate the Evaluation as to that Seller, or terminate the Evaluation entirely if there is only one participating Seller. The Evaluator may retain up to \$1,000 to cover the Evaluator's efforts, equally divided from the Participants' payments when settlement results in termination of the entire Evaluation. If the settlement occurs after the Patent Owner's reply but before the Evaluation is completed, the Evaluator may retain up to \$2,000, \$1,000 from the Patent Owner and a total of \$1,000 from the participating Sellers, if the Evaluation is terminated in its entirety. The Evaluator will return the remainder of each Participant's payment to that Participant. If the Patent Owner retracts or withdraws its claims of infringement against one or more Participants such that the retraction or withdrawal of claims by the Patent Owner results in no further claims of infringement to evaluate, the Evaluator will terminate the Evaluation. If the Patent Owner retracts or withdraws its claims of infringement such that the Evaluation is terminated pursuant to the preceding sentence before briefing begins, the Evaluator may retain \$500 from the Patent Owner; the Evaluator must return the remainder of the Patent Owner's deposit and all Seller deposits. If the Patent Owner retracts or withdraws its claims of infringement such that no claims remain to be evaluated during briefing, the Evaluator may retain \$1,000 from the Patent Owner; the Evaluator must return the remainder of the Patent Owner's deposit and all Seller deposits. If the Patent Owner retracts or withdraws its claims of infringement such that no claims remain to be evaluated after briefing has completed but before the Evaluator has announced a decision, the Evaluator may retain \$2,000 from the Patent Owner; the Evaluator must return the remainder of the Patent Owner's deposit and all Seller deposits.
- **8. Effect of Evaluation.** If the Evaluator finds the Patent Owner is likely to prove that an Accused Product infringes, Amazon will remove that Accused Product from www.amazon.com as soon as practicable, but generally within 10 business days of Amazon's receipt of the decision. If the Evaluator finds that the Patent Owner is not likely to prove that an Accused Product infringes, Amazon will have no obligation to take any action as a

result of the Evaluation. No other action is contemplated or required as a result of the Evaluation and no damages, attorney's fees or costs may be awarded.

If any Participant obtains a judgment or order in litigation or an arbitration that an Accused Product does not infringe or that the Patent is invalid or unenforceable, that Participant may submit it to Amazon, and Amazon may allow relisting of the Accused Product, in accordance with Amazon's policies and procedures. When a patent expires or is found invalid or unenforceable, Amazon may restore a removed listing. If the Evaluator finds that the Patent Owner is not likely to prove an Accused Product infringes, and the Patent Owner subsequently obtains an order or judgment finding that the Accused Product infringes, the Patent Owner may submit that judgment or order to Amazon, and Amazon will remove the Accused Product, in accordance with Amazon's policies and procedures. Amazon reserves the right to use and disclose the results of an Evaluation in connection with actual or proposed Evaluations, requests for takedown of ASINs, in response to statements of others about an Evaluation, or otherwise in connection with Amazon's business.

If the Patent Owner and a Seller reach a settlement after Seller's listings have been taken down in connection with an Evaluation, and Patent Owner has agreed to reinstatement of the listings, the Participants may contact Amazon at patent-evaluation@amazon.com for information about the reinstatement process.